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HOMELAND SECURITY EXPERTS GROUP (HSEG)

2021 HOMELAND SECURITY ENTERPRISE FORUM

PLENARY SESSION 10:

MODERNIZING HOMELAND SECURITY ENTERPRISE R&D

WITH KATHRYN COULTER MITCHELL, WENDY R. ANDERSON,

EDDIE REDDICK AND CARA LAPOINTE, PHD

Salamander Resort

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1                                    Plenary Session 10:  
2                                    Modernizing Homeland Security Enterprise R&D

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4                                    UNIDENTIFIED SPEAKER: All right, our  
5 penultimate panel. We got one lightning round and one  
6 panel remaining, but here to take -- to talk to us  
7 about the modernizing the Homeland Security Enterprise  
8 Research and Development Program. As Dr. Charles  
9 Clancy, he's the Senior Vice President, General Manager  
10 at MITRE labs, but also MITRE's Chief Futurist. And I  
11 think we can all agree. Research and development  
12 across the enterprises is led from the bottom up at  
13 this point. We've heard talk about the need for a  
14 national industrial base policy, the need for a more  
15 cohesive R&D policy across DHS, but here to explore how  
16 we might actually put that into action. Leave it to  
17 Dr. Charles Clancy. Thank you.

18                                    MR. CLANCY: Great, thank you so much, and  
19 thanks all for sticking around. We're in the  
20 homestretch here. So, I'd like to start by just having  
21 each of our panelists give a quick self-introduction,  
22 and then we'll get underway.

1 MS. LaPOINTE: Great, thanks so much. I'm  
2 Cara LaPointe. I'm here from the Johns Hopkins Applied  
3 Physics Lab. And I'm co-director of something called  
4 the Johns Hopkins Institute for Assured Autonomy, where  
5 we make sure that all things that are AI-enabled work  
6 like they're supposed to.

7 MR. REDDICK: Yeah. My name is Eddie Reddick,  
8 Managing Director with Deloitte Consulting responsible  
9 for our work within several of the DHS components to  
10 include headquarters, as well as FEMA Secret Service,  
11 as well as CISA. And I have a...

12 MR. PRIETO: Terrific. Dan Prieto, Head of  
13 Cybersecurity Strategy for Google Cloud Public Sector,  
14 as well as a member of Homeland Security Experts Group.  
15 Before Google, I was director for Cybersecurity Policy  
16 on the National Security Council staff at the White  
17 House and also Chief Technology Officer in the DoD  
18 CIO's office.

19 MR. CLANCY: Great. Well, so I'm excited to  
20 have such a distinguished panel here to talk with us  
21 about this issue. So, a little bit of scene setting.  
22 Why are we talking about R&D right now? Well, lots of

1 reasons we're talking about R&D. But we're also in a  
2 very interesting moment in sort of bipartisan interest  
3 in increasing U.S. investment in R&D. And why are we  
4 in that position? Well, certainly China is a big  
5 reason for that. There's a lot of concern that China  
6 is outpacing us with their R&D investments. But we've  
7 also seen steady decline in U.S. federal investment in  
8 R&D as a nation. At sort of the peak of the Manhattan  
9 Project and Apollo program, we were seeing roughly 2  
10 percent of U.S. GDP being invested in terms of federal  
11 R&D investment nationally. Now we're in a position  
12 where around 6/10s of 1 percent of GDP is being  
13 invested in terms of federal R&D. So, we've seen it in  
14 more than 1/3 what it was at its peak back in the 1950s  
15 and 60s.

16           Now, of course, industry has picked up their  
17 investment. Industries more than doubled their  
18 investment in R&D over that same period. And so, we've  
19 also seen this sort of natural shift to a much greater  
20 dependence on industrial innovation, combined with  
21 federal innovation, as we think about addressing the  
22 R&D needs of the U.S. government. But we have a new

1 administration, and just some of the numbers that have  
2 been talked about. The Biden campaign promised \$300  
3 billion in new R&D investment as part of their  
4 strategy. The White House infrastructure plan promised  
5 about a \$0.5 trillion dollars in new R&D spending. And  
6 now, of course, we're watching the President's budget  
7 come together and be assessed on the hill. There's all  
8 kinds of other legislation floating around the Endless  
9 Frontiers Act notably that are investing in different  
10 aspects of this, want to see what actual numbers come  
11 out next fiscal year. But depending on how you count,  
12 this is anywhere from the potential for a 20 percent to  
13 as much as a 50 percent increase in U.S. investment in  
14 R&D, which is huge, particularly as DoD's R&D budgets  
15 are pretty much flat. I'm going to also note that DHS  
16 and T's (phonetic) budget is only about a 7 percent  
17 increase in the midst of all of this significant  
18 increase in federal R&D investment.

