

<b>Introduction</b>	FTX Capital Markets LLC (“FTX” or “we” or “our”) is registered with the U.S. Securities and Exchange Commission (the “SEC”) as a broker-dealer. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. As a registered broker-dealer, FTX is a member of FINRA. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.				
<b>What investment services and advice can you provide me?</b>	<p>FTX offers self-directed brokerage services to retail investors (hereinafter, “customers”). Our services involve effecting securities transactions exclusively online. We buy and sell securities only at your direction and we do not offer to you recommendations of securities, strategies involving securities or securities accounts. We do not monitor your account or individual investments, unless we state otherwise in writing. We do not have any account minimums. We offer access to articles, investment news and education to help you make investment decisions, but this information is for informational and educational purposes only.</p> <p>FTX is an introducing broker-dealer. Your funds and securities will be custodied by Embed Clearing LLC (“Embed”), which services your account by: (i) executing, clearing and settling your trades; and (ii) preparing and distributing your account statements and trade confirmations.</p> <p>We offer the ability to buy and sell U.S. listed equities and exchange-traded funds (“ETFs”). We do not offer proprietary products or limit our platform to products that pay us revenue sharing or other compensation.</p> <p><u>For additional information about our products and services</u>, please visit our website at <a href="https://ftx.us">https://ftx.us</a>.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 2px;"><b><u>CONVERSATION STARTERS: Ask your financial professional:</u></b></td></tr> <tr> <td style="padding: 2px;"><i>Given my financial situation, should I choose a brokerage service? Why or why not?</i></td></tr> <tr> <td style="padding: 2px;"><i>How will you choose investments to recommend to me?</i></td></tr> <tr> <td style="padding: 2px;"><i>What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?</i></td></tr> </table>	<b><u>CONVERSATION STARTERS: Ask your financial professional:</u></b>	<i>Given my financial situation, should I choose a brokerage service? Why or why not?</i>	<i>How will you choose investments to recommend to me?</i>	<i>What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?</i>
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<b>What fees will I pay?</b>	<p>FTX does not charge commissions for executing self-directed trades. However, you may pay certain administrative fees charged by third parties.</p> <p>We are compensated when you complete a transaction, we therefore have an incentive to encourage you to make larger investments and trade more frequently.</p> <p>You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.</p>				
<b>What fees will I pay?</b> <i>Continued</i>	<p><u>Fees may change without notice and any changes will be reflected in FTX’s Fee Schedule.</u></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 2px;"><b><u>CONVERSATION STARTER: Ask your financial professional:</u></b></td></tr> <tr> <td style="padding: 2px;"><i>Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?</i></td></tr> </table> <p>Responses to these conversation starters can be found at: <a href="#">FTX Capital Markets Disclosure Library</a></p>	<b><u>CONVERSATION STARTER: Ask your financial professional:</u></b>	<i>Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?</i>		
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<p><b>What are your legal obligations to me when providing recommendations?</b></p> <p><b>How else does your firm make money and what conflicts of interest do you have?</b></p>	<p>We <b>do not</b> provide recommendations. The way we make money may create some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the services we provide you. Here are some examples to help you understand what this means:</p> <ul style="list-style-type: none"> <li>• We are paid when you complete a transaction, which means we have an incentive to encourage you to make larger investments and trade more frequently, as noted above.</li> <li>• We receive Payment for Order Flow (PFOF) when you buy or sell securities from part or all of your transactions.</li> <li>• We earn interest on certain resting assets of retail customers.</li> </ul> <p>For additional information, please visit our website at <a href="https://ftx.us">https://ftx.us</a>.</p> <p><b>CONVERSATION STARTER:</b> Ask your financial professional:</p> <p><i>How might your conflicts of interest affect me, and how will you address them?</i></p> <p>Responses to these conversation starters can be found at: <a href="#">FTX Capital Markets Disclosure Library</a></p>
<p><b>How do your financial professionals make money?</b></p>	<p>FTX financial professionals are paid salaries and discretionary bonuses based on their overall job responsibilities and performance. Our financial professionals <b>do not</b> earn any incentive-based compensation tied to the trading or investments of our customers.</p>
<p><b>Do you or your financial professionals have legal or disciplinary history?</b></p>	<p>No. Neither FTX nor our financial professionals have reportable legal or disciplinary events. Visit Investor.gov/CRS for a free and simple search tool to research FTX and our financial professionals.</p> <p><i>As a financial professional, do you have any disciplinary history? For what type of conduct?</i></p> <p>Responses to these conversation starters can be found at: <a href="#">FTX Capital Markets Disclosure Library</a></p>
<p><b>Additional Information</b></p>	<p>For additional information about our brokerage services, please visit our website at <a href="https://ftx.us">https://ftx.us</a>. If you would like additional, up-to-date information or a copy of this relationship</p> <p><b>CONVERSATION STARTER:</b> Ask your financial professional:</p> <p><i>Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?</i></p> <p>Responses to these conversation starters can be found at: <a href="#">FTX Capital Markets Disclosure Library</a></p>