

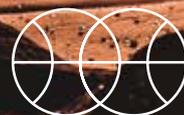
# i-Select

## Superannuation Scheme

# Investment Options Supplement

30 June 2020  
Issued by i-Select Limited

*This Investment Options Supplement is provided under the terms of an exemption that the i-Select Superannuation Scheme has from some of the provisions of the Financial Markets Conduct Act 2013 and Financial Markets Conduct Regulations 2014. You can find a copy of the exemption notice on FMA's website at [www.fma.govt.nz/compliance/exemptions](http://www.fma.govt.nz/compliance/exemptions).*



# iSelect

SUPERANNUATION

## Description of your investment options

A description of the investment options that are currently available to Members, and the estimated annual fund charges for those investment options, is set out in the table below.

***Please note that:***

- any changes to the investment options will only be updated quarterly; and
- any changes to the estimated total annual fund charges, other charges and any individual action fees in respect of each investment option will only be updated annually.
- further possible investment options are available for selection by a Member after consultation with their Authorised Financial Adviser.

## Custodian Brokerage Fees

Aegis/ICSL

FNZ

### ***Other charges***

Bank fees may apply where the Manager has to move member funds between accounts, such as from the Scheme's trust account to the Custodian.

### ***Brokerage Fees***

Brokerage fees may apply to the following types of investments and will be deducted from your investment amount:

### Investment type

| Investment type    | Aegis/ICSL                                    | FNZ   |
|--------------------|---|---|
| NZX Shares         | 0.35% min \$24.95 NZD plus Trade Fee \$4.50   | 0.30% min \$30 NZD plus Trade Fee \$10        |
| NZX Fixed Interest | 0.25% min \$25 NZD                            | 0.25% min \$30 NZD plus Trade Fee \$10        |
| ASX Shares         | 0.40% min \$39.95 AUD                         | 0.30% min \$30 AUD                            |
| ASX Fixed Interest | N/A   | 0.25% min \$30 AUD                            |
| US Shares          | 0.60% min \$50 USD                            | 0.40% min \$50 USD                            |
| UK Shares          | 0.60% min £25 (0.50% stamp duty on purchases) | 0.40% min £50 (0.50% stamp duty on purchases) |

### ***Adviser Fees***

As a member of the i-Select Superannuation Scheme you must appoint an Authorised Financial Adviser to assist you in making decisions on the investments to be included in your Personal Plan. The service that the Authorised Financial Adviser provides will be subject to a fee that is negotiated with you at the time of joining the Scheme and is deducted from your investment amount.

## Example of how fees apply to an investor

John Smith invests \$10,000 in a number of investment options. He is charged brokerage when his funds are invested of \$20.00 (0.2% of \$10,000).

This brings the starting value of his investment to \$9,980.00.

He is also charged the management fee, which works out to \$39.92 (0.4% of \$9,980.00). These fees might be more or less if his account balance has increased or decreased over the year and are dependent on the type of investments he has selected.

He is also charged an ongoing Adviser fee, which works out to \$99.80 (1% of \$9,980.00). These fees might be more or less if his account balance has increased or decreased over the year and are dependent on the type of investments he has selected.

Over the next year John pays other charges of \$15 being a bank charge to move funds.

### Estimated total fees for the first year:

|                 |                 |
|-----------------|-----------------|
| Brokerage:      | \$20.00         |
| Management fee: | \$39.92         |
| Adviser fee:    | \$99.80         |
| Other charges:  | \$15.00         |
| <b>Total:</b>   | <b>\$174.72</b> |

If John's investment options include any funds, those funds may be subject additional fees (including performance fees) and expenses.

This example may not be representative of the actual fees you may be charged and reflects a mix of investment options at the maximum management fee rate.

## Understanding the risk indicator

A risk indicator in relation to an investor's investment portfolio will be provided by an investor's authorised financial adviser prior to any investments being made on behalf of the investor.

The risk indicator is rated from 1 (low) to 7 (high). The rating reflects how much the value of the fund's assets goes up and down (volatility). A higher risk generally means there is the potential for higher returns over time, but with the risk of higher losses, and there are likely to be more ups and downs along the way.

To help you clarify your own attitude to risk, you must seek financial advice from an authorised financial adviser. In addition, you may work out your risk profile at <https://www.sorted.org.nz/tools/investor-kickstarter>.

Note that even the lowest category does not mean a risk-free investment, and there are other risks that are not captured by this rating.

The risk indicator is not a guarantee of a Personal Plan's future performance. The risk indicator is based on the returns data for a five year time period. While risk indicators are usually relatively stable, they do shift from time to time.

### Example risk indicator



See the product disclosure statement (PDS) for more information about the risks associated with investing in an investment portfolio.



## Exit Fees

---

An Exit Fee applies if funds in excess of 30% of the original contribution amounts are taken as lump sum benefits within a two year period (the amounts caught by the Exit Charge are called "Relevant Contributions" here). The Exit Fee is 3% if Relevant Contributions are taken as lump sum benefits within 12 months, and 1.5% if taken as lump sum benefits between 12 and 24 months.

Part of any Exit Fee will be paid to your Financial Adviser unless they elect to waive it. Where your Financial Adviser elects to waive the payment, the Exit Fee will be reduced to 2.5% (within 12 months) and 1.25% (12 to 24 months) respectively.

Exit fees do not apply to the growth on Relevant Contributions, or Income for Life Payments on Relevant Contributions.