19           So, I would sort of posture. My thesis here  
20 is that we are kind of at the precipice of having the  
21 opportunity to fundamentally change how we as a nation  
22 innovate. We're talking about some of the largest

1 increase in federal R&D investment in a long time. And  
2 a lot of that, of course, has to do with how the U.S.  
3 can maintain its competitiveness on a global stage.  
4 But then the question is, well, how does the Homeland  
5 Security Enterprise fit into that? How can it  
6 effectively leverage those investments for its  
7 addressing its concerns and enabling its missions? So,  
8 I'd like to open it up to sort of each of the panelists  
9 to sort of reflect on that and talk a little bit about  
10 the opportunity they think this represents for the  
11 nation, and then more specifically for the Homeland  
12 Security Enterprise. Cara?

13 MS. LaPOINTE: Sure, you know, I think it's  
14 amazing that we're going to get increased investment.  
15 Right? But I think Claire said it really well before  
16 that, you know, we tend to throw money and people at a  
17 problem, and then we figure out the innovative  
18 solutions when that doesn't work alone. So, I'd say  
19 money is necessary to increase investment in these  
20 areas, but it alone is not sufficient. Right? We --  
21 this is really an ecosystem promise, a human challenge.  
22 It's not a technical challenge to figure out R&D. We

1 live in a society that has thriving innovation,  
2 thriving innovation in the private sector, thriving  
3 innovation in the, you know, parts of the public  
4 sector, the labs, the FFRDCs, and UARCs, right? But we  
5 have to figure out those enablers to be able to harness  
6 that innovation, right?

7           We have heard about different acquisition,  
8 ways to do that about different authorities like other  
9 transactional authority, and how we can better leverage  
10 those, so that we can harness that. And one of the  
11 particular challenges is harnessing that innovation for  
12 those missions that are uniquely governmental, right,  
13 because that's what the financial incentives of this  
14 electronics commercial market we have are not going to  
15 drive. So I think that, you know, we have the power to  
16 do that. We just have to think about the whole  
17 ecosystem of the problem, and not rely on the fact that  
18 increase investment alone is going to solve this  
19 problem for us.

20           MR. CLANCY: Okay. Eddie?

21           MR. REDDICK: Yeah, I would agree with that.  
22 And it really comes down to I believe that technology

1 and the innovation aren't the real challenges. I think  
2 it's the people in the process. So, we've heard from  
3 several people, you know, today talking about whether  
4 it's acquisition, whether it's helping small businesses  
5 or organizations that have innovations that they want  
6 to get into the hands of the people that can use them.  
7 How do they do that? And until we, you know, take a  
8 hard look at those processes. And quite frankly,  
9 really, you know, figure them out for all technologies.  
10 If we look at emerging technologies that are no longer  
11 emerging, but in the past, things like cloud and other  
12 technologies like that. They were emerging, what 10,  
13 you know, maybe plus years ago. But the challenge with  
14 cloud in some of those technologies you looked at were  
15 things like the accreditation process. So, we went  
16 from DIACAP to DISCAP (phonetic) to RMF. And that  
17 slowed the adoption of a technology. And those same  
18 things still persist now. And they will continue to  
19 persist in the future, unless we take a hard look at  
20 the people and processes. And really, you know, say  
21 how could we make them pliable, maybe more flexible to  
22 be able to be ready to adopt those technologies that

1 come our way?

2 MR. PRIETO: You know, a couple of quick  
3 things. First of all, just as a disclaimer, my  
4 comments today are my own, reflect my background at my  
5 organizations. But, you know, personal opinions. I  
6 think I agree largely with what's been said before, and  
7 I want to sort of encapsulate some of what's been said.  
8 Eric Schmidt, Google's former CEO and Chair said, look,  
9 -- he was talking about DoD, but I think this applies  
10 to this conversation. He said, DoD doesn't have an  
11 innovation problem. It has an innovation adoption  
12 problem. Right? And this speaks to the people and  
13 process. Right? I agree that the reason that shift,  
14 you know, percentage of GDP, government share of R&D  
15 has declined is because venture capital firms, private  
16 firms have really picked up the slack at the bleeding  
17 edge of innovation, right? You think about cyber,  
18 everybody tends to think we need a lot of innovation in  
19 cyber. I looked in Crunchbase yesterday, there are  
20 9600 cybersecurity firms listed in Crunchbase. There  
21 is no shortage of innovation at the technical level,  
22 right?

1           The problem is though, how do you get the  
2 innovation that is technical into pilots, into  
3 adoption, into operationalization, into regularization,  
4 and then into scale on government specific use cases.  
5 So, I think we need to marry technical innovation with  
6 innovation around operating models, innovation around  
7 process, the willingness to disrupt process. The  
8 reality with government is it's so much of process is  
9 people and paper driven. And so with that, I want to  
10 pick up the comment that cloud is in the rearview  
11 mirror. I don't agree with that. We've been talking  
12 about cloud since the cloud first policy, which was 10  
13 years ago. Cloud adoption, as a percentage of spend,  
14 IT spend in the government is still sub 10 percent.

