



Customer Relationship Summary
FORM CRS
January 17, 2021

Item 1 – Introduction

Stapp Wealth Management, PLLC is registered with the Securities and Exchange Commission (“SEC”) as an investment adviser and, as such, we provide advisory services rather than brokerage services. Brokerage and investment advisory services and fees differ and it is important for you, our client, to understand the differences.

Free and simple tools are available to research firms and financial professionals at www.investor.gov/CRS which also provides education materials about broker-dealers, investment advisers, and investing. This document is a summary of the services and fees we offer to “retail” investors, which are natural persons who seek or receive services primarily for personal, family, or household purposes.

Item 2 -Relationship and Services

What investment services and advice can you provide me?

We provide investment advisory services, including discretionary investment management, financial planning services and advisory consulting services to individuals (our “retail Investors”). In general, we require a minimum of \$250,000 to open and maintain an advisory account, which may be waived at our discretion.

When a retail investor engages us to provide investment management services we shall monitor, on a continuous basis, the investments in the accounts over which we have authority as part of our investment management services. Furthermore, when engaged on a discretionary basis, we shall have the authority, without prior consultation with you (unless you impose restrictions and guidelines on our discretionary authority in writing), to buy, sell, trade and allocate the investments within your account(s) consistent with your investment objectives. Our authority over your account(s) shall continue until our engagement is terminated.

We offer investment management and financial planning services as part of our standard investment advisory engagement. When we provide financial planning services, we rely upon the information provided by the client for our financial analysis and do not verify any such information while providing this service.

We provide advice on various types of investments including Mutual Funds and Exchange Traded Funds. We do not limit the scope of our investment advisor services to proprietary products or a limited group or type of investment.

Additional Information: For more detailed information regarding our services, fees and other disclosures can be found in our Form ADV Part 2A, Items 4, 7, 13 and 16 by clicking this link <https://adviserinfo.sec.gov/firm/brochure/116553>.

Key Questions to Ask Your Financial Professional

- **Given my financial situation, should I choose an investment advisory service? Why or Why Not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications?**
- **What do these qualifications mean?**

Item 3 – Fees, Costs, Conflicts, and Standard of Conduct

What fees will I pay?

When engaged to provide investment management services, we shall charge a fee calculated as a percentage of your assets under our management (our “AUM Fee”). Our annual AUM Fee is not negotiable. For detailed information, refer to our Form ADV Part 2A.

We typically deduct our AUM Fee from one or more of your accounts on a quarterly basis. The following summarizes the principal fees and costs associated with engaging our firm for investment advisory services. Since the fees we receive are asset-based (i.e. based on the value of your account), we have an incentive to increase your account value which creates a conflict especially for those accounts holding illiquid or hard-to-value assets.

Other Fees and Costs: Your investment assets will be held with a qualified custodian. Custodians generally charge brokerage commissions and/or transaction fees for effecting certain securities transactions. In addition,

- Account maintenance fees;
- Fees related to mutual funds and exchange-traded funds;
- Transactions charges when purchasing or selling securities; and
- Other product-level fees associated with your investments

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Additional Information: For more detailed information about our fees and costs related to our management of your account, please See Item 5 in our ADV Part 2A. A copy of our Part 2A is available at: <https://adviserinfo.sec.gov/firm/brochure/116553>.

Key Questions to Ask Your Financial Professional

- **Help me understand how these fees and costs might affect my investments. If I give you \$250,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and do not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. As an example, we may recommend a particular custodian to custody your assets, and we may receive support services and/or products from the same custodian, certain of which assists us to better monitor and service your account while a portion may benefit of our firm.

Additional Information: Refer to our Form ADV Part 2A to help you understand what conflicts exist.

Key Questions to Ask Your Financial Professional

- **How might your conflicts of interest affect me, and how will you address them?**

How do your financial professionals make money?

Our company and the financial professionals servicing your account(s) are compensated on a salary basis.

Item 4 – Disciplinary History

Do you or your financial professionals have legal or disciplinary history?

No, our firm and our financial professionals currently do not have any legal or disciplinary history to disclose. Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple research tool.

Key Questions to Ask Your Financial Professional

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

Item 5 – Additional Information

Additional information about our firm is available on the SEC's website at <https://adviserinfo.sec.gov/firm/brochure/116553>. You may contact our Chief Compliance Officer at any time to request a current copy of our ADV Part 2A and request a copy of the relationship summary at 360-754-8895 or click on the link provided <https://adviserinfo.sec.gov/firm/brochure/116553>.

Key Questions to Ask Your Financial Professional

- **Who is my primary contact person?**
- **Is he or she a representative of an investment adviser of a broker-dealer?**
- **Who can I talk to if I have concerns about how this person is treating me?**