---

# Investment Options Supplement

---

June 2020



**iSelect**  
SUPERANNUATION

| Asset Name   | Individual Action Fees                 | Performance Fees charged by Underlying Investment                             | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment   |
|--|--|---|---|---|---|
| 3i Infrastructure Plc - GBP (3IN)                  | 0.30%                                  | 2.50%   | 2.30%   | <a href="https://www.3i-infrastructure.com/">https://www.3i-infrastructure.com/</a>                   | Our strategy is to maintain a balanced portfolio of investments to deliver an attractive mix of income yield and capital appreciation for our shareholders.   |
| Aberdeen Asian Income Fund Limited                 | 0.00%                                  | 0.00%   | 1.51%   | <a href="https://www.asian-income.co.uk/">https://www.asian-income.co.uk/</a>                         | To provide investors with a total return primarily through investing in Asian Pacific securities, including those with an above average yield. Within its overall investment objective, the Company aims to grow its dividends over time. |
| Aberdeen Asian Income Fund Ltd - GBP (AAIF)        | 0.00%                                  | 0.00%   | 1.51%   | <a href="https://www.asian-income.co.uk/">https://www.asian-income.co.uk/</a>                         | To provide investors with a total return primarily through investing in Asian Pacific securities, including those with an above average yield. Within its overall investment objective, the Company aims to grow its dividends over time. |
| Aberdeen New Dawn Investment Trust PLC - GBP (ABD) | 0.00%                                  | 0.00%   | 1.53%   | <a href="https://www.newdawn-trust.co.uk/">https://www.newdawn-trust.co.uk/</a>                       | The objective of Aberdeen New Dawn Investment Trust PLC is to provide shareholders with a high level of capital growth through equity investment in the Asia Pacific countries excluding Japan.   |
| Aberdeen Std Emerging Opports Fd                   | Buy Spread 0.15%,<br>Sell Spread 0.15% | 0.00%   | 1.95%   | <a href="https://www.aberdeenstandard.com/">https://www.aberdeenstandard.com/</a>                     | To provide investors with high capital growth over the medium to long term (3-5 years) by seeking exposure to emerging stock markets worldwide or companies with significant activities in emerging markets.                              |
| Activision Inc                                     | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.activision.com/">https://www.activision.com/</a>                                 | Activision Publishing, Inc. is an American video game publisher. It was founded on October 1, 1979 and was the world's first independent developer and distributor of video games for gaming consoles.                                    |
| Affluence Investment Fund                          | Buy Spread 0.25%,<br>Sell Spread 0.25% | 12.5% of positive performance after recovering any previous underperformance. | 0.40%   | <a href="https://www.affluencefunds.com.au/">https://www.affluencefunds.com.au/</a>                   | The fund provides access to 20-35 of the very best investment managers across all asset classes, predominantly through a diversified portfolio of unlisted managed funds.   |
| AGL Energy Limited Fully Paid Shares - AUD (AGL)   | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.agl.com.au">https://www.agl.com.au</a>   | AGL targets a payout ratio of approximately 75% of Underlying Profit after tax where a minimum franking level of 80% can be maintained.   |
| Air New Zealand Limited - NZD (AIR)                | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.airnewzealand.co.nz/">https://www.airnewzealand.co.nz/</a>                       | Air New Zealand operates a global network that provides passenger and cargo services to, from and within New Zealand to approximately 17 million passengers a year.   |
| Alcatel SA   | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.nokia.com">https://www.nokia.com</a>   | Nokia purchased Alcatel. Nokia is a global leader in innovations such as mobile networks, digital health and phones.  |
| Alibaba Group Holding Ltd                          | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.alibabagroup.com/en/global/home">https://www.alibabagroup.com/en/global/home</a> | Alibaba Group Holding Limited operates as a holding company. The Company provides internet infrastructure, e-commerce, online financial, and internet content services through its subsidiaries.  |
| Alibaba Group Holdings - USD (BABA)                | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.alibabagroup.com/en/global/home">https://www.alibabagroup.com/en/global/home</a> | Alibaba Group Holding Limited operates as a holding company. The Company provides internet infrastructure, e-commerce, online financial, and internet content services through its subsidiaries.  |
| All Country Global Shares Index Fund (AIF PI)      | Buy Spread 0.06%,<br>Sell Spread 0.06% | 0.00%   | 0.79%   | <a href="https://www.ampcapital.com/nz/en/home">https://www.ampcapital.com/nz/en/home</a>             | Aims to provide a return that closely matches the return of the MSCI All Country World ex Tobacco Index in New Zealand dollars with net dividends reinvested (69% hedged to the New Zealand dollar).                                      |

