

ADVANCED INPUT DEVICES/MEMTRON INPUT COMPONENTS  
PURCHASE ORDER TERMS AND CONDITIONS

**Non-Commercial Items**

January 09, 2020

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Commercial item” as defined in US Federal Acquisition Regulation ([FAR](#) 2.101

In general, a Supplier’s “Catalog” item may meet the definition of a commercial item, while **an item that the supplier provides using the Buyer’s design will not be a commercial item**. Seller is to refer to ([FAR](#) 2.101 for the complete definition. *(for commercial items see Purchase Order Terms and Conditions for Commercial Items)*

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## SECTION I GENERAL TERMS AND CONDITIONS

### Clause 1. Definitions

The term “Buyer” as used herein refers to Advanced Input Devices and/or Memtron Input Components, both are Delaware corporations. The term “Seller” as used herein also includes subcontractors, independent contractors, and all other classes of person performing any type of work under this Purchase Order number.

### Clause 2. Purchase Order Issuance and Acceptance

This Purchase Order constitutes Buyer’s offer to purchase the goods and services, (Hereinafter referred to as “Product”) described in this Purchase Order in accordance with the provisions set forth on the face hereof, the provisions hereto, and the provisions incorporated herein by reference. Buyer shall not be bound by this Purchase Order unless and until an authorized representative of Seller returns to Buyer the acknowledgement within five (5) working days after receipt. Seller’s acceptance of the Purchase Order is expressly limited to the terms contained herein and no additional or different terms shall be binding on Buyer unless agreed to by Buyer in writing.

### Clause 3. Delivery, Transfer of Title, and Risk of Loss

Seller will deliver all Products to Buyer FCA (Seller’s Plant) in accordance with Incoterms 2020. Title and risk of loss to the Products will pass to Buyer upon delivery to carrier. Unless otherwise agreed in writing, Buyer will be responsible for all shipping charges, premiums for freight insurance, and other transportation costs.

The delivery dates indicated by Buyer for the Product to be supplied under this Purchase Order shall be considered to be of equal importance as the quality and the price, and time shall be of the essence in the performance of this Purchase Order. Failure to meet agreed upon delivery shall be considered a breach of the contract and shall subject this Purchase Order to termination by Buyer without liability effective upon written notice to Seller.

In such event, Buyer at its option may, without affecting any other remedies, arrange for completion of performance and/or purchase substitute Product elsewhere and charge Seller with any loss incurred. Furthermore, Seller agrees to pay to Buyer any penalty and damages imposed upon or incurred by Buyer for failure of Seller to deliver Product on such delivery dates. Buyer may reject shipments sent C.O.D.

without Buyer's prior consent and such shipments will be at Seller's risk. Buyer may return or store at Seller's expense and Product delivered more than fourteen (14) days in advance of the delivery date specified in this Purchase Order for such Product. If at any time during the term of this purchase order, Seller anticipates a shortfall in production of the goods and services to be provided, which shortfall is reasonably likely to result in Seller's inability to meet Buyer's requirements as set forth in this purchase order, then Seller shall (a) promptly notify Buyer in writing and in advance of the shortage stating reasons for and estimated duration of the shortage condition), (b) take all commercially reasonable steps to avoid the shortfall (to include documenting corrective action, paying expedite fees, using premium transportation, and overtime at the Seller's expense).

#### **Clause 4. Invoicing and Payment Terms**

Invoices should be rendered by Seller to Buyer promptly after each shipment or unit of work is performed under this Purchase Order. The Purchase Order number, Buyer's part number, and revision level, quantity and unit price, discount, if any, shall be clearly shown on such invoices. If the invoices specify discount terms for prompt payment within a specified period of time, the time specified there on shall be deemed to run from the time of receipt of the Product by Buyer, or date of invoice, whichever is later, notwithstanding any terms of the invoice to the contrary. If no terms of payment are shown on the invoice, they will be deemed Net 60 days after receipt of the Product or the date of invoice whichever is later.

#### **Clause 5. Price**

Seller represents that the prices charged for the Products covered by this Purchase Order are the lowest prices net of discounts charged by Seller and on terms no less favorable than accorded by Seller to its other customers purchasing in quantities and under circumstances comparable to those specified in the Purchase Order. Buyer shall be entitled to a retroactive reduction in price hereunder to reflect such lowest prices and most favorable terms. Seller agrees that any price reduction applicable to any Product covered by this Purchase Order subsequent to the placement of this Purchase Order and until delivery of the last installment hereunder will be automatically applicable hereto. Seller is bound by the terms of this agreement to notify Buyer at the time of the purchase regarding any price differential.

#### **Clause 6. Changes**

Buyer shall have the right to change from time to time any of the drawings, specifications or instructions for work covered by this Purchase Order and Seller agrees to comply with such changes. If such changes result in a change in Seller's cost or in the time of performance an adjustment in price and time for performance will be made by the parties in writing, provided however that Seller shall notify Buyer of the request for such adjustments within thirty (30) days after receipt by Seller of the notice of such change. Seller's writing shall detail the reasons for the price adjustment to the Buyer. Seller agrees not to make any changes that affect this purchase order without the prior written approval of the Buyer.

#### **Clause 7. Packaging and Shipping Instructions**

A. PACKAGING – All Products shall be prepared and packed in a commercially reasonable manner and so as to secure the lowest transportation rates and to meet carrier's requirements. No charges will be allowed for packing, crating, or carriage unless stated in this Purchase Order.

B. MARKING – Each shipping container must be marked to show Buyer's Purchase Order number, Buyer's part number and the quantity contained therein. A packing list showing Purchase Order number must be included with each shipment and the container in which the packing list is contained shall be clearly and appropriately marked.

C. SPECIAL PACKAGING REQUIREMENTS – Certain Products may require special packaging to facilitate compliance with safety and other agency rating standards. Cost for special packaging requirements will be negotiated independent of costs for the Product which they contain. Special packaging requirements may include, but not be limited to:

Concepts, General design (size and shape, quantity per box, maximum or minimum number of boxes per skid, physical protection, and/or static protection). Specific design, and/or labeling requirements. Buyer agrees to notify Seller of special packaging requirements when need for such is determined.

#### **Clause 8. Inspection and Warranty**

Seller warrants that all Products supplied by Seller under this Purchase Order shall conform to the specifications, drawings, samples, or other description specified by Buyer on the face of this Purchase

Order and that they are of good material and workmanship, free from defects, liens, and encumbrances, merchantable and fit for Buyer's particular purpose if Buyer shall have made known to Seller the purpose and application for which the Product is purchased. Such warranty shall be in effect for a period of one (1) year after date of receipt of Product by Buyer and shall in addition to any other warranties and guarantees given Buyer by Seller shall survive inspection, test, acceptance and payment, and shall run to Buyer, its successors, assigns, and customers. The Product shall be subject to inspection and test by Buyer at all times and places, including the period of manufacture for hardware, the period of development for software, and the period of performance of services. Unless otherwise specified final inspection and acceptance of Product by Buyer will be at Buyer's facility from which the Purchase Order originates, Buyer reserves the right to reject Product which does not conform to the specifications, drawings, samples or other descriptions specified in this Purchase Order. Buyer may, at this option, either return defective or nonconforming Product for full credit of the purchase price plus any transportation charges paid by the Buyer, or require prompt correction or replacement of defective or nonconforming Product, which rights shall be in addition to such other rights as Buyer may have by law or under any other Agreement. Return to the Seller of any defective or non-conforming Product shall be at the Seller's expense and no replacements shall be made unless specified by Buyer. Product required to be corrected or replaced shall be subject to the inspection and warranty provisions of this Paragraph IV to the same extent as product originally delivered under this Purchase Order. In addition, Buyer may, at buyers discretion, charge Seller for costs of any above normal levels of inspection if rejection of a shipment endangers production schedules.