15           We are if you think baseball, that's first  
16 inning, second inning. And the reason there's that  
17 disconnect between cloud being an innovative technology  
18 platform and cloud being applied in a results oriented  
19 way, in a way that reduces toil that reduces  
20 inefficiency, is because we haven't translated these  
21 ideas into actually disrupting and changing business  
22 process. And I think, to some of the stuff we did on

1 COVID with a number of states, people suffered with  
2 contact tracing, PPE inventory management, you know,  
3 forecasting and modeling around COVID. The cloud  
4 lightweight applications and application program  
5 interfaces, data collection using cell phones, we were  
6 able to innovate that in a number of short weeks with a  
7 number of states without taking the heavy historic  
8 requirements, long cycle technology, build the process.  
9 So, the technology is there. And look, the cloud, to  
10 your point, is good at a couple things in a way that  
11 old technology was not scale, massive scale, speed,  
12 agility, security, and cost.

13           The reason that cloud adoption has lagged even  
14 though we've been talking about it for 10 years is  
15 because people -- it's such a huge cultural change.  
16 That's not the way I've ever done my IT. And because  
17 it wasn't -- it's not me doing it, I don't trust it.  
18 The 2018 Goldman Sachs survey that, about 60% of  
19 respondents said their big issue with moving to cloud  
20 was still this perception that it's not as secure as  
21 them building their own stuff. Right? I think that's  
22 shifting. We ran a survey, you know, just a couple of

1 weeks ago, 200 or so senior government IT leaders. And  
2 there is now about a 85% acceptance that you know what,  
3 the cloud can be more secure. And that speaks to a  
4 greater willingness, a greater openness to really  
5 partner with the government. But I look forward to  
6 talking more about this.

7 MR. CLANCY: So I agree that adoption,  
8 obviously, is a key challenge. I'll note that DHS and  
9 the Homeland Security Enterprise use cases though are  
10 not exactly the same as commercial use cases. Right?  
11 So, how does Do -- how does DHS presuming that at the  
12 end of the day, it's going to buy a commercial solution  
13 as a service for the actual deployed and operating  
14 system. How does it sort of swim upstream and  
15 influence the development of that technology so that it  
16 actually meets the requirements that doesn't even -- I  
17 mean, I think we have a challenge now where you try and  
18 write down all those requirements for the technologies  
19 fully baked, and you end up with sort of this chicken  
20 and egg problem. But how can we rethink that sort of  
21 the DHS approach to R&D that would shift towards being  
22 able to constructively influence the development of

1 commercial technologies, which is ultimately going to  
2 be a large part of what they end up buying at the end?  
3 And so maybe, Cara, you could -- certainly your  
4 background is in AI. I wonder if you have any thoughts  
5 on that specifically, from the AI perspective?

6 MS. LaPOINTE: Yeah, well, I think more  
7 generally, and not just from AI, you have to figure out  
8 ways to collaborate and incentivize, right? And you  
9 have to incentivize all different pieces of the  
10 ecosystem that we're talking about. Right? So the  
11 companies, you have to figure out ways to incentivize  
12 the companies. When you have emerging technologies  
13 that change very rapidly, and you have acquisition  
14 mechanisms that take a really long time to get things  
15 on contract, but we have to find new ways to do that,  
16 right? Commercial solutions, opportunities, type  
17 grants, their contracts and other things like that.  
18 But we also have to incentivize our own workforces.  
19 Right? You know, I think somebody said earlier, you  
20 know, we don't have a way to make sure our workforce is  
21 getting to yes, right?

22 So, we have to figure out ways to incentivize

1 our workforce, so that they can get to yes. And what  
2 are those mechanisms that we can then collaborate and  
3 bring together and say, hey, these are the specific  
4 problems that we have in this mission space, right?  
5 Are there consortia we can build, where you say we have  
6 this problem, and there's actual money behind it  
7 because you've reduced some of the barriers from the  
8 actual government piece of the government contracting  
9 piece. You put money behind it, and you bring  
10 different industries into one problem space, maybe it's  
11 through consortium mechanism. Maybe it's through  
12 another collaboration mechanism. But that's what we  
13 have to do is that we have to make this a shared  
14 problem as opposed to, I have a problem, I want you to  
15 solve it. We say we collectively have a problem. And  
16 we have the resources. I love the FWorks example  
17 before that I -- that somebody had where they literally  
18 were on the stage giving some money to small  
19 businesses, right? So, reduce the barriers, bring us  
20 together in a collaboration space, whether it's a  
21 consortia or other kind of space to solve shared  
22 problems.

1           MR. CLANCY: Okay. So, Eddie, I wonder you've  
2 got a lot of experience across various DHS components.  
3 DHS S&T, I think is a bit uneven and how it  
4 interconnects with those components, how it addresses  
5 those. Are there organizational things that could  
6 improve really the infrastructure inside DHS in the way  
7 that DHS S&T in particular, supports the different  
8 missions of the components?

9           MR. REDDICK: Yeah. I think there's been a  
10 lot of talk today about collaboration and how S&T  
11 partners with the components. And really having a  
12 conversation about the roles and responsibilities or  
13 even what Director Inglis talked about earlier like,  
14 really getting to a point of understanding, supporting  
15 and supported relationships between S&T and the  
16 components. I think that's really important. From the  
17 concept of what, you know, the term used is Homeland  
18 Security Enterprise, what is enter -- what's the  
19 definition of enterprise? What does that mean? And  
20 what is everybody's role in an enterprise to make sure  
21 that we get to the outcomes we want to get to?