| Asset Name                                     | Individual Action Fees                 | Performance Fees charged by Underlying Investment   | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment   |
|--|--|---|---|---|---|
| Allan Gray Australia Equity A                  | Buy Spread 0.20%,<br>Sell Spread 0.20% | 20.00%  | 1.15%   | <a href="https://www.allangray.com.au/">https://www.allangray.com.au/</a>                       | The Equity Fund is an actively managed, contrarian fund that gives you the opportunity to outperform the market over the long term by investing in select Australian shares.  |
| Allan Gray Australia Equity B                  | Buy Spread 0.20%,<br>Sell Spread 0.20% | 20.00%  | 1.15%   | <a href="https://www.allangray.com.au/">https://www.allangray.com.au/</a>                       | The Equity Fund is an actively managed, contrarian fund that gives you the opportunity to outperform the market over the long term by investing in select Australian shares.  |
| Allan Gray Australia Equity Fund - Class A (W) | Buy Spread 0.20%,<br>Sell Spread 0.20% | 20.00%  | 1.15%   | <a href="https://www.allangray.com.au/">https://www.allangray.com.au/</a>                       | The Equity Fund is an actively managed, contrarian fund that gives you the opportunity to outperform the market over the long term by investing in select Australian shares.  |
| Alliance Trust PLC - GBP (ATST)                | Buy Spread 0.01%,<br>Sell Spread 0.01% | 0.00%   | 1.05%   | <a href="https://www.hl.co.uk/">https://www.hl.co.uk/</a>                                       | Alliance Trust is an investment company with investment trust status. Our objective is to generate a real return for our shareholders over the medium to long term by a combination of capital growth and a rising dividend. We have no fixed asset allocation benchmark although we mainly invest in global equities but can use a range of asset classes throughout the world to achieve our objective.   |
| Allianz Technology Trust Plc - GBP (ATT)       | 0.00%                                  | 12.5% of the outperformance of the NAV compared to the indexed NAV over the performance period. The fee is capped at a maximum of 2.25% of the Trust's NAV at the year-end. | 1.33%   | <a href="https://www.allianztechnologytrust.com/#">https://www.allianztechnologytrust.com/#</a> | The Trust's objective is to achieve long-term capital growth by investing principally in the equity securities of quoted technology companies on a worldwide basis.   |
| Alphabet Inc - Class A                         | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://abc.xyz/">https://abc.xyz/</a>   | Alphabet Inc. is a holding company. The Company holds interests in Google Inc. (Google). The Company's segments include Google and Other Bets. Google segment includes Internet products, such as Search, Ads, Commerce, Maps, YouTube, Apps, Cloud, Android, Chrome, Google Play, and hardware products, including Chromecast, Chromebooks and Nexus, which are sold by the Company. Its technical infrastructure and Virtual Reality are also included in Google segment. |
| Alphabet Inc Class A - USD (GOOGL)             | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://abc.xyz/">https://abc.xyz/</a>   | Alphabet Inc. is a holding company. The Company holds interests in Google Inc. (Google). The Company's segments include Google and Other Bets. Google segment includes Internet products, such as Search, Ads, Commerce, Maps, YouTube, Apps, Cloud, Android, Chrome, Google Play, and hardware products, including Chromecast, Chromebooks and Nexus, which are sold by the Company. Its technical infrastructure and Virtual Reality are also included in Google segment. |
| Alphabet Inc Class C - USD (GOOG)              | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://abc.xyz/">https://abc.xyz/</a>   | Alphabet Inc. is a holding company. The Company holds interests in Google Inc. (Google). The Company's segments include Google and Other Bets. Google segment includes Internet products, such as Search, Ads, Commerce, Maps, YouTube, Apps, Cloud, Android, Chrome, Google Play, and hardware products, including Chromecast, Chromebooks and Nexus, which are sold by the Company. Its technical infrastructure and Virtual Reality are also included in Google segment. |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                     | Description of Investment   |
|---|--|---|---|---|---|
| Alteryx Inc   | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.alteryx.com/">https://www.alteryx.com/</a>             | Alteryx is a leader in Analytic Process Automation (APA). The Alteryx APA platform unifies analytics, data science and business process automation in one easy-to-use platform to accelerate digital transformation.  |
| Alumina Limited - AUD (AWC)   | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.aluminalimited.com">https://www.aluminalimited.com</a> | Alumina Limited represents a unique opportunity for a pure investment in the world's largest alumina and bauxite producer, AWAC.  |
| Amazon.Com Inc  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.amazon.com">https://www.amazon.com</a>                 | Amazon.com, Inc. offers a range of products and services through its Websites. The Company's products include merchandise and content that it purchases for resale from vendors and those offered by third-party sellers. The Company has three segments: North America, International and Amazon Web Services (AWS).   |
| Amazon.com Inc - USD (AMZN)   | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.amazon.com">https://www.amazon.com</a>                 | Amazon.com, Inc. offers a range of products and services through its Websites. The Company's products include merchandise and content that it purchases for resale from vendors and those offered by third-party sellers. The Company has three segments: North America, International and Amazon Web Services (AWS). The Company's North America segment focuses on retail sales of consumer products from sellers and subscriptions, through its North America-focused Websites, such as www.amazon.com, www.amazon.ca and www.amazon.com.mx. |
| Amcor PLC - AUD (AMC)   | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.amcor.com">https://www.amcor.com</a>                   | Amcor is a global leader in responsible global packaging solutions supplying a broad range of rigid & flexible packaging products into the food, beverage, healthcare, home and personal care and tobacco packaging industries.   |
| AMP Capital Australasian Property Index Fund (AIF P) (PIE) - XR             | Buy Spread 0.15%,<br>Sell Spread 0.15% | 0.00%   | 0.82%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a>       | To provide investors with an opportunity to participate in a passively managed low tracking error portfolio tracking the S&P/NZX All Real Estate (Industry Group) Gross with Imputation Index and the S&P/ASX 200 A-REIT Accumulation Index fully hedged to New Zealand dollars.  |
| AMP Capital Core Hedged Global Shares Fund (AIF HI) (PIE)                   | 0.00%                                  | 0.00%   | 1.63%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a>       | To provide a Gross Return above the return of the MSCI World (ex Tobacco) Index with net dividends reinvested (139% gross hedged to the New Zealand dollar) on a rolling three year basis.  |
| AMP Capital Ethical Leaders Global Shares Fund (AIF R) (PIE)                | 0.00%                                  | 0.00%   | 1.86%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a>       | The Ethical Leaders Global Shares Fund recognises that environmental, social and ethical considerations, labour standards and corporate governance factors can positively impact long term business success. Taking these factors into account when investing, the fund targets competitive investment performance with clear social and environmental outcomes.  |
| AMP Capital Ethical Leaders Hedged Global Fixed Interest Fund (AIF Q) (PIE) | Buy Spread 0.12%,<br>Sell Spread 0.12% | 0.00%   | 1.22%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a>       | To outperform, over the medium term, the weighted average return of the market indices used to measure performance of the underlying funds/assets in which the fund invests.  |