#### **Clause 9. Patents and Copyrights**

Seller agrees to indemnify and to save harmless Buyer, its officers, agents, employees and vendees (mediate and immediate) from any and all loss, expense, damage, liability, claims or demands either at law or in equity for actual or alleged infringement of any patent, invention, design, trademark, or copyright arising from the purchase use or sale of Product required by this Purchase Order, except where such infringement or alleged infringement arises by reason of designs for such Product originally furnished to Seller by Buyer. Seller further agrees to indemnify and hold harmless Buyer and the above-recited related person and entities against any injunction or restraining order issued pursuant to such claim or demand at law of inequity.

#### **Clause 10. Counterfeit Parts**

For Sellers of Electronic, Electrical, Electromechanical (EEE) parts, materials, mechanical parts, commodity Parts, and non-sub assembly products, the Seller shall be one of the following: original source manufacturers, franchised distributors or authorized aftermarket manufacturers as defined in AS5553. Seller shall notify the Buyer of any exceptions to the foregoing requirement and ensure approval prior to delivery.

For Sellers of sub-assemblies and system level products, the Seller shall purchase parts directly from one of the following: original source manufacturers, franchised distributors or authorized aftermarket manufacturers as defined in AS5553. Seller shall notify the Buyer of any related exceptions to the foregoing requirement and ensure approval prior to incorporating into sub-assemblies intended for delivery to Buyer. Seller shall not deliver to Buyer any work, components or material purchased either directly or indirectly through brokers, independent distributors or 3rd party distributors as defined in AS5553, without prior approval from the Buyer's Purchasing Representative. This approval to use brokers, et al, will require the submittal of a comprehensive risk mitigation test plan to screen procured parts for counterfeit sources. Seller shall implement systems that assure complete traceability (i.e. Supply Chain Traceability as defined in AS5553) to the original source of manufacture. This requirement applies to all work delivered to Buyer either directly or indirectly as components or included in assemblies. Traceability methods shall clearly identify the name and location of all of the supply chain intermediaries from the original manufacturer including build identification such as date codes, lot codes, melt and heat treatment lot, serialization or other batch identification.

Seller shall not deliver work that contains counterfeit items including, but not limited to, software, material and electrical/mechanical parts /assemblies. Seller and its sub-tiers shall comply with the requirements of AS5553. The Seller shall ensure that only new and authentic materials are incorporated into work delivered under this contract. (For repair contracts, this #new material only# clause applies to the new material required for the repair. No counterfeit material is allowed to be introduced as part of the repair).

**Clause 11. Assignments**

This Purchase Order and any payments to be made hereunder shall not be assigned or transferred without prior written approval by Buyer. Seller shall not further subcontract any substantial portion of the work to be performed by it under this Purchase Order without the prior written consent of the Buyer. Under this agreement, when the Buyer is notified of assignments or transfers, Seller shall maintain the responsibility for ownership for inspection and warranties as detailed in the Inspection and Warranty paragraph of this agreement.

**Clause 12. Gratuities**

Seller warrants that neither it or any of its employees, agents, or representatives has offered or given any gratuity to Buyer's employees, agents or representatives with a view towards securing favorable treatment with respect thereto.

**Clause 13. Compliance with Law**

Seller warrants that all goods and services sold hereunder shall have been produced, sold, delivered and furnished in strict compliance with all applicable laws and regulations, including, without limitation, relating to equal employment opportunities, affirmative action, health and safety, and child labor.

**Clause 14. Termination**

Buyer may terminate the work to be performed under this Purchase Order in whole or in part at any time by written notice to Seller. Such notice shall state the extent and effective date of such termination and upon the receipt thereof Seller shall:

- A. Terminate work to be performed by Seller under this Purchase Order until otherwise instructed by Buyer
- B. Promptly notify Buyer in writing of any cancellation charges, and
- C. Incur no further charges.

Buyer shall not be responsible for any cancellation charges for which written notice is not given within ten (10) days of Buyer's notice of termination.

**Clause 15. Force Majeure**

Neither party shall be liable for damages for any delay arising out of causes beyond its reasonable control including, but not limited to, acts of God, acts of civil or military authority, fire, or shortage or power. If any such delay is caused by the delay of a subcontractor and is beyond the control and without the fault or negligence of the Seller and such subcontractor, Seller shall incur no liability for such delay unless Seller could have reasonably obtained the services and materials to be provided by such subcontractor from other sources in sufficient time to meet the schedule for delivery required hereunder. Seller shall notify Buyer immediately upon learning of any event which may result in any such delay. Any reduction in supply to Buyer pursuant to this Paragraph XI shall be in proportion to the reduction in Seller's total production capacity during each month in which such reduction to Buyer occurs and Seller shall accordingly reduce all other customer's supplies proportionately and in an equitable manner.

**Clause 16. Indemnification and Insurance**

Seller agrees to protect, defend, indemnify and save Buyer harmless from all sums, costs and expenses which Buyer may incur or be obligated to pay as a result of any and all loss, expense, damage, liability, claims, demands, either at law or equity, of every nature whatsoever, in favor of any person, including both Seller's and Buyer's employees, resulting from any personal injury or death or damages to property incident to or resulting directly or indirectly from the performance of Seller hereunder.

Seller shall maintain at its expense a policy of products liability insurance with contractual liability endorsement, in such amounts and containing such other provisions as shall be satisfactory to Buyer, covering Product sold to Buyer hereunder. All such policies shall provide that the coverage thereunder shall not be terminable without at least thirty (30) days prior notice to Buyer. Seller shall promptly supply Buyer, upon demand, evidence satisfactory to Buyer of this existence of said policy or policies.

**Clause 17. Over Shipments**

Seller is instructed to ship only the quantity of Product specified in this Purchase Order. Buyer reserves the right to return at Seller's expense any shipment in excess of the quantity stated in this Purchase Order.

**Clause 18. Proprietary Information**

All written or oral information obtained by Seller from buyer in connection with this Purchase Order which is identified as proprietary is received in confidence and shall remain the property of Buyer. Such proprietary information shall be used and disclosed by Seller only to the extent necessary for the performance of this Purchase Order and as agreed to by Buyer. Seller, may on occasion, be required to execute a non-disclosure and/or expectations agreement.

**Clause 19. Rights in Development**

This clause shall apply if the product is services, or if the Product is hardware or software, to be designed or developed, is paid for by Buyer, whether itemized separately or included in the price for the Product to be furnished under this Purchase Order. Seller shall disclose and does hereby assign to Buyer any and all inventions, improvements, or developments, which it may make or assist in making in the course of such development, Seller assigns all patents, applications for patents in connection with any such invention, improvement or development to Buyer. Seller will take the necessary steps and cause its personnel to execute the necessary documents to vest and register copyrights to all works of authorship (including but not limited to software, drawings, and integrated circuit artwork) in Buyer. All such works shall be legibly marked with the following legend: "Copyright Advanced Input Devices 20XX (year of first fixing of the work in a tangible medium)". In addition, all information, works of authorship, ideas, results and data developed by Seller as a result of developmental work contemplated by this clause shall be transmitted by Seller only to Buyer and shall become the exclusive property of Buyer.