22           For S&T, if I look at them, the challenge of

1 operating and working with different components, and in  
2 many situations, having conversation with the  
3 components individually, and then learning through  
4 those conversations that there are similarities across  
5 those components and being, you know, in a role of  
6 integrator. Well, how do we make that happen sooner?  
7 How do we, you know, bring in commercial organizations  
8 along for the ride, so that it's a conversation that  
9 people have upfront, as rather than one off  
10 conversations that don't necessarily provide the  
11 opportunity for the collaboration that you want, and  
12 the outcomes that you want to achieve.

13           And then if you do that, you have the  
14 opportunity to then, you know, better manage your spin,  
15 better manage your effort, your focus, and rather than  
16 spending time in one off fashion. So, I think the  
17 opportunity to bring people together and make it  
18 happen, you know, make it second nature and how the  
19 enterprise works together is important.

20           MR. CLANCY: So Dan, reflecting on some of  
21 your comments, I wonder, is the nature of dual use sort  
22 of changing? Sometimes I like to think of it as almost

1 the commercial application is that -- is really driving  
2 the market, right? And the secondary application is  
3 the government one. I wonder your thoughts on, is it  
4 time to start thinking about dual use technologies with  
5 sort of that flipped over?

6 MR. PRIETO: Let me back up a little bit from  
7 that question. I -- in a lot of the use cases we're  
8 talking about -- and again, just a quick comment is, is  
9 DHS thinks about its future? I mean, we're 20 years  
10 past sort of the start of the Global War on Terror. I  
11 want to step back a little bit in some of the mission  
12 areas where I think this innovation needs to occur. I  
13 think we've made the most innovation over the last 20  
14 years on counterterrorism, full stop, because so much  
15 of the effort was focused on that. Right? I think,  
16 obviously, we need to focus on migration, on cyber, on  
17 bio, on climate. And when I think climate, I  
18 definitely think disaster response, emergency  
19 preparedness and response. What's interesting about  
20 all of those topics is that they're all complex systems  
21 problems, right? And the people and the resources and  
22 the technology that are applied to those need to help

1 address the complexity of those systemic problems.

2 Right?

3           So, I want to link to the comment earlier  
4 about incentives. In general, I think we have to  
5 change the discussion around AI people like, Oh, we  
6 fear AI. AI is going to take our jobs. I think when  
7 you're dealing with knowledge workers, which are what  
8 analysts and people in government are, it is not going  
9 to take people's jobs. In fact, it is going to make  
10 you radically more efficient and effective at what you  
11 do. Right? So, by taking toil out of a really  
12 difficult or complicated or paper based process, the  
13 incentive for people to work with the technology isn't  
14 that it's going to replace you nor is it a black box,  
15 it's going to make ethical decisions for you. It  
16 removes toil, right?

17           Take the example of cyber, the amount of cyber  
18 telemetry in your average organization is doubling  
19 every year, every couple of years. We implemented a  
20 cyber-analytic platform. Google did for New York City  
21 Cyber Command. They are ingesting 20 billion log  
22 events per day from several 100,000 users of the city,

1 several 100,000 endpoints. There's no way you can ever  
2 solve that by throwing more people at it. So, for all  
3 the discussion about hiring more people, you also have  
4 to think about ten-axing (phonetic) the productivity of  
5 the people you have and hire. Right? That's the way  
6 you incentivize them because say, look, you're never  
7 going to solve this just by more hands on work. You  
8 have to be way better at what you do.

9           In terms of how you incentivize the private  
10 sector, when thinking about complex systemic problems,  
11 I think director Inglis got to this the other day, it  
12 is still not sufficiently clear to private sector  
13 actors on cyber, for example, what government is going  
14 to do to help them. Yes, they get information  
15 bulletins. Yes, there's some more investment dollars  
16 we can provide. Yes, we send out information on  
17 patches. But if you break any cyber-attack into a  
18 framework that -- under the Obama administration, we  
19 promulgated with presidential policy directive on  
20 incident response, you can think about response to any  
21 attack and protection as dealing with the threat actor,  
22 who is the bad guy. The asset itself, which is

1 basically what's the vulnerability. And then, what we  
2 call the business response. How do I communicate to  
3 people if the data has been lost? How do I protect  
4 people from identity theft after there's a big data  
5 breach?

6           The way we focused on cyber to date is by  
7 telling the private sector to cover down on your  
8 vulnerabilities. We have not made a sufficient enough  
9 for offer to them to explain to them how we are going  
10 to systematically deal with the threat actors. And it  
11 can't just be prosecutions or the idea that we're -- if  
12 someone pings us on cyber, we're going to take out  
13 something of theirs. It has to be, for example, in the  
14 United States, if nation state actors are spinning up,  
15 attack infrastructure, command control infrastructure,  
16 what is the government going to do far left of boom  
17 well before a private sector actor has to worry about  
18 protecting their own patch, right? And this starts  
19 getting to your conversation about use cases.