| Asset Name   | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment               | Description of Investment  |
|--|--|---|---|---|--|
| AMP Capital Ethical Leaders Hedged Global Fixed Interest Fund (AIF Q)(PIE) - SWM | Buy Spread 0.12%,<br>Sell Spread 0.12% | 0.00%   | 1.22%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | To outperform, over the medium term, the weighted average return of the market indices used to measure performance of the underlying funds/assets in which the fund invests.   |
| AMP Capital Ethical Leaders Hedged Global Fixed Interest Fund AIF Q              | Buy Spread 0.12%,<br>Sell Spread 0.12% | 0.00%   | 1.22%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | To outperform, over the medium term, the weighted average return of the market indices used to measure performance of the underlying funds/assets in which the fund invests.   |
| AMP Capital Ethical Leaders NZ Shares Fund (AIF RE) (PIE)                        | Buy Spread 0.27%,<br>Sell Spread 0.27% | 0.00%   | 1.40%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | A diversified portfolio of predominately New Zealand shares which is constructed to outperform the S&P/NZX 50 Index.   |
| AMP Capital Global Companies Fund (AIF GC)                                       | Buy Spread 0.30%,<br>Sell Spread 0.30% | 0.45%   | 2.04%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The Fund seeks to deliver a compound rate of return greater than 10% per annum after fees over the long-term (typically 5 to 7 years). We expect the Fund will exhibit lower risk of capital loss than broader global equity markets over the long-term.               |
| AMP Capital Global Companies Fund (AIF GC) (PIE)                                 | Buy Spread 0.30%,<br>Sell Spread 0.30% | 0.45%   | 2.04%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The Fund seeks to deliver a compound rate of return greater than 10% per annum after fees over the long-term (typically 5 to 7 years). We expect the Fund will exhibit lower risk of capital loss than broader global equity markets over the long-term.               |
| AMP Capital Global Companies Fund (AIF GC) (PIE) - SWM                           | Buy Spread 0.30%,<br>Sell Spread 0.30% | 0.45%   | 2.04%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The Fund seeks to deliver a compound rate of return greater than 10% per annum after fees over the long-term (typically 5 to 7 years). We expect the Fund will exhibit lower risk of capital loss than broader global equity markets over the long-term.               |
| AMP Capital Global Listed Infrastructure Fund (AIF GI) (PIE)                     | Buy Spread 0.25%,<br>Sell Spread 0.25% | 0.00%   | 1.70%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | To provide total returns (income and capital growth) after costs and before tax, above the Dow Jones Brookfield Global Infrastructure Index, fully hedged to New Zealand dollars, on a rolling three year basis.   |
| AMP Capital Global Property Securities Fund - AIF GP                             | Buy Spread 0.25%,<br>Sell Spread 0.25% | 0.00%   | 1.73%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | To provide a Gross Return above the FTSE EPRA/NAREIT Developed Total Return Index with net dividends reinvested (fully hedged to the New Zealand dollar on a net after tax basis based on the current maximum Prescribed Investor Rate) on a rolling three year basis. |
| AMP Capital Global Property Securities Fund (AIF GP) (PIE)                       | Buy Spread 0.25%,<br>Sell Spread 0.25% | 0.00%   | 1.73%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | To provide a Gross Return above the FTSE EPRA/NAREIT Developed Total Return Index with net dividends reinvested (fully hedged to the New Zealand dollar on a net after tax basis based on the current maximum Prescribed Investor Rate) on a rolling three year basis. |
| AMP Capital Global Property Securities Fund (AIF GP) (PIE) - SWM                 | Buy Spread 0.25%,<br>Sell Spread 0.25% | 0.00%   | 1.73%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | To provide a Gross Return above the FTSE EPRA/NAREIT Developed Total Return Index with net dividends reinvested (fully hedged to the New Zealand dollar on a net after tax basis based on the current maximum Prescribed Investor Rate) on a rolling three year basis. |
| AMP Capital Global Short Duration Fund - NWQ3                                    | Buy Spread 0.08%,<br>Sell Spread 0.50% | 0.00%   | 1.21%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | To provide a Gross Return above the return of the Bloomberg NZBond Bank Bill Index on a rolling three year basis.  |

| Asset Name   | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment               | Description of Investment   |
|--|--|---|---|---|---|
| AMP Capital Global Short Duration Fund (NWQ3) (PIE)  | Buy Spread 0.08%,<br>Sell Spread 0.50% | 0.00%   | 1.21%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | To provide a Gross Return above the return of the Bloomberg NZBond Bank Bill Index on a rolling three year basis.   |
| AMP Capital Income Generator Fund - AIG F            | Buy Spread 0.02%,<br>Sell Spread 0.02% | 0.00%   | 1.27%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The AMP Capital Income Generator Fund seeks to meet this need by providing a regular and stable income combined with long-term capital growth. The Fund invests in a diversified mix of income-producing growth and defensive assets, and has the flexibility to alter the asset allocation across multiple sectors to capitalise on opportunities as they arise.   |
| AMP Capital Income Generator Fund (AIG F) (PIE)      | Buy Spread 0.02%,<br>Sell Spread 0.02% | 0.00%   | 1.27%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The AMP Capital Income Generator Fund seeks to meet this need by providing a regular and stable income combined with long-term capital growth. The Fund invests in a diversified mix of income-producing growth and defensive assets, and has the flexibility to alter the asset allocation across multiple sectors to capitalise on opportunities as they arise.   |
| AMP Capital Income Generator Fund (AIG F) (PIE) - SR | Buy Spread 0.02%,<br>Sell Spread 0.02% | 0.00%   | 1.27%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The AMP Capital Income Generator Fund seeks to meet this need by providing a regular and stable income combined with long-term capital growth. The Fund invests in a diversified mix of income-producing growth and defensive assets, and has the flexibility to alter the asset allocation across multiple sectors to capitalise on opportunities as they arise.   |
| AMP Capital NZ Cash Fund (AIF D) (PIE)               | 0.00%                                  | 0.00%   | 0.66%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The New Zealand Cash Fund is an actively managed portfolio of bank bills, floating rate notes and short term deposits and securities, with a maximum weighted average duration not exceeding six months. The investment team considers economic fundamentals, market sentiment, fund flows and relative value between the cash rate and other securities along the yield curve in determining the selection of suitable securities. |
| AMP Capital NZ Cash Fund (AIF D) (PIE) - SWM         | 0.00%                                  | 0.00%   | 0.66%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The New Zealand Cash Fund is an actively managed portfolio of bank bills, floating rate notes and short term deposits and securities, with a maximum weighted average duration not exceeding six months. The investment team considers economic fundamentals, market sentiment, fund flows and relative value between the cash rate and other securities along the yield curve in determining the selection of suitable securities. |
| AMP Capital NZ Cash Fund AIF D                       | 0.00%                                  | 0.00%   | 0.66%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The New Zealand Cash Fund is an actively managed portfolio of bank bills, floating rate notes and short term deposits and securities, with a maximum weighted average duration not exceeding six months. The investment team considers economic fundamentals, market sentiment, fund flows and relative value between the cash rate and other securities along the yield curve in determining the selection of suitable securities. |
| AMP Capital NZ Fixed Interest Fund - AIF F           | Buy Spread 0.05%,<br>Sell Spread 0.75% | 0.00%   | 0.96%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The New Zealand Fixed Interest Fund is an actively managed portfolio of predominantly New Zealand fixed interest investments designed for those who wish to invest in a diversified portfolio of fixed interest securities/ instruments. The portfolio is structured to add value through interest rate, yield curve and credit management.   |