**Clause 20. Materials and Tools**

If Buyer furnishes Seller material or equipment or pays for such material or equipment, title thereto shall remain or vest in Buyer and Seller shall identify, maintain, insure and preserve such material and equipment in accordance with buyer's direction. All special drawings, dies, tools, patterns or other items supplied by Buyer shall be the property of Buyer and shall be preserved in good condition, utilized for Buyer's product only, and if requested by Buyer returned when the work on this Purchase Order has been completed or terminated. Seller shall pack and ship all special tooling and prepare same for shipment pursuant to buyer's written notification. If material, equipment, special drawings, dies, tools, patterns and/or other items are furnished by Buyer for performance of this Purchase Order, all risk of loss thereof or damage thereto shall be upon Seller until such items have been redelivered to Buyer in the same condition as delivered to Seller, ordinary wear and tear excepted.

**Clause 21. Cessation of production**

If production of Product covered by this Purchase Order is to be permanently discontinued at any time within one (1) year after final delivery under this Purchase Order, Seller shall give Buyer at least 180 days prior written notice of such discontinuance during which time Seller shall accept and supply Product pursuant to Purchase Orders from Buyer for reasonable quantities of such Product. If such product or service is proprietary to Seller, Seller shall release the proprietary information to Buyer for Buyer to resource or produce product beyond Seller's Cessation of the product obsoletes.

**Clause 22. Publicity**

Seller shall not make or authorize any news release, advertisement, or other disclosure, which shall deny or confirm the existence of this Purchase Order without prior written consent of Buyer.

**Clause 23. Waiver**

The failure of Buyer to insist upon the performance of any provision of this Purchase Order shall not constitute or be construed as a waiver of any such provision.

**Clause 24. Applicable Law**

The validity, performance and construction of this Purchase Order shall be governed by the laws of the State shown in Buyer's address on the face of this Purchase Order. The invalidity in whole or in part of any provision hereof shall not affect the validity of any other provision.

The Seller and Buyer agree that they will fully comply with the Foreign Corrupt Practices Act, the Corruption of Foreign Public Officials Act, the United Kingdom Bribery Act, and other applicable anti-bribery laws and regulations. Without limiting the generality of the foregoing, the Seller and Buyer represent and agree that: (a) they have not and will not directly or indirectly offer, give, promise or authorize anything of value to any government official, United Nations official, political party, party official or candidate for office ("Public Official") for the purpose of directly or indirectly, from this Agreement; (c) they have not and will not directly or indirectly, offer, pay, promise to pay, or authorize the payment or giving of money, or anything of value to any individual to induce the individual to perform improperly any function or activity in the course of the individual's employment, business, trade, or profession or to reward an individual for the improper performance of any such function or activity; and (d) they will cause their employees, directors and related entities to comply with the provisions of this Section.

**Clause 25. EEO / Affirmative Action**

Seller warrants that all goods and services sold hereunder shall have been produced, sold, delivered, and furnished in strict compliance with all applicable laws and regulations including EEO and affirmative action to which they are subject. Federal contractors are notified that they may be subject to the provisions of 41 CFR Section 60-1.40, 41 CFR Section 60-250.4, 41 CFR 60-300.5, 41 CFR Section 741.4 and 29 CFR part 471, appendix A to subpart A with respect to affirmative action program requirements.

**Clause 26. Trade Compliance/Export Requirements**

If this order involves Export Controlled products or technical data, Seller shall comply with all applicable U.S. export laws and regulations, including International Traffic in Arms Regulations ("ITAR") and the Export Administration Regulations ("EAR"). The subject technology of this order (including data, services, software and hardware provided hereunder, defined as "Controlled Technology") may be controlled under these laws and regulations and may not be exported or re-exported without prior authorization in accordance with ITAR and EAR. Access to Controlled Technology by Foreign Persons as defined by 22CFR120.16 may require an export authorization. Seller shall have full responsibility for obtaining any export licenses or authorization required to fulfill its obligations under this Subcontract.

Seller warrants that the U.S. Department of Commerce, other U.S. government agencies, or other national governments have not denied the export privileges of the Seller. Seller agrees it will give immediate notice to Buyer in the event that export privileges of the Seller are denied or abridged.

Seller shall be fully responsible for the "screening" of its transactions and subcontractors, Sub-tier Suppliers, and all other third parties who may assist the Seller in fulfilling its responsibilities under this Agreement. Seller will exercise commercially reasonable care and due diligence to ensure such third parties are not knowingly identified as, or engaged in, prohibited nuclear, missile, chemical, or biological weapons of mass destruction end users or end uses. The Seller shall exercise commercially reasonable care and due diligence to ensure such third parties are not knowingly engaged in activities associated with a "Red Flag" risk of illegal diversion, or included on any government denied, unverified or blocked parties lists. Third-parties to the Seller must not require the Seller to accept prohibited boycott terms or conditions, and must not be located in a restricted or embargoed country.

**Clause 27. Complete Agreement**

This Purchase Order which includes any supplemental sheets and riders annexed by Buyer, contains the complete and entire agreement between the parties. No agreement or understanding to modify this contract shall be binding upon Buyer unless agreed to in writing by Buyer and any and all previous agreements or understandings, whether oral, written, inconsistent with any of the various terms and conditions set forth herein are hereby cancelled and rendered null and void.

**Clause 28. Conflict**

In case of conflict between the terms and conditions of Paragraph I through Paragraph XXV and other provisions of this Purchase Order such other provisions shall prevail.

**SECTION II ADDITIONAL CLAUSES THAT MAY APPLY IF US SELLER IS NOT PROVIDING A “COMMERCIAL ITEM” (Non-US Suppliers please use Section III)****FAR/DFARS CLAUSES FOR A NON COMMERCIAL ITEM SUBCONTRACT (U.S. GOVERNMENT CONTRACTS)**

If this order is placed under a U.S. Government prime contract or subcontract, the following provisions of the Federal Acquisition Regulations (FAR) and the Department of Defense Supplement to the FAR (DFARS), in effect on the date of this Purchase Order, unless otherwise specified, are applicable to and are incorporated by reference into this Order (in addition to any other incorporated terms and conditions). Seller also will complete the representations that are at the conclusion of this Attachment if this Order exceeds the monetary threshold indicated below. Seller’s obligations are thereunder similar to the obligations of Advanced Input Devices under its Government prime contract or subcontract. In addition to the following clauses, there are also incorporated by reference into this Purchase Order any and all contract clauses which are required to be in federal procurement subcontracts as a matter of law.

As used in the following clauses, and unless the context of the clauses requires otherwise, “Contracting Officer” shall mean the person duly authorized to act on behalf of Advanced Input Devices with respect to this order, “Contract” shall mean this Purchase Order, “Subcontract” shall mean either this subcontract and any purchase orders that may be issued under this Order by Seller, “Contractor” or “Offeror” shall mean Seller, and “Government” shall mean Advanced Input Devices. Copies of all notices or reports to be furnished under the clauses incorporated below shall be furnished to Advanced Input Devices.