20           So the use cases to me, of course, the  
21 technology is dual use, commercial application,  
22 government application. But equally important or more

1 so than dual use government scenario versus commercial  
2 is a clear delineation of roles and responsibilities  
3 and a clear offer from government on what they are  
4 going to do to solve the systemic problems on these  
5 complex system issues, because so much of the systemic  
6 problem stems from the fact that this organization --  
7 this country is organized in a highly pluralistic way.  
8 Things are fragmented. And by design, our constitution  
9 was built that way. But that means back to the  
10 industrial policy question. We're not good at having  
11 content -- sorry, effort that is coordinated and  
12 collaborated because there are so many seams between  
13 civil and military, public and private, domestic and  
14 international. Those are the seams that adversaries --  
15 or not even adversaries, hurricanes take advantage of,  
16 right?

17 MS. LaPOINTE: If I can jump in on the systems  
18 engineering point for a second, it's sure this is a  
19 very complex system of systems engineering problem.  
20 But even more complex is that we're talking about  
21 digital technologies, which need a different approach  
22 to systems engineering than our more traditional

1 hardware technologies. A lot of our government systems  
2 engineering's processes are focused on hardware. But  
3 we have software. At its essence, these -- a lot of  
4 these emerging technologies are digital and their  
5 software. And we need completely different ways of  
6 looking at it. For example, if you think about kind of  
7 acquisition versus sustainment, we often think of, you  
8 know, the biggest cost is when you first get something  
9 in and then you establish it, you develop, you deploy  
10 it, and then you just have to sustain it. But when you  
11 talk about digital technologies, and this is where AI  
12 has a completely different model, you have to bring the  
13 idea of research and development into your operation  
14 sustainment phase. That is a completely different  
15 mindset that we have not approached yet. And these are  
16 the kinds of fundamental organizational and policy and  
17 process challenges that we have to get over if we're  
18 going to actually realize the benefit of emerging  
19 technologies.

20 MR. CLANCY: And I think from a systems  
21 engineering perspective, a very interesting part of  
22 that is test and evaluation, right? And I know

1 certainly a lot of these digital technologies, we don't  
2 have good ways to do test and evaluation. Certainly in  
3 the cyber domain, it gets to checklists that get you  
4 different certification levels in the cloud. But in  
5 some of these other emerging areas like AI, right,  
6 where if you have a system that's been certified, but  
7 then it's able to learn new things in operation, right,  
8 how do you continue to test and evaluate and certify  
9 it? Let's see. So, I wanted to give an example of a  
10 use case based innovation approach that I think is  
11 working reasonably well. And it comes from DoD, where  
12 they have spent, I guess, Phase I, it was -- it's the  
13 5G based pilots that DoD has been doing.

14 I think there's an interesting example and  
15 something -- it might be something constructive, we  
16 could use as a discussion point for how DHS might think  
17 about some of its larger scale investments. But  
18 essentially, DoD wanted to have all kinds of cool IoT  
19 use cases that would have a military value. And  
20 really, not so much acquire these and deploy them at  
21 bases around the country, but really create an  
22 environment where vendors could come together and be

1 incentivized through OTA consortia and funded to figure  
2 out how to get all their different pieces working  
3 together, build the relationships among the vendors, so  
4 that at the other end of this, there would be a shrink  
5 wrapped commercial product that DoD could ultimately go  
6 off and buy, to use for 5G for a wide range of  
7 different use cases.

8           And so of course, it wasn't cheap. We -- the  
9 Phase I was, I think \$600 million. There's another  
10 phase that's about to start. So, it's pretty  
11 significant investment. But not only has it really  
12 catalyzed the domestic telecom ecosystem around 5G, the  
13 needs that DoD has, it's also really helped energize a  
14 domestic 5G vendor ecosystem in the first place, right,  
15 because we had lost much of our expertise in that  
16 domain to China, well, lost our ground to China as U.S.  
17 companies merged with European counterparts over the  
18 last 20 years. So, I find it a sort of a fascinating  
19 example of where I think the dual use coin really has  
20 flipped, right? DoD is investing in these 5G pilots,  
21 primarily because they're going to lead to economic  
22 competitiveness to the U.S.

1           And as a result of being able to sort of shape  
2 the focus to these different use cases, there will be  
3 the opportunity for DoD to kind of buy a service from  
4 the vendor ecosystem that matures to this process.  
5 Right? So, I think that this is kind of a really  
6 interesting model, where I think other agencies should  
7 look at trying to inject their requirements into  
8 prototyping, experimentation and pilot sorts of  
9 activities. And I wonder if any of the panelists have  
10 ideas in other emerging technology areas where that  
11 model might work, particularly for DHS mission areas.