| Asset Name   | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment               | Description of Investment   |
|--|--|---|---|---|---|
| AMP Capital NZ Fixed Interest Fund (AIF F) (PIE) (W)       | Buy Spread 0.05%,<br>Sell Spread 0.75% | 0.00%   | 0.96%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The New Zealand Fixed Interest Fund is an actively managed portfolio of predominantly New Zealand fixed interest investments designed for those who wish to invest in a diversified portfolio of fixed interest securities/instruments. The portfolio is structured to add value through interest rate, yield curve and credit management.                        |
| AMP Capital NZ Fixed Interest Fund (AIF F) (PIE) (W) - NR  | Buy Spread 0.05%,<br>Sell Spread 0.75% | 0.00%   | 0.96%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The New Zealand Fixed Interest Fund is an actively managed portfolio of predominantly New Zealand fixed interest investments designed for those who wish to invest in a diversified portfolio of fixed interest securities/instruments. The portfolio is structured to add value through interest rate, yield curve and credit management.                        |
| AMP Capital NZ Fixed Interest Fund (AIF F) (PIE) (W) - SR  | Buy Spread 0.05%,<br>Sell Spread 0.75% | 0.00%   | 0.96%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The New Zealand Fixed Interest Fund is an actively managed portfolio of predominantly New Zealand fixed interest investments designed for those who wish to invest in a diversified portfolio of fixed interest securities/instruments. The portfolio is structured to add value through interest rate, yield curve and credit management.                        |
| AMP Capital NZ Fixed Interest Fund (AIF F) (PIE) (W) - SWM | Buy Spread 0.05%,<br>Sell Spread 0.75% | 0.00%   | 0.96%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The New Zealand Fixed Interest Fund is an actively managed portfolio of predominantly New Zealand fixed interest investments designed for those who wish to invest in a diversified portfolio of fixed interest securities/instruments. The portfolio is structured to add value through interest rate, yield curve and credit management.                        |
| AMP Capital NZ Shares Index Fund (AIF PE) (PIE) - XR       | Buy Spread 0.15%,<br>Sell Spread 0.15% | 0.00%   | 0.73%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The Fund aims to provide a return that closely matches the return of the S&P/NZX 50 Index (on a gross basis and including imputation credits).  |
| AMP Capital NZ Short Duration Fund (AIF Y) (PIE)           | Buy Spread 0.05%,<br>Sell Spread 0.85% | 0.00%   | 0.97%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The New Zealand Short Duration Fund aims to provide a consistently good income using a combination of interest rate and investment grade credit strategies. It targets a shorter average duration (and maturity) than traditional fixed income funds, and its risk/return profile should place it between a cash portfolio and a standard fixed income portfolio. |
| AMP Capital NZ Short Duration Fund (AIF Y) (PIE) - NR      | Buy Spread 0.05%,<br>Sell Spread 0.85% | 0.00%   | 0.97%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The New Zealand Short Duration Fund aims to provide a consistently good income using a combination of interest rate and investment grade credit strategies. It targets a shorter average duration (and maturity) than traditional fixed income funds, and its risk/return profile should place it between a cash portfolio and a standard fixed income portfolio. |
| AMP Capital NZ Short Duration Fund (AIF Y) (PIE) - SWM     | Buy Spread 0.05%,<br>Sell Spread 0.85% | 0.00%   | 0.97%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a> | The New Zealand Short Duration Fund aims to provide a consistently good income using a combination of interest rate and investment grade credit strategies. It targets a shorter average duration (and maturity) than traditional fixed income funds, and its risk/return profile should place it between a cash portfolio and a standard fixed income portfolio. |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment   | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                             | Description of Investment   |
|---|--|---|---|---|---|
| AMP Capital NZ Short Duration Fund (AIF Y) (PIE) - XR | Buy Spread 0.05%,<br>Sell Spread 0.85% | 0.00%   | 0.97%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a>               | The New Zealand Short Duration Fund aims to provide a consistently good income using a combination of interest rate and investment grade credit strategies. It targets a shorter average duration (and maturity) than traditional fixed income funds, and its risk/return profile should place it between a cash portfolio and a standard fixed income portfolio.   |
| AMP Capital NZ Short Duration Fund AIF Y              | Buy Spread 0.05%,<br>Sell Spread 0.85% | 0.00%   | 0.97%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a>               | The New Zealand Short Duration Fund aims to provide a consistently good income using a combination of interest rate and investment grade credit strategies. It targets a shorter average duration (and maturity) than traditional fixed income funds, and its risk/return profile should place it between a cash portfolio and a standard fixed income portfolio.   |
| Antipodes Global Fund - Class P                       | Buy Spread 0.30%,<br>Sell Spread 0.30% | 15% of the difference in the Fund's return (net of management fees) relative to its benchmark return multiplied by the net asset value of the Fund. | 1.60%   | <a href="https://www.antipodespartners.com/">https://www.antipodespartners.com/</a> | Australian domiciled fund offering absolute returns in excess of the benchmark over the investment cycle (typically 3-5 years) at below market levels of risk.  |
| Antipodes Global Fund (PIE)                           | Buy Spread 0.30%,<br>Sell Spread 0.30% | 15% of the difference in the Fund's return (net of management fees) relative to its benchmark return multiplied by the net asset value of the Fund. | 1.60%   | <a href="https://www.antipodespartners.com/">https://www.antipodespartners.com/</a> | Australian domiciled fund offering absolute returns in excess of the benchmark over the investment cycle (typically 3-5 years) at below market levels of risk.  |
| Antipodes Global Fund (W)                             | Buy Spread 0.30%,<br>Sell Spread 0.30% | 15% of the difference in the Fund's return (net of management fees) relative to its benchmark return multiplied by the net asset value of the Fund. | 1.60%   | <a href="https://www.antipodespartners.com/">https://www.antipodespartners.com/</a> | Australian domiciled fund offering absolute returns in excess of the benchmark over the investment cycle (typically 3-5 years) at below market levels of risk.  |
| Antipodes Global Investment Company Ltd - AUD (APL)   | Buy Spread 0.30%,<br>Sell Spread 0.30% | 15% of the difference in the Fund's return (net of management fees) relative to its benchmark return multiplied by the net asset value of the Fund. | 1.60%   | <a href="https://www.antipodespartners.com/">https://www.antipodespartners.com/</a> | Australian domiciled fund offering absolute returns in excess of the benchmark over the investment cycle (typically 3-5 years) at below market levels of risk.  |