**FAR/DFARS Clauses Incorporated By Reference**

The following clauses from the FAR and the DFARS are hereby incorporated by reference with the same force and effect as if set forth in full text:

**FAR Provisions****Applicable for all subcontracts:**

- [52.203-19](#) Prohibition on Requiring Certain Internal Confidentiality Agreements or Statements
- [52.204-21](#) Basic Safeguarding of Covered Contractor Information Systems (not for commercial items)
- [52.209-2](#) Prohibition On Contracting With Inverted Domestic Corporations—Representation
- [52.209-10](#) Prohibition on Contracting with Inverted Domestic Corporations
- [52.212-2](#) Evaluation—Commercial Items, in solicitations for commercial items
- [52.212-3](#) Offeror Representations and Certifications—Commercial Items
- [52.212-4](#) Contract Terms and Conditions – Commercial Items
- [52.212-5](#) Contract Terms and Conditions Required to Implement Statutes or Executive Orders – Commercial Items
- [52.222-26](#) Equal Opportunity
- [52.222-50](#), Combating Trafficking in Persons
- [52.225-13](#) Restrictions on Certain Foreign Purchases
- [52.233-3](#) Protest After Award
- [52.233-4](#) Applicable Law for Breach of Contract Claim
- [52.242-15](#) Stop-Work Order
- [52.244-6](#) Subcontracts for Commercial Items

[52.247-64](#) Preference for Privately Owned U.S. – Flag Commercial Vessels

**Applicable for all subcontracts over \$3,500 ([micro purchase threshold](#))**

[52.219-28](#) Post Award Small Business Program Rerepresentation (work completed in US only)

[52.213-4](#) Terms and Conditions-Simplified Acquisitions (other than commercial items)

[52.222-3](#) Convict Labor

[52.222-54](#) Employment Eligibility Verification (except for work performed exclusively outside the US)

[52.223-18](#) Encouraging Contractor Policies to Ban Text Messaging While Driving

**Applicable for all subcontracts over \$10,000**

[52.222-20](#) Contracts for Materials, Supplies, Articles, and Equipment Exceeding \$15,000

[52.222-21](#) Prohibition of Segregated Facilities

[52.222-36](#) Equal Opportunity for Workers with Disabilities (**contracts over \$15,000**)

[52.222-40](#) Notification of Employee Rights Under the National Labor Relations Act

**Applicable for all subcontracts over \$30,000**

[52.204-10](#) Reporting Executive Compensation and First-Tier Subcontract Awards

[52.209-6](#) Protecting the Government's Interest When Subcontracting with Contractors Debarred, Suspended, or Proposed for Debarment (Except for Commercial Items) (**contracts over \$35,000**)

[52.209-9](#) Updates of Publicly Available Information Regarding Responsibility Matters

**Applicable for all subcontracts over \$150,000 (the [Simplified Acquisition Threshold](#)):**

[52.203-3](#) Gratuities

[52.203-5](#) Covenant Against Contingent Fees (not for commercial items)

[52.203-6](#) Restrictions on Subcontractor Sales to the Government

[52.203-7](#) Anti-Kickback Procedures (less paragraph (c) (1))

[52.203-8](#) Cancellation, Rescission, and Recovery of Funds for Illegal or Improper Activity (not for commercial items)

[52.203-10](#) Price or Fee Adjustment for Illegal or Improper Activity (not for commercial items)

[52.203-11](#) Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions

[52.203-12](#) Limitation on Payments to Influence Certain Federal Transactions

[52.203-15](#) Whistleblower Protections (contracts funded with Recovery Act funds)

[52.203-17](#) Whistleblower Protections

[52.215-14](#) Integrity of Unit Prices

[52.219-8](#), Utilization of Small Business Concerns

[52.222-17](#) Nondisplacement of Qualified Workers

[52.222-35](#) Equal Opportunity for Veterans

[52.222-37](#) Employment Reports on Veterans

[52.248-1](#) Value Engineering

**Applicable for all subcontracts over \$750,000**

[52.214-26](#) Audit and records – Sealed Bidding (**contracts** involving sealed bidding)

[52.214-27](#) Price reduction for Defective Certified Cost or Pricing Data-modifications-sealed bidding

[52.214-28](#) Subcontractor Cost or Pricing Data-modifications-sealed bidding

[52.215-2](#) Audits and Records – Negotiation (cost-reimbursement, incentive, time-and-material, labor hour, or price redeterminable only)

[52.215-10](#) Price Reduction for Defective Cost or Pricing Data

[52.215-11](#) Price Reduction for Defective Cost or Pricing Data – Modifications

[52.215-12](#) Subcontractor Cost or Pricing Data

[52.215-13](#) Subcontractor Cost or Pricing Data – Modifications

[52.215-23](#) Limitations on Pass-Through Charges (for cost reimbursement **contracts above \$750,000**)



**Applicable for all subcontracts over \$5,500,000**

- [52.203-13](#) Contractor Code of Business Ethics and Conduct (**contracts over \$5.5 million** and period of performance greater than 120 days)
- [52.203-14](#) Display of Hotline Poster
- [52.222-24](#) Preaward On-site Equal Opportunity Compliance Evaluation (**contracts over \$10 million**)

**Applicable when indicated by Buyer**

- [52.204-7](#) System for Award Management
- [52.204-9](#) Personal Identity Verification of Contractor Personnel (applies where subcontractor will have physical access to federally-controlled facility or access to federal information system)
- [52.211-5](#) Material Requirements
- [52.211-15](#) Defense Priority and Allocation Requirements (when PO is a rated order)
- [52.215-15](#) Pension Adjustment and Asset Reversions (applies if contract meets requirements of FAR [15.408\(g\)](#))
- [52.215-18](#) Reversion or Adjustment of Plans for Postretirement Benefits (PRB) other than Pensions (applies if contract meets requirements of FAR [15.408\(g\)](#))
- [52.215-19](#) Notification of Ownership Changes (applies if contract meets requirements of FAR [15.408\(k\)](#))
- [52.215-20](#) Requirements for Cost or Pricing Data or Information Other Than Cost or Pricing Data
- [52.215-21](#) Requirements for Cost or Pricing Data or Information Other Than Cost or Pricing Data – Modifications
- [52.217-9](#) Option to Extend the Term of the Contract
- [52.219-1](#) Small Business Program Representations
- [52.222-1](#) Notice to the Government of Labor Disputes
- [52.222-4](#) Contract Work Hours and Safety Standards Act – Overtime Compensation
- [52.222-18](#) Certification Regarding Knowledge of Child Labor for Listed End Products
- [52.222-22](#) Previous Contracts and Compliance Reports
- [52.222-41](#) Service Contract Labor Standards
- [52.222-51](#), Exemption from Application of the Service Contract Labor Standards to Contracts for Maintenance, Calibration, or Repair of Certain Equipment—Requirements
- [52.222-53](#), Exemption from Application of the Service Contract Labor Standards to Contracts for Certain Services—Requirements
- [52.222-55](#) Minimum Wages Under Executive Order 13658 (if subject to Service contract labor standards,
- [52.224-3](#) Privacy Training
- [52.232-40](#) Providing Accelerated Payment to Small Business Subcontractors
  
