

1. Trust details

1.1 General information

Full name of trust:

Full business name
(if any)

Address of trust:

Country where trust established:

1.2 Type of trust

Trust description (e.g. Family, unit, charitable, estate/ testamentary):

1.3 Beneficiary details

Do the terms of the trust identify the beneficiaries by reference to membership of a class?

☐

Yes Provide details of the membership class/es (e.g. unit holders, family members of named person, charitable purpose).

☐

No How many beneficiaries are there?

Provide full name and date of birth for each beneficiary below.

Full given name(s)	Surname	Date of birth

If there are more beneficiaries, provide details on a separate sheet. If the trust is a discretionary trust and there are more than 10 beneficiaries, only record the membership classes and/or charitable objects of the trust.

1.4 Trustee details

How many trustees are there? Provide full name and date of birth for each trustee below.

Full given name(s) or company name	Surname	Date of birth

If there are more trustees, provide details on a separate sheet.

1.5 Details of beneficial owners or those with authority to act on behalf of trust

How many beneficial owners and authorised persons are there? Provide full name and date of birth for each beneficial owner and authorised person below.

Remember to complete identification forms for each beneficial owner and authorised person. Beneficial owners include anyone with effective control over the trust, such as powers of appointment or removal of trustees.

Full name	Relationship to the trust	Date of birth

If there are more beneficial owners/authorised persons, provide details on a separate sheet.

2. Trust verification procedure

Ensure you have verified the full name of the trust. Select one of the following options used to verify the trust.

- ☐ A notice issued by the Inland Revenue Office within the last 12 months.
- ☐ A letter from a solicitor or chartered accountant that confirms the name of the trust.
- ☐ An original or certified copy or certified extract of the trust deed.

3. Nature and purpose of business relationship

3.1 Select as many of the following categories as applicable

- ☐ Superannuation transfer
- ☐ Investment expected to be for longer than four years
- ☐ Investment expected to be for shorter than four years
- ☐ Accumulation investment (i.e. funds are being deposited or balance expected to be maintained)
- ☐ Decumulation investment (i.e. funds are being drawn down by the client)

3.2 Expected transactions (please estimate):

	Expected amount	Frequency (e.g. monthly, annual)
Expected deposits (total initial deposits)	\$	
Regular savings (if any)	\$	
Regular withdrawals (if any)	\$	
Irregular deposits	\$	
Irregular withdrawals	\$	

4. Source of trust funds/wealth verification

You are required to verify the source of funds or wealth of the trust, including both original settlement funds and source of income.

Select as many of the following options used to verify the wealth of the trust.

- ☐ An original or certified copy or certified extract of the trust deed showing source of wealth being settled.
- ☐ A letter from a solicitor or chartered accountant that confirms income/wealth of the trust.
- ☐ An audited set of accounts identifying the wealth and income of the trust.
- ☐ Other - please explain document used to verify income or wealth of the trust.

Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.



5. Identification and verification conducted by:

NZBritannia representative's name:	<input type="text"/>
NZBritannia representative's signature:	<input type="text"/>
Date:	<input type="text" value="/ /"/>