| ANZ Bank New Zealand Limited 3.71% 01/09/2023         | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.anz.co.nz">https://www.anz.co.nz</a>                           | ANZ Private offers a superb combination of global reach and local knowledge and experience. <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul> |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment  |
|---|------------------------|---|---|---|--|
| <b>ANZ Bank New Zealand Limited 4.03% 25/09/2020</b>                          | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.anz.co.nz">https://www.anz.co.nz</a> | <p>ANZ Private offers a superb combination of global reach and local knowledge and experience.</p> <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul> |
| <b>ANZ Bank NZ Ltd @ 3.75% Mat 01/09/2022 - (ANB140)</b>                      | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.anz.co.nz">https://www.anz.co.nz</a> | <p>ANZ Private offers a superb combination of global reach and local knowledge and experience.</p> <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul> |
| <b>ANZ Bank NZ Ltd Fixed Rate Bonds @ 3.70% Mat 30/05/2023 - (ANB150)</b>     | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.anz.co.nz">https://www.anz.co.nz</a> | <p>ANZ Private offers a superb combination of global reach and local knowledge and experience.</p> <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul> |
| <b>ANZ Bank NZ Ltd Fixed Rate Bonds @ 4.03% Mat 25/09/2020 - NZD (ANB100)</b> | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.anz.co.nz">https://www.anz.co.nz</a> | <p>ANZ Private offers a superb combination of global reach and local knowledge and experience.</p> <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul> |
| <b>ANZ Bank NZ Ltd Mandatory Conv Non-Cum Perp Notes @3.76% - NZD (ANBHB)</b> | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.anz.co.nz">https://www.anz.co.nz</a> | <p>ANZ Private offers a superb combination of global reach and local knowledge and experience.</p> <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul> |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment         | Description of Investment   |
|---|--|---|---|---|---|
| ANZ Bank Term Deposit 1.25% 24/08/2020                  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.anz.co.nz">https://www.anz.co.nz</a>       | ANZ Private offers a superb combination of global reach and local knowledge and experience. <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul> |
| ANZ Bank Term Deposit 2.75% 23/11/2020                  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.anz.co.nz">https://www.anz.co.nz</a>       | ANZ Private offers a superb combination of global reach and local knowledge and experience. <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul> |
| ANZ Bank Term Deposit 2.75% 25/02/2021                  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.anz.co.nz">https://www.anz.co.nz</a>       | ANZ Private offers a superb combination of global reach and local knowledge and experience. <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul> |
| ANZ Banking Group Limited Fully Paid Shares - AUD (ANZ) | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.anz.co.nz">https://www.anz.co.nz</a>       | ANZ Private offers a superb combination of global reach and local knowledge and experience. <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul> |
| ANZ Banking Group Limited Fully Paid Shares - NZD (ANZ) | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.anz.co.nz">https://www.anz.co.nz</a>       | ANZ Private offers a superb combination of global reach and local knowledge and experience. <ul style="list-style-type: none"> <li>• Globally, ANZ's extensive worldwide network means we have access to the best in international experience and information.</li> <li>• Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul> |
| APN AREIT   | Buy Spread 0.25%,<br>Sell Spread 0.25% | 0.00%   | 1.52%   | <a href="https://apngroup.com.au/">https://apngroup.com.au/</a> | The APN AREIT PIE Fund provides exposure to a diversified portfolio of Australian commercial property. The Fund invests in listed property, predominantly Australian AREIT shares held through an Australian unit trust.  |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                         | Description of Investment   |
|---|--|---|---|---|---|
| APN AREIT Fund (W)                                    | Buy Spread 0.25%,<br>Sell Spread 0.25% | 0.00%   | 1.52%   | <a href="https://apngroup.com.au/">https://apngroup.com.au/</a>                 | The APN AREIT PIE Fund provides exposure to a diversified portfolio of Australian commercial property. The Fund invests in listed property, predominantly Australian AREIT shares held through an Australian unit trust.  |
| APN AREIT PIE Fund                                    | Buy Spread 0.25%,<br>Sell Spread 0.25% | 0.00%   | 1.52%   | <a href="https://apngroup.com.au/">https://apngroup.com.au/</a>                 | The APN AREIT PIE Fund provides exposure to a diversified portfolio of Australian commercial property. The Fund invests in listed property, predominantly Australian AREIT shares held through an Australian unit trust.  |
| APN AREIT PIE Fund (PIE)                              | Buy Spread 0.25%,<br>Sell Spread 0.25% | 0.00%   | 1.52%   | <a href="https://apngroup.com.au/">https://apngroup.com.au/</a>                 | The APN AREIT PIE Fund provides exposure to a diversified portfolio of Australian commercial property. The Fund invests in listed property, predominantly Australian AREIT shares held through an Australian unit trust.  |
| APN Asian REIT  | 0.00%                                  | 0.00%   | 1.38%   | <a href="http://apngroup.com.au/">http://apngroup.com.au/</a>                   | The APN Asian REIT Fund is a property securities fund that invests in a quality portfolio of Asian Real Estate Investment Trusts (REITs).   |
| APN Asian REIT Fund (W)                               | 0.00%                                  | 0.00%   | 1.38%   | <a href="http://apngroup.com.au/">http://apngroup.com.au/</a>                   | The APN Asian REIT Fund is a property securities fund that invests in a quality portfolio of Asian Real Estate Investment Trusts (REITs).   |
| Apostle Dundas Global Equity Fund - Class C Units (W) | Buy Spread 0.15%,<br>Sell Spread 0.15% | 0.00%   | 0.90%   | <a href="https://www.apostlefm.com.au">https://www.apostlefm.com.au</a>         | To deliver long term dividend growth and capital appreciation. The performance target is to exceed the MSCI All Country World ex Australia Index by 2.5% p.a. after fees on a rolling five year basis.  |
| Apple Computer Inc                                    | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.apple.com/">http://www.apple.com/</a>                       | Apple Inc, designs, manufactures and markets mobile communication and media devices, personal computers and portable digital music players. The Company sells a range of related software, services, accessories, networking solutions and third-party digital content and applications. The Company sells and delivers digital content and applications through the iTunes Store, App Store, Mac App Store, television APP Store, iBooks Store and Apple Music (collectively Internet Services). |