- [52.222-56](#) Certification Regarding Trafficking in Persons Compliance Plan
- [52.222-62](#) Paid Sick Leave Under Executive Order 13706 (if work done in the US and subject to the Service Contract Labor Standards statute)
- [52.223-3](#) Hazardous Material Identification and Material Safety Data
- [52.223-11](#) Ozone Depleting Substances
- [52.225-1](#) Buy American Act – Supplies
- [52.225-2](#) Buy American Act – Certificate
- [52.225-3](#) Buy American Act – Free Trade Agreements – Israeli Trade Act
- [52.225-4](#) Buy American Act – Free Trade Agreements – Israeli Trade Act Certificate
- [52.225-5](#) Trade Agreements
- [52.225-6](#) Trade Agreements Certificate
- [52.225-8](#) Duty Free Entry
- [52.225-13](#) Restrictions on Certain Foreign Purchases
- [52.227-1](#) Authorization and Consent – Alternate I
- [52.227-2](#) Notice and Assistance Regarding Patent and Copyright Infringement
- [52.227-3](#) Patent Indemnity
- [52.227-9](#) Refund of Royalties (**contracts that contain royalties exceeding \$250**)

- 52.227-11 Patent Rights – Ownership by the Contractor
- 52.227-13 Patent Rights – Ownership by the Government
- 52.227-14 Rights in Data – General
- 52.227-16 Additional Data Requirements
- 52.227-19 Commercial Computer Software License
- 52.227-23 Rights to Proposal Data (Technical)
- 52.228-5 Insurance – Work on a Government Installation
- 52.229-6 Taxes – Foreign Fixed-Price Contracts
- 52.229-7 Taxes – Fixed-Price Contracts with Foreign Governments
- 52.229-10 State of New Mexico Gross Receipts and Compensating Tax (cost –reimbursement and contract for services in the State of New Mexico)
- 52.230-2 Cost Accounting Standards
- 52.230-3 Disclosure and Consistency of Cost Accounting Practices
- 52.230-6 Administration of Cost Accounting Standards
- 52.232-40 Providing Accelerated Payment to Small Business Subcontractors
- 52.233-3 Protest After Award
- 52.236-13 Accident Prevention
- 52.242-13 Bankruptcy
- 52.242-17 Government Delay of Work
- 52.243-1 Changes-Fixed Price
- 52.243-2 Changes-Cost Reimbursement
- 52.243-6 Change Order Accounting
- 52.244-5 Competition in Subcontracting
  
- 52.245-1 Government Property
- 52.245-9 Use and Charges
- 52.246-2 Inspection of Supplies – Fixed Price
- 52.246-3 Inspection of Supplies (cost-reimbursement contracts)
- 52.246-16 Responsibility for Supplies
- 52.246-17 Warranty of Supplies of a Noncomplex Nature
- 52.246-18 Warranty of Supplies of a Complex Nature
- 52.246-20 Warranty of Services
- 52.247-63 Preference for U.S.-Flag Air Carriers
- 52.249-1 Termination for the Convenience of the Government – Fixed Price, Short Form
- 52.249-2 Termination for the Convenience of the Government – Fixed Price
- 52.249-6 Termination for the Convenience of the Government – Cost-Reimbursement
- 52.249-8 Default

## **DFARS Provisions**

- 252.203-7001 Prohibition on persons convicted of Fraud or other Defense – Contract related felonies  
(**contracts over \$150,000**)
- 252.203-7002 Requirement to inform Employees of Whistleblower Rights
- 252.203-7003 Agency Officer of the Inspector General
- 252.204-7000 Disclosure of Information
- 252.204-7008 Compliance with Safeguarding Covered Defense Information Controls
- 252.204-7009 Limitations on the Use and Disclosure of Third Party Contractor Reported Cyber Incident Information
- 252.204-7012 Safeguarding Covered Defense Information and Cyber Incident Reporting
- 252.204-7014 Limitations on the Use or Disclosure of Information by Litigation Support Contractors
- 252.204-7015 Disclosure of Information to Litigation Support Contractors
- 252.209-7004 Subcontracting with Firms That Are Owned or Controlled by the Government of a Terrorist Country
- 252.211-7000 Acquisition Streamlining (**contracts over \$1,500,000**)
- 252.211-7003 Item Unique Identification and Valuation
- 252.215-7000 Pricing Adjustments
- 252.217-7003 Changes

252.219-7003 Small Business Subcontracting Plan  
 252.222-7006 Restriction on the Use of Mandatory Arbitration Agreements  
 252.223-7001 Hazard Warning Labels  
 252.223-7002 Safety Precautions for Ammunition & Explosives  
 252.223-7003 Change in Place of Performance - Ammunition & Explosives  
 252.223-7006 Prohibition on Storage and Disposal of Toxic and Hazardous Materials  
 252.223-7007 Safeguarding Sensitive Conventional Arms, Ammunition, and Explosives  
 252.223-7008 Prohibition of Hexavalent Chromium  
 252.225-7000 Buy American – Balance of Payments Program Certificate  
 252.225-7001 Buy American and Balance of Payments Program  
 252.225-7003 Report of Intended Performance Outside the United States and Canada – Submission with Offer  
 252.225-7004 Report of Intended Performance Outside the United States and Canada – Submissions After Award  
 252.225-7007 Prohibition on Acquisition of United States Munitions List Items from Communist Chinese Military Companies (**ITAR items only**)  
 252.225-7008 Restriction on Acquisition of Specialty Metals  
 252.225-7009 Restriction on Acquisition of Certain Articles Containing Specialty Metals  
 252.225-7012 Preference for Certain Domestic Commodities  
 252.225-7013 Duty Free Entry  
 252.225-7016 Restriction on Acquisition of Ball and Roller Bearings  
 252.225-7020 Trade Agreements Certificate  
 252.225-7021 Trade Agreements  
 252.225-7025 Restriction on Acquisition of Forgings  
 252.225-7032 Waiver of United Kingdom Levies – Evaluation of Offers  
 252.225-7033 Waiver of United Kingdom Levies (**contracts exceeding \$1 million and with a UK contractor**)  
 252.225-7035 Buy American – Free Trade Agreements – Balance of Payments Program Certificate  
 252.225-7036 Buy American Act – Free Trade Agreements – Balance of Payments Program  
 252.225-7048 Export-Controlled Items  
 252.226-7001 Utilization of Indian Organizations, Indian-Owned Economic Enterprises, and Native Hawaiian Small Business Concerns (**contracts over \$500,000**)  
 252.227-7012 Patent License and Release Contract  
 252.227-7013 Rights in Technical Data – Noncommercial Items  
 252.227-7014 Rights in Noncommercial Computer Software  
 252.227-7015 Technical Data – Commercial Items  
 252.227-7016 Rights in Bid or Proposal Information  
 252.227-7019 Validation of Asserted Restrictions - Computer Software  
 252.227-7025 Limitations on the Use or Disclosure of Government-furnished Information Marked with Restrictive Legends  
 252.227-7026 Deferred Delivery of Technical Data or Computer Software  
 252.227-7027 Deferred Ordering of Technical Data or Computer Software  
 252.227-7028 Technical Data or Computer Software Previously Delivered to the Government  
 252.227-7030 Technical Data - Withholding of Payment  
 252.227-7037 Validation of Restrictive Markings on Technical Data (not applicable to commercial items)  
 252.227-7038 Patent Rights – Ownership by the Contractor (Large Business)  
 252.229-7011 Reporting of Foreign Taxes – U.S. Assistance Programs  
 252.231-7000 Supplemental Cost Principles  
 252.236-7000 Modification Proposals – Price Breakdown  
 252.237-7023 Continuation Of Essential Contractor Services  
 252.243-7001 Pricing of Contract Modifications  
 252.244-7000 Subcontracts for Commercial Items  
 252.246-7001 Warranty of Data  
 252.246-7003 Notification of potential safety issues  
 252.246-7007 Contractor Counterfeit Electronic Part Detection and Avoidance System  
 252.247-7003 Pass-through of motor carrier fuel surcharge adjustment to the cost bearer (applies to motor carriers, brokers, or freight forwarders)