12           MS. LaPOINTE: So also, I'm a huge proponent  
13 of the consortium model, right, for a lot of reasons.  
14 One, because these technologies move very fast. We  
15 need different ways of approaching these from a  
16 community based perspective. We need collaboration,  
17 right? And by using a consortium -- I came out of the  
18 DoD world on the acquisition side and the Navy. And,  
19 you know, if you were to go out to a vendor and say,  
20 Hey, I need help just writing the statement of work for  
21 what I'm doing, because I am not as smart as the vendor  
22 base right now, in terms of how we're going to talk

1 about and how we want to acquire this technology. You  
2 couldn't do that, right, because of our acquisition  
3 regulations and federal regulations and laws. But if  
4 you have a consortium -- the beauty of a consortium in  
5 some ways is you have symmetric access and symmetric  
6 information. So, you can go out and to your whole kind  
7 of group of industry partners in a consortium and say,  
8 hey, let's figure out a way to make the government  
9 smarter on this technical issue, so that the government  
10 can be smarter when we write our RFPs when we do this  
11 process, right? Because the government -- no sector  
12 alone has the full capability, capacity and agency to  
13 implement emerging technologies, right? And so, when  
14 you can find innovative ways that you bring industry  
15 in, you bring government in, you bring academia in, and  
16 you can pull that knowledge, it's the only way we're  
17 going to be able to collectively go farther faster  
18 together.

19 MR. CLANCY: Okay. Eddie, any thoughts?

20 MR. REDDICK: Yeah. So, you talked about some  
21 of these use cases and you mentioned 5G. And so, our  
22 firm has been involved with one with the Navy around

1 smart warehousing. And that smart warehousing effort  
2 leverages 5G technology to, you know, connect systems  
3 such as your asset management system, your inventory  
4 control systems, potentially wearables from the  
5 individual, as well those biometrics, so that you can  
6 have situational awareness on all things that are  
7 happening in that warehouse. And that's technology  
8 that's being leveraged on the commercial side.

9           And when we talk about dual use, I mean, much  
10 of the business of the government is also the business  
11 of the commercial side, financial management systems,  
12 inventory control, supply chain systems, even cyber,  
13 those are the same challenges that our commercial  
14 counterparts are dealing with. And they have invested  
15 in those systems and they can be brought over, because  
16 we use SAP, they use SAP. They're leveraging, you  
17 know, some of the same technologies and pulling them  
18 together for a, you know, a whole, you know, in a  
19 broader solution. So, those are available and can be  
20 replicated because the processes and the outcomes are  
21 the same, regardless of whether you're commercial or  
22 you're working on the government side.

1           MR. PRIETO: You know, thinking through that,  
2 where these consortium models might work or where it  
3 would make sense for groups to get together to think  
4 about requirements that are more business outcome  
5 oriented as opposed to inputs oriented, which is too  
6 often the case I think on a lot of procurements. I  
7 think there's a couple areas I think of. One is the  
8 Internet of Things, and the increased sort of, you  
9 know, risk to an automation of industrial control  
10 systems, right? These undergird our critical  
11 infrastructure. This is a new realm for cyber. Yes,  
12 we've been talking about it for many years, but the IoT  
13 surge is coming. And we know, nation, state  
14 adversaries are very much targeting our critical  
15 infrastructure, so the risk is going up. And  
16 historically, our security focus has been on  
17 information technology systems, and not enough on  
18 operating technologies to control operating systems.

19           Second area is around supply chain. Supply  
20 chains, you know, are I think among the poster children  
21 for complex global systems that are flooded with tons  
22 of data. But the challenge is, how do you make sense

1 of the data at scale because the data volumes are so  
2 big? And how do you connect data together because it  
3 can be so fragmented? So, in the areas I'm thinking  
4 are important are much better real time visibility into  
5 the supply chains, where our points of risk, where our  
6 point of interconnection, where our points of potential  
7 cascading failure that you wouldn't notice, if you were  
8 looking just at sequentially different parts of the  
9 supply chain. I think there are scale issues on the  
10 inspection side in terms of inspecting, whether a  
11 hardware in your supply chain may have been tampered  
12 with or be fraudulent.

13 Same thing on code. We saw this with solar  
14 winds. So many things come into the enterprise. And  
15 because they came from a trusted third party, and that  
16 third party code was signed, we presume it's okay. But  
17 there's not enough. And this is difficult to do. How  
18 do you inspect those things? And you don't necessarily  
19 have a signature of a bad section of code. It's, how  
20 are they behaving? Is this version of software? You  
21 know, this version of solar winds versus that version  
22 of solar winds? It's doing five new things. And it's

1 never done these five things before. Why is it trying  
2 to access an administrator control point? Why is it  
3 trying to access a financial database, for example.

4           The other area, the third area that I thought  
5 of in terms of innovation, again, very data driven,  
6 very complex, but we need much better foresight and  
7 insight is on modeling and mapping around fire, around  
8 flood, around hurricane, right? Those groups,  
9 particularly, weather, I mean, there is an  
10 interdisciplinary core, working through FEMA, working  
11 through governors that knows how to deal with  
12 hurricanes, but there are large parts of the country  
13 that don't have the muscle memory because they're not  
14 used to getting hit by hurricanes. You have large  
15 parts of the country that aren't used to having drought  
16 conditions or fire conditions. So, you need to arm  
17 people with more data, visualization of that data and  
18 the ability to have more foresight and predict, again,  
19 fire, flood, hurricane and all that. Like, again,  
20 complex, large scales systems oriented data that, you  
21 know, I think the technology can give any user a much  
22 greater ability to make sense of it, and can actually

1 democratize the access to those kinds of analytics.