| Apple Computer Inc - USD (AAPL)                       | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.apple.com/">http://www.apple.com/</a>                       | Apple Inc, designs, manufactures and markets mobile communication and media devices, personal computers and portable digital music players. The Company sells a range of related software, services, accessories, networking solutions and third-party digital content and applications. The Company sells and delivers digital content and applications through the iTunes Store, App Store, Mac App Store, television APP Store, iBooks Store and Apple Music (collectively Internet Services). |
| Applied Materials Inc - USD (AMAT)                    | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.appliedmaterials.com/">http://www.appliedmaterials.com/</a> | Applied Materials, Inc. develops, manufactures, markets, and services semiconductor wafer fabrication equipment and related spare parts for the worldwide semiconductor industry. The Company's customers include semiconductor wafer and integrated circuit manufacturers, flat panel liquid crystal displays, solar photovoltaic cells and modules and other electronic devices manufacturers.  |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                                     | Description of Investment  |
|--|------------------------|---|---|---|--|
| Argo Global Listed Infrastructure Limited - AUD (ALI)                | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.argoinfrastructure.com.au/">https://www.argoinfrastructure.com.au/</a> | Argo Global Listed Infrastructure Limited (AGLI) (ASX code:ALI) is an ASX listed investment company which manages a portfolio of global listed securities in the infrastructure sector. The sector is diversified by geography and infrastructure sub-sectors, including airports, water, pipelines, railways, ports, satellites, toll roads, telecommunications towers and electricity, gas and renewable energy utilities.     |
| Argosy Property Limited  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.argosy.co.nz">https://www.argosy.co.nz</a>                             | We are focused on maintaining a diversified portfolio of quality properties with an average value of between \$10 million and \$20 million. This allows us to be nimble and react quickly to changing market conditions. Liquid properties represent approximately 24% of the portfolio.   |
| Argosy Property Limited - NZD (ARG)                                  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.argosy.co.nz">https://www.argosy.co.nz</a>                             | We are focused on maintaining a diversified portfolio of quality properties with an average value of between \$10 million and \$20 million. This allows us to be nimble and react quickly to changing market conditions. Liquid properties represent approximately 24% of the portfolio.   |
| Aristocrat Leisure Limited - AUD (ALL)                               | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.aristocrat.com/">https://www.aristocrat.com/</a>                       | Aristocrat Leisure Limited manufactures and sells gaming machines in Australia and internationally. The Company also supplies gaming systems, software, table gaming equipment and other gaming related products and services to casinos, clubs and hotels.  |
| ARK Innovation ETF - USD (ARKK)                                      | 0.00%                  | 0.00%   | 1.15%   | <a href="https://ark-funds.com">https://ark-funds.com</a>                                   | ARKK is an actively managed ETF that seeks long-term growth of capital by investing under normal circumstances primarily (at least 65% of its assets) in domestic and U.S. exchange-traded foreign equity securities of companies that are relevant to the Fund's investment theme of disruptive innovation.   |
| Arvida Group Limited - NZD (ARV)                                     | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.arvida.co.nz">https://www.arvida.co.nz</a>                             | Arvida Group is an aged care and retirement sector operator with a 'needs based' portfolio providing a full continuum of care. It has 29 villages with 1,761 aged care beds and 1,752 units, with the majority of these villages offering both independent living and aged care options. Although the portfolio is predominantly older villages, they are well established, well maintained and are generating solid cash flows. |
| ASB 4.245% 26/05/2021  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.asb.co.nz">https://www.asb.co.nz</a>                                   | ASB strives to consistently provide its customers with outstanding service and innovative financial solutions. We're dedicated to providing simple financial products that allow our customers to bank with us how and when they want.   |
| ASB Bank Ltd @4.20% Mat 24/02/2022 - NZD (ABB060)                    | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.asb.co.nz">https://www.asb.co.nz</a>                                   | ASB strives to consistently provide its customers with outstanding service and innovative financial solutions. We're dedicated to providing simple financial products that allow our customers to bank with us how and when they want.   |
| ASB Bank Ltd Fixed Rate Notes @ 4.245% Mat 26/05/2021 - NZD (ABB040) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.asb.co.nz">https://www.asb.co.nz</a>                                   | ASB strives to consistently provide its customers with outstanding service and innovative financial solutions. We're dedicated to providing simple financial products that allow our customers to bank with us how and when they want.   |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment                   | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                               | Description of Investment   |
|---|------------------------|---|---|---|---|
| Asia Dragon Trust PLC   | 0.00%                  | 0.00%   | 1.25%   | <a href="https://www.asiadragontrust.co.uk/">https://www.asiadragontrust.co.uk/</a>   | The objective is long-term capital growth through investment in Asia, with the exception of Japan and Australasia. Investments are made primarily in stock markets in the region, principally in large companies. When appropriate, the Company will utilise gearing to maximise long term returns.   |
| Asian Dragon Trust - GBP (DGN)                                  | 0.00%                  | 0.00%   | 1.25%   | <a href="https://www.asiadragontrust.co.uk/">https://www.asiadragontrust.co.uk/</a>   | The objective is long-term capital growth through investment in Asia, with the exception of Japan and Australasia. Investments are made primarily in stock markets in the region, principally in large companies. When appropriate, the Company will utilise gearing to maximise long term returns.   |