- 252.247-7023 Transportation of Supplies by Sea  
252.247-7024 Notification of Transportation of Supplies by Sea  
252.249-7002 Notification of Anticipated Contract Termination or Reduction (**contracts greater than \$700,000**)

**FAR Representations - Please complete if the Purchase Order Exceeds the Indicated Monetary Threshold**

**1. Complete if Purchase Order Exceeds \$35,000.00**

**FAR 52.209-5 - Certification Regarding Responsibility Matters**

(a)(1) The Offeror certifies, to the best of its knowledge and belief, that—

(i) The Offeror and/or any of its Principals—

(A) Are ( ) are not ( ) presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;

(B) Have ( ) have not ( ), within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, violating Federal criminal tax laws, or receiving stolen property (if offeror checks "have", the offeror shall also see 52.209-7, if included in this solicitation);

(C) Are ( ) are not ( ) presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in subdivision (a)(1)(i)(B) of this provision; and

(D) Have  , have not  , within a three-year period preceding this offer, been notified of any delinquent Federal taxes in an amount that exceeds \$3,500 for which the liability remains unsatisfied.

(1) Federal taxes are considered delinquent if both of the following criteria apply:

(i) The tax liability is finally determined. The liability is finally determined if it has been assessed. A liability is not finally determined if there is a pending administrative or judicial challenge. In the case of a judicial challenge to the liability, the liability is not finally determined until all judicial appeal rights have been exhausted.

(ii) The taxpayer is delinquent in making payment. A taxpayer is delinquent if the taxpayer has failed to pay the tax liability when full payment was due and required. A taxpayer is not delinquent in cases where enforced collection action is precluded.

(2) Examples.

(i) The taxpayer has received a statutory notice of deficiency, under I.R.C. §6212, which entitles the taxpayer to seek Tax Court review of a proposed tax deficiency. This is not a delinquent tax because it is not a final tax liability.

Should the taxpayer seek Tax Court review, this will not be a final tax liability until the taxpayer has exercised all judicial appeal rights.

- (ii) The IRS has filed a notice of Federal tax lien with respect to an assessed tax liability, and the taxpayer has been issued a notice under I.R.C. §6320 entitling the taxpayer to request a hearing with the IRS Office of Appeals contesting the lien filing, and to further appeal to the Tax Court if the IRS determines to sustain the lien filing. In the course of the hearing, the taxpayer is entitled to contest the underlying tax liability because the taxpayer has had no prior opportunity to contest the liability. This is not a delinquent tax because it is not a final tax liability. Should the taxpayer seek tax court review, this will not be a final tax liability until the taxpayer has exercised all judicial appeal rights.
- (iii) The taxpayer has entered into an installment agreement pursuant to I.R.C. §6159. The taxpayer is making timely payments and is in full compliance with the agreement terms. The taxpayer is not delinquent because the taxpayer is not currently required to make full payment.
- (iv) The taxpayer has filed for bankruptcy protection. The taxpayer is not delinquent because enforced collection action is stayed under 11 U.S.C. 362 (the Bankruptcy Code).

(ii) The Offeror has ( ) has not ( ), within a 3-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.

(2) Principal, for the purposes of this certification, means an officer, director, owner, partner, or a person having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a division or business segment; and similar positions).

(b) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

(c) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such additional information as requested by the Contracting Officer may render the Offeror nonresponsible.

(d) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

(e) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to the Government, the Contracting Officer may terminate the contract resulting from this solicitation for default.

Signature; \_\_\_\_\_

Print Name: \_\_\_\_\_

Company Name: \_\_\_\_\_

Date: \_\_\_\_\_

(End of provision)

**2. Complete if Purchase Order Exceeds \$3,500.00**

**Small Business Certification**

The Contractor identified below hereby certifies as follows:

The Contractor [ ] does [ ] does not qualify as a small business under the FAR for this subcontract. The Contractor agrees to notify Advanced Input Devices within 10 days of any change in the Contractor's small business status.

The Contractor [ ] does [ ] does not qualify under any additional small business category (e.g., Small Disadvantaged Business Concern), and, if so, the Contractor qualifies under the following additional categories: \_\_\_\_\_

Signature: \_\_\_\_\_

Print Name: \_\_\_\_\_

Company Name: \_\_\_\_\_

Date: \_\_\_\_\_

**3. Complete if Purchase Order Exceeds \$150,000**

**Certification and Disclosure Regarding Payments To Influence Certain Federal Transactions  
(SEP 2007)**

(a) Definitions. As used in this provision—"Lobbying contact" has the meaning provided at 2 U.S.C. 1602(8). The terms "agency," "influencing or attempting to influence," "officer or employee of an agency," "person," "reasonable compensation," and "regularly employed" are defined in the FAR clause of this solicitation entitled "Limitation on Payments to Influence Certain Federal Transactions" (52.203-12).

(b) Prohibition. The prohibition and exceptions contained in the FAR clause of this solicitation entitled "Limitation on Payments to Influence Certain Federal Transactions" (52.203-12) are hereby incorporated by reference in this provision.

(c) Certification. The offeror, by signing its offer, hereby certifies to the best of its knowledge and belief that no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on its behalf in connection with the awarding of this contract.

(d) Disclosure. If any registrants under the Lobbying Disclosure Act of 1995 have made a lobbying contact on behalf of the offeror with respect to this contract, the offeror shall complete and submit, with its offer, OMB Standard Form LLL, Disclosure of Lobbying Activities, to provide the name of the registrants. The offeror need not report regularly employed officers or employees of the offeror to whom payments of reasonable compensation were made.

(e) Penalty. Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by 31 U.S.C. 1352. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure required to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

Signature: \_\_\_\_\_

Print Name: \_\_\_\_\_

Company Name: \_\_\_\_\_

Date: \_\_\_\_\_

(End of provision)

### **SECTION III ADDITIONAL CLAUSES THAT MAY APPLY IF **NON-US** SELLER IS **NOT** PROVIDING A “COMMERCIAL ITEM” FAR/DFARS CLAUSES FOR NONCOMMERCIAL SUBCONTRACTS WITH INTERNATIONAL CONTRACTORS (U.S. GOVERNMENT CONTRACTS)**

If this order is placed under a U.S. Government prime contract or subcontract, the following provisions of the Federal Acquisition Regulations (FAR) and the Department of Defense Supplement to the FAR (“DFARS”), in effect on the date of this Purchase Order, unless otherwise specified, are applicable to and are incorporated by reference into this Order (in addition to any other incorporated terms and conditions). Seller’s obligations are thereunder similar to the obligations of Advanced Input Devices under its Government prime contract or subcontract. In addition to the following clauses, there are also incorporated by reference into this Purchase Order any and all clauses or terms and conditions which are required to be in federal procurement subcontracts as a matter of law. As used in the following clauses, and unless the context of the clauses requires otherwise, “Contracting Officer” shall mean the person duly authorized to act on behalf of Advanced Input Devices with respect to this order, “Contract” shall mean this Purchase Order, “Subcontract” shall mean this subcontract and any purchase orders that may be issued under this Order by Seller, “Contractor” or “Offeror” shall mean Seller, and “Government” shall mean Advanced Input Devices. Copies of all notices or reports to be furnished under the clauses incorporated below shall be furnished to Advanced Input Devices.