2 MR. CLANCY: So, I think we've had a lot of  
3 examples here of sort of engineering and technology  
4 related innovation. But we just had a great panel on  
5 civics. And I'm curious, should DHS be investing in  
6 research and development in the social sciences beyond  
7 kind of the hard tech spaces?

8 MS. LaPOINTE: Absolutely. All right, you  
9 know, I work on Assured Autonomy, right, which is  
10 making AI and autonomous systems work. And I'm an  
11 autonomous systems engineer. But I always include  
12 people in the system, right? It's really the  
13 intersection between technology and humanity. That's  
14 important. It's those intersection lines. And if you  
15 don't have social scientists at the table, you are  
16 never going to solve the problems that you want to  
17 solve. If you just bring technologists to solve a  
18 problem that is fundamentally a human challenge, you  
19 won't ever get there.

20 MR. CLANCY: Any other thoughts?

21 MR. REDDICK: Yeah. I am not a social science  
22 scientist. But I would say, yes, the intersection of

1 people in technology is very important. I think the  
2 idea of understanding the impact of people as you roll  
3 out that technology, how people receive that  
4 technology. You talk about AI. you know, in one of  
5 the sessions earlier, we talked about how you can use  
6 AI to, you know, basically identify potentially fraud,  
7 maybe in somebody in FEMA who is making false claims.  
8 There's also the opportunity potentially to leverage  
9 that AI to let people know in that same area who  
10 haven't made claim to who don't know that they have  
11 money that's available to them.

12           And maybe the opportunity is to say, Hey, your  
13 neighbor has filed a claim and you don't -- you have  
14 it, why not? Do you know this money is available to  
15 you and use it as a positive? And so it's not just,  
16 you know, find the bad guy, identify the bad actor.  
17 It's also along with some sort of stakeholder or  
18 outreach effort to let people know that this technology  
19 found you. And that's why I'm reaching out to you  
20 because you're missing an opportunity to take advantage  
21 of something that's available to you. And I think  
22 that's important.

1           MR. PRIETO: You know, I'm glad you brought  
2 this up because I think it is absolutely fundamental  
3 that we also invest in the social sciences on the R&D  
4 side. And for the following reasons, we have one  
5 nation state adversary that is actively trying to  
6 corrode and undermine our civil society, full stop,  
7 right? We have another nation state adversary that is  
8 seeking to gain advantage on the economic front through  
9 a close coordination of national industrial policy, and  
10 also leaping ahead through intellectual property theft.  
11 Both of those things have to do with liberal,  
12 capitalist democratic society, right? Those aren't  
13 science things.

14           And the things that analytics and AI anal can  
15 help with around sentiment analysis, assessing, you  
16 know, the confidence and trust that people have in  
17 their institutions, and being able to detect the  
18 information environment, to see where -- look over the  
19 horizon in terms of where government organizations need  
20 to be more active in countering either misinformation  
21 or disinformation or where they need to be more  
22 proactive to just try to help rebuild confidence. And

1 this gets back to Suzanne's excellent panel on civics.  
2 But I think it goes without saying, particularly given  
3 what we know, our nation state adversaries are doing,  
4 we need to invest in the social science side as well.

5 MR. CLANCY: All right. Well, with that,  
6 let's transition to questions from the audience. Any -  
7 - anybody brave enough to ask a question? Now, I spent  
8 a decade as a professor, so I'm going to use the  
9 Socratic method here soon. All right.

10 UNIDENTIFIED SPEAKER: So talk to us, if you  
11 will, about the need for a Homeland Security  
12 Enterprise, not just department, strategy to address  
13 R&D going forward. Do we need one? Or do we continue  
14 with this sort of haphazard, organic R&D efforts?

15 MR. PRIETO: Any -- so I'll -- I guess, we're  
16 seeing a lot more interest in national strategies,  
17 right? OSTP is leading the charge now on a whole range  
18 of an emerging technology areas. And I guess, as that  
19 translates down to the Homeland Security Enterprise,  
20 thoughts on how that ecosystem could be more  
21 coordinated in its own strategies that integrate across  
22 really, beyond just whole of government, but only whole

1 of nation and I think is critical.

2 MS. LaPOINTE: So, there was an interesting  
3 discussion in one of the earlier panels today about the  
4 idea of kind of control -- coordinating and controlling  
5 at the highest level in terms of what we're doing  
6 versus harnessing, right? I think there is value in  
7 having a strategy. But I think we need to be careful  
8 to not try to kind of control what we're doing. And  
9 more, it's a strategy for how we harness what's out  
10 there and focus that innovation energy on some of our  
11 unique mission areas.

12 MR. REDDICK: Yeah, I think there is a need  
13 for a strategy. But I think that strategy should be  
14 about to what you're saying, the opportunity to bring  
15 people together to recognize that you have similar  
16 needs. And so that you aren't, again operating in  
17 silos. So, the strategy should be in -- and again, if  
18 we are really talking about a term of enterprise, it is  
19 bringing everyone together so that they can hear that,  
20 hey, we have the same need or we're asking the same  
21 questions, so that we don't have to have one off  
22 solutions. And if it is truly a system of systems, you

1 need to have everybody at the table so that they  
2 understand what's happening to the left and to the  
3 right of them, rather than just what's happening for  
4 me.