The following clauses from the FAR and the DFARS are hereby incorporated by reference with the same force and effect as if set forth in full text:

#### **FAR Provisions**

##### **Applicable for all subcontracts:**

[52.209-2](#) Prohibition On Contracting With Inverted Domestic Corporations—Representation

##### **Applicable for all subcontracts over \$30,000**

[52.209-6](#) Protecting the Government’s Interest When Subcontracting with Contractors Debarred, Suspended, or Proposed for Debarment (Except for Commercial Items) (**contracts over \$35,000**)

**Applicable for all subcontracts over \$150,000 (the [Simplified Acquisition Threshold](#)):**

- [52.203-3](#) Gratuities
- [52.203-5](#) Covenant Against Contingent Fees (not for commercial items)
- [52.203-6](#) Restrictions on Subcontractor Sales to the Government
- [52.203-7](#) Anti-Kickback Procedures (less paragraph (c) (1))
- [52.203-10](#) Price or Fee Adjustment for Illegal or Improper Activity (not for commercial items)
- [52.203-11](#) Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions
- [52.203-12](#) Limitation on Payments to Influence Certain Federal Transactions
- 52.203-16 Preventing Personal Conflicts of Interest
- 52.215-14 Integrity of Unit Prices
- 52.248-1 Value Engineering

**Applicable for all subcontracts over \$750,000**

- 52.214-26 Audit and records – Sealed Bidding (**contracts** involving sealed bidding)
- 52.214-27 Price reduction for Defective Certified Cost or Pricing Data-modifications-sealed bidding
- 52.214-28 Subcontractor Cost or Pricing Data-modifications-sealed bidding
- 52.215-2 Audits and Records – Negotiation (cost-reimbursement, incentive, time-and-material, labor hour, or price redeterminable only)
- 52.215-10 Price Reduction for Defective Cost or Pricing Data
- 52.215-11 Price Reduction for Defective Cost or Pricing Data – Modifications
  - 52.215-12 Subcontractor Cost or Pricing Data
- 52.215-13 Subcontractor Cost or Pricing Data – Modifications

**Applicable for all subcontracts over \$5,500,000**

- [52.203-13](#) Contractor Code of Business Ethics and Conduct (**contracts over \$5.5 million** and period of performance greater than 120 days)
- [52.203-14](#) Display of Hotline Poster
- 52.222-24 Preaward On-site Equal Opportunity Compliance Evaluation (**contracts over \$10 million**)

**Applicable when indicated by Buyer**

- 52.211-11 Material Requirements
- 52.211-15 Defense Priority and Allocation Requirements (**when PO is identified as a rated order**)
- 52.215-19 Notification of Ownership Changes
- 52.215-20 Requirements for Cost or Pricing Data or Information Other Than Cost or Pricing Data
- 52.215-21 Requirements for Cost or Pricing Data or Information Other Than Cost or Pricing Data – Modifications
- 52.219-8 Utilization of Small Business Concerns
- 52.222-18 Certification Regarding Knowledge of Child Labor for Listed End Products
- 52.222-50 Combating Trafficking in Persons
- 52.223-3 Hazardous Material Identification and Material Safety Data
- 52.223-11 Ozone Depleting Substances
- 52.225-5 Trade Agreements
- 52.225-6 Trade Agreements Certificate
- 52.225-8 Duty Free Entry
- 52.225-13 Restrictions on Certain Foreign Purchases
- 52.227-1 Authorization and Consent – Alternate I
- 52.227-2 Notice and Assistance Regarding Patent and Copyright Infringement
- 52.227-3 Patent Indemnity
- 52.227-9 Refund of Royalties (**contracts that contain royalties exceeding \$250**)
- 52.227-10 Filing of Patent Applications – Classified Subject Matter (contracts with classified subject matter)
- 52.227-11 Patent Rights – Ownership by the Contractor
- 52.227-13 Patent Rights – Ownership by the Government
- 52.227-16 Additional Data Requirements



- 52.227-19 Commercial Computer Software License
- 52.229-7 Taxes – Fixed-Price Contracts with Foreign Governments
- 52.229-10 State of New Mexico Gross Receipts and Compensating Tax (cost –reimbursement and contract for services in the State of New Mexico)
- 52.230-2 Cost Accounting Standards
- 52.230-3 Disclosure and Consistency of Cost Accounting Practices
- 52.230-4 Disclosure and Consistency of Cost Accounting Practices - Foreign Concerns
- 52.230-6 Administration of Cost Accounting Standards
- 52.236-13 Accident Prevention
- 52.242-13 Bankruptcy
- [52.242-15](#) Stop-Work Order
- 52.242-17 Government Delay of Work
- 52.243-1 Changes-Fixed Price
- 52.243-2 Changes-Cost Reimbursement
- 52.243-6 Change Order Accounting
- 52.244-5 Competition in Subcontracting
- 52.244-6 Subcontracts for Commercial Items
- 52.245-1 Government Property
- 52.246-2 Inspection of Supplies – Fixed Price
- 52.246-3 Inspection of Supplies (cost-reimbursement contracts)
- 52.246-16 Responsibility for Supplies
- 52.246-17 Warranty of Supplies of a Noncomplex Nature
- 52.246-18 Warranty of Supplies of a Complex Nature
- 52.247-63 Preference for U.S.-Flag Air Carriers
- [52.247-64](#) Preference for Privately Owned U.S. – Flag Commercial Vessels
- 52.249-1 Termination for the Convenience of the Government – Fixed Price, Short Form
- 52.249-2 Termination for the Convenience of the Government – Fixed Price
- 52.249-6 Termination for the Convenience of the Government – Cost-Reimbursement
- 52.249-8 Default

#### **DFARS Provisions**

- 252.203-7001 Prohibition on persons convicted of Fraud or other Defense – Contract related felonies  
**(contracts over \$150,000)**
- 252.203-7003 Agency Officer of the Inspector General
- 252.204-7000 Disclosure of Information
- 252.204-7008 Compliance with Safeguarding Covered Defense Information Controls
- 252.204-7009 Limitations on the Use and Disclosure of Third Party Contractor Reported Cyber Incident Information
- 252.204-7012 Safeguarding Covered Defense Information and Cyber Incident Reporting
- 252.209-7004 Subcontracting with Firms That Are Owned or Controlled by the Government of a Terrorist Country
- 252.215-7000 Pricing Adjustments
- 252.217-7003 Changes
- 252.223-7001 Hazard Warning Labels
- 252.223-7002 Safety Precautions for Ammunition & Explosives
- 252.223-7003 Change in Place of Performance - Ammunition & Explosives
- 252.223-7006 Prohibition on Storage and Disposal of Toxic and Hazardous Materials
- 252.223-7007 Safeguarding Sensitive Conventional Arms, Ammunition, and Explosives
- 252.223-7008 Prohibition of Hexavalent Chromium
- 252.225.7007 Prohibition on Acquisition of United States Munitions List Items from Communist Chinese Military Companies **(ITAR items only)**
- 252.225-7008 Restriction on Acquisition of Specialty Metals
- 252.225-7009 Restriction on Acquisition of Certain Articles Containing Specialty Metals
- 252.225-7012 Preference for Certain Domestic Commodities
- 252.225-7013 Duty Free Entry
- 252.225-7016 Restriction on Acquisition of Ball and Roller Bearings
- 252.225-7020 Trade Agreements Certificate

- 252.225-7021 Trade Agreements
- 252.225-7025 Restriction on Acquisition of Forgings
- 252.225-7032 Waiver of United Kingdom Levies – Evaluation of Offers
- 252.225.7033 Waiver of United Kingdom Levies (**contracts exceeding \$1 million and with a UK contractor**)
- 252.225-7048 Export-Controlled Items
- 252.227-7013 Rights in Technical Data – Noncommercial Items
- 252.227-7014 Rights in Noncommercial Computer Software
- 252.227-7015 Technical Data – Commercial Items
- 252.227-7019 Validation of Asserted Restrictions - Computer Software
- 252.227-7025 Limitations on the Use or Disclosure of Government-furnished Information Marked with Restrictive Legends
- 252.227-7026 Deferred Delivery of Technical Data or Computer Software
- 252.227-7027 Deferred Ordering of Technical Data or Computer Software
- 252.227-7028 Technical Data or Computer Software Previously Delivered to the Government
- 252.227-7030 Technical Data - Withholding of Payment
- 252.227-7037 Validation of Restrictive Markings on Technical Data (not applicable to commercial items)
- 252.227-7038 Patent Rights – Ownership by the Contractor (Large Business)
- 252.231-7000 Supplemental Cost Principles
- 252.236-7000 Modification Proposals – Price Breakdown
- 252.243-7001 Pricing of Contract Modifications
- 252.244-7000 Subcontracts for Commercial Items
- 252.246-7001 Warranty of Data
- 252.246-7003 Notification of potential safety issues
- 252.246-7007 Contractor Counterfeit Electronic Part Detection and Avoidance System
- 252.247-7023 Transportation of Supplies by Sea
- 252.247-7024 Notification of Transportation of Supplies by Sea
- 252.249-7002 Notification of Anticipated Contract Termination or Reduction (**contracts greater than \$700,000**)

**FAR Representations - Please complete if the Purchase Order Exceeds the Monetary Threshold Indicated**

**1. Complete if Purchase Order Exceeds \$35,000.00**

**FAR 52.209-5 - Certification Regarding Responsibility Matters**

(a)(1) The Offeror certifies, to the best of its knowledge and belief, that—

(i) The Offeror and/or any of its Principals—

(A) Are ( ) are not ( ) presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;

(B) Have ( ) have not ( ), within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, violating Federal criminal tax laws, or receiving stolen property (if offeror checks “have”, the offeror shall also see 52.209-7, if included in this solicitation);

(C) Are ( ) are not ( ) presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in subdivision (a)(1)(i)(B) of this provision; and

(D) Have  , have not  , within a three-year period preceding this offer, been notified of any delinquent Federal taxes in an amount that exceeds \$3,500 for which the liability remains unsatisfied.

(1) Federal taxes are considered delinquent if both of the following criteria apply:

- (i) The tax liability is finally determined. The liability is finally determined if it has been assessed. A liability is not finally determined if there is a pending administrative or judicial challenge. In the case of a judicial challenge to the liability, the liability is not finally determined until all judicial appeal rights have been exhausted.
- (ii) The taxpayer is delinquent in making payment. A taxpayer is delinquent if the taxpayer has failed to pay the tax liability when full payment was due and required. A taxpayer is not delinquent in cases where enforced collection action is precluded.

(2) Examples.

- (i) The taxpayer has received a statutory notice of deficiency, under I.R.C. §6212, which entitles the taxpayer to seek Tax Court review of a proposed tax deficiency. This is not a delinquent tax because it is not a final tax liability. Should the taxpayer seek Tax Court review, this will not be a final tax liability until the taxpayer has exercised all judicial appeal rights.
  - (ii) The IRS has filed a notice of Federal tax lien with respect to an assessed tax liability, and the taxpayer has been issued a notice under I.R.C. §6320 entitling the taxpayer to request a hearing with the IRS Office of Appeals contesting the lien filing, and to further appeal to the Tax Court if the IRS determines to sustain the lien filing. In the course of the hearing, the taxpayer is entitled to contest the underlying tax liability because the taxpayer has had no prior opportunity to contest the liability. This is not a delinquent tax because it is not a final tax liability. Should the taxpayer seek tax court review, this will not be a final tax liability until the taxpayer has exercised all judicial appeal rights.
  - (iii) The taxpayer has entered into an installment agreement pursuant to I.R.C. §6159. The taxpayer is making timely payments and is in full compliance with the agreement terms. The taxpayer is not delinquent because the taxpayer is not currently required to make full payment.
  - (iv) The taxpayer has filed for bankruptcy protection. The taxpayer is not delinquent because enforced collection action is stayed under 11 U.S.C. 362 (the Bankruptcy Code).
- (ii) The Offeror has ( ) has not ( ) , within a 3-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.

(2) Principal, for the purposes of this certification, means an officer, director, owner, partner, or a person having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a division or business segment; and similar positions).

(b) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

(c) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such additional information as requested by the Contracting Officer may render the Offeror nonresponsible.

(d) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

(e) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to the Government, the Contracting Officer may terminate the contract resulting from this solicitation for default.

Signature: \_\_\_\_\_

Print Name: \_\_\_\_\_

Company Name: \_\_\_\_\_

Date: \_\_\_\_\_

(End of provision)

**2. Complete if Purchase Order Exceeds \$150,000**

**Certification and Disclosure Regarding Payments To Influence Certain Federal Transactions  
(SEP 2007)**

(a) Definitions. As used in this provision—"Lobbying contact" has the meaning provided at 2 U.S.C. 1602(8). The terms "agency," "influencing or attempting to influence," "officer or employee of an agency," "person," "reasonable compensation," and "regularly employed" are defined in the FAR clause of this solicitation entitled "Limitation on Payments to Influence Certain Federal Transactions" (52.203-12).

(b) Prohibition. The prohibition and exceptions contained in the FAR clause of this solicitation entitled "Limitation on Payments to Influence Certain Federal Transactions" (52.203-12) are hereby incorporated by reference in this provision.

(c) Certification. The offeror, by signing its offer, hereby certifies to the best of its knowledge and belief that no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on its behalf in connection with the awarding of this contract.

(d) Disclosure. If any registrants under the Lobbying Disclosure Act of 1995 have made a lobbying contact on behalf of the offeror with respect to this contract, the offeror shall complete and submit, with its offer, OMB Standard Form LLL, Disclosure of Lobbying Activities, to provide the name of the registrants. The offeror need not report regularly employed officers or employees of the offeror to whom payments of reasonable compensation were made.

(e) Penalty. Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by 31 U.S.C. 1352. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure required to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

Signature: \_\_\_\_\_

Print Name: \_\_\_\_\_

Company Name: \_\_\_\_\_

Date: \_\_\_\_\_

(End of provision)