5 MR. PRIETO: Yeah, I agree with all those  
6 comments. I think the power of the government to  
7 convene, and then once it convenes, sort of serve in  
8 the quarterback role. I think also, and we've talked  
9 about this in several sessions, the government making  
10 more clear what it is bringing to the table as opposed  
11 to telling other people what they need to do better  
12 because we've been doing that, like for as an example  
13 on cyber for a long time. It needs to be clear what  
14 they are going to take on in terms of countering the  
15 threat, whether it's overseas or here. I think the  
16 other piece of government is it thinks about the  
17 enterprise needs to communicate more clearly to the  
18 private sector is how the government will improve the  
19 fragmentation of the government itself, right?

20 Okay, let's talk about cyber for a second.  
21 When does the FBI help me versus DHS? There's this new  
22 policy called "defend forward." It's not going to make

1 me confident that you're actually better at telling me  
2 about the threats that are coming my way, if I agree  
3 with you that my job is to protect my patch on the  
4 vulnerability side. However, I also think I can't be  
5 told to just do better and fend for myself against  
6 nation states. Fine, if it's criminals or fraud.  
7 Fine, I accept that responsibility. But it is the  
8 government's responsibility to protect the nation  
9 against nation states, right? So a clearer, more  
10 directed set of convenings on complex problems. Again,  
11 this notion of quarterbacking is key, but then also a  
12 clear statement about what the government will do and  
13 how the government will operate more seamlessly.

14 MS. LaPOINTE: And if I can just jump in on  
15 one of Eddie's points in terms of bringing everybody to  
16 the table and breaking through silos. That's really  
17 important, and then you have to think hard about who  
18 all those people you have to bring to the table are.  
19 It's not just the technologists and the end users,  
20 right? It's also the social scientists, the domain  
21 experts. It's also the folks involved in all these  
22 processes and policies, right, so that we can bring

1 everybody together and figure out a solution. Because  
2 you may figure out something that works really well for  
3 technologists, but then we don't actually have the test  
4 and evaluation methodologies, or we don't have the  
5 other process elements in place. So you have to really  
6 be diverse when you're bringing folks to the table to  
7 come up with that strategy if that R&D strategy is  
8 actually going to be helpful.

9 MR. CLANCY: Yeah. Any other questions?  
10 Yeah. We've got one back here.

11 MR. BOSWELL: Teri Li Hoffman-Boswell from  
12 MITRE. So, I just like to pull the thread on that  
13 notion with regards to the roles and the role of  
14 government. When it's a nation state actor versus the  
15 role of industry, if it's some other bad actor, how  
16 would you know in the moment?

17 MR. PRIETO: I think there's been a lot of  
18 talk historically about attribution, right? I think  
19 you prepare for situations, regardless of who the  
20 threat actor is. Again, I think it is incumbent on the  
21 private sector to cover down on vulnerabilities and  
22 patch and run training programs and make sure their

1 board is aware of cyber, regardless of who the bad  
2 actor is. At the same time, they don't have frequently  
3 visibility further left of boom on what's happening.  
4 They don't necessarily see all the classified  
5 intelligence on what our adversaries are doing. They  
6 don't necessarily see those actors. You know, in the  
7 U.S., sort of stealing IP or creating command and  
8 control infrastructure on commercial networks and  
9 infrastructure, that's up to government.

10           So, I don't think you have to pre solve or  
11 think about every incident, thinking you can't do  
12 anything. I think the question presumes that you're  
13 waiting to do something until something is actually  
14 blown up. Before that, there is a lot more that can  
15 happen in terms of government being more open on the  
16 information sharing side about the threat, because a  
17 lot of companies don't have the wherewithal to see into  
18 nation state threat. I think, again, the "defend  
19 forward" strategy, which DoD and DHS are collaborating  
20 with, which is you really look -- you don't tolerate  
21 penetrations by nation states into critical  
22 infrastructure, and just call it out to espionage if it

1 happens. And therefore, again, I don't think you have  
2 to pre solve, knowing which actor is which. I think  
3 you just have to do a better job describing the  
4 systemic problems, knowing what the motivations and  
5 incentives and, you know, activities of our adversaries  
6 are. But I appreciate any other thoughts from you  
7 guys. Okay.

8           MR. CLANCY: All right. Well, I think it's  
9 been a great discussion. This panel, of course, built  
10 I think on a lot of the discussion we had in the  
11 breakout workshop on emerging technology earlier for  
12 those that attended that. So, it's a great to hear a  
13 kind of some common themes between the two. It sounds  
14 like we need a lot more consortia that are really  
15 looking at partnering for research and development  
16 rather than traditional requirements driven acquisition  
17 for a lot of these new areas. And I think that's a  
18 great direction that can leverage all of the innovation  
19 that's happening more broadly in industry, towards the  
20 Homeland Security Enterprise. So, join me in thanking  
21 the panel.

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