| Aspiring Asset Management Aspiring Fund                         | 0.00%                  | 10% of the Fund's return above the Hurdle Rate of Return, plus GST. | 2.55%   | <a href="https://www.aaml.co.nz/">https://www.aaml.co.nz/</a>                         | The Aspiring Fund is a boutique absolute return fund, originally created for its founding investors. The Fund started in Feb 2006. Since that time the unique features of the Fund have remained unchanged.   |
| Aspiring Fund (PIE)   | 0.00%                  | 10% of the Fund's return above the Hurdle Rate of Return, plus GST. | 2.55%   | <a href="https://www.aaml.co.nz/">https://www.aaml.co.nz/</a>                         | The Aspiring Fund is a boutique absolute return fund, originally created for its founding investors. The Fund started in Feb 2006. Since that time the unique features of the Fund have remained unchanged.   |
| Asset Plus Limited - NZD (APL)                                  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://assetplusnz.co.nz/">https://assetplusnz.co.nz/</a>                   | Asset Plus targets assets that fit with our 'Yield Plus Growth' investment strategy, targeting higher returns than typically available to investors in listed entities that are invested only in low risk CBD major buildings through a more diversified property portfolio.  |
| Atlas Arteria - SPP Take Up - AUD (ALX)                         | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.atlasarteria.com/">https://www.atlasarteria.com/</a>             | Atlas Arteria is a global owner, operator and developer of toll roads, with a portfolio of four toll roads in France, Germany and the United States. We are focused on ensuring our customers, and the communities in which we operate, are well served by the transport links we provide.  |
| Atlas Arteria Ltd - AUD (ALX)                                   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.atlasarteria.com/">https://www.atlasarteria.com/</a>             | Atlas Arteria is a global owner, operator and developer of toll roads, with a portfolio of four toll roads in France, Germany and the United States. We are focused on ensuring our customers, and the communities in which we operate, are well served by the transport links we provide.  |
| Auckland Council 5.806% 25/03/2024                              | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.aucklandcouncil.govt.nz">https://www.aucklandcouncil.govt.nz</a> | Fixed-rate retail bonds that are listed on the NZX Limited Debt Market (NZDX). These bonds are debt securities that pay a fixed rate of interest until maturity date.   |
| Auckland International Airport @4.28% Mat 09/11/2022 - (AIA200) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.aucklandairport.co.nz">https://www.aucklandairport.co.nz</a>     | Auckland Airport is the major connection between the world and "the land of the long white cloud". It is where New Zealand touches the world - welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed. |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                           | Description of Investment   |
|---|------------------------|---|---|---|---|
| Auckland International Airport Limited - NZD (AIA)                        | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.aucklandairport.co.nz">https://www.aucklandairport.co.nz</a> | Auckland Airport is the major connection between the world and “the land of the long white cloud”. It is where New Zealand touches the world - welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed. |
| Auckland International Airport Ltd  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.aucklandairport.co.nz">https://www.aucklandairport.co.nz</a> | Auckland Airport is the major connection between the world and “the land of the long white cloud”. It is where New Zealand touches the world - welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed. |
| Auckland International Airport Notes @5.52% Mat 28/05/2021 - NZD (AIA130) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.aucklandairport.co.nz">https://www.aucklandairport.co.nz</a> | Auckland Airport is the major connection between the world and “the land of the long white cloud”. It is where New Zealand touches the world - welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed. |
| Auckland Intl Airport 4.28% 09/11/2022                                    | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.aucklandairport.co.nz">https://www.aucklandairport.co.nz</a> | Auckland Airport is the major connection between the world and “the land of the long white cloud”. It is where New Zealand touches the world - welcoming visitors and farewelling friends. Auckland International Airport Limited (Auckland Airport) was formed in 1988, when the New Zealand Government corporatised the management of Auckland International Airport. In 1998, the Government sold down its shareholding, and Auckland Airport became the fifth airport company in the world to be publicly listed. |
| AuMake International Ltd  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://aumake.com.au/">https://aumake.com.au/</a>                       | AuMake is an ASX listed retailer connecting Australian suppliers directly with the influential daigou and Chinese tourist markets via its growing distribution network of AuMake and Kiwi Buy retail and e-commerce stores, in addition to strategic partnerships, including Chemsave and JD.com  |
| Aurora Cannabis Inc - NZD (ACB - CAD)                                     | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.auroramj.com/">https://www.auroramj.com/</a>                 | Aurora Cannabis Inc. through operates in the medical marijuana industry. The Company, through its subsidiaries, is a licensed producer of medical marijuana, and is an active participant in the Cannabis market.   |
| Australia & New Zealand Banking Group Ltd                                 | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.anz.co.nz">https://www.anz.co.nz</a>                         | ANZ Private offers a superb combination of global reach and local knowledge and experience.<br><ul style="list-style-type: none"> <li>-Globally, ANZ’s extensive worldwide network means we have access to the best in international experience and information.</li> <li>-Locally, we resource our offerings, especially our investment offerings, to ensure the expertise of our global network is made available to New Zealand clients and interpreted appropriately for our local environment.</li> </ul>        |

| Asset Name   | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                       | Description of Investment  |
|--|--|---|---|---|--|
| Australian Foundation Investment Co Ltd                    | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.afi.com.au/">https://www.afi.com.au/</a>                 | AFIC focuses on investing in Australian companies with unique high quality assets, brands and/or business footprints that can withstand the business cycles. Our view is that such businesses will generate superior returns over the long term. |
| Australian Foundation Investment Company Ltd - AUD (AFI)   | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.afi.com.au/">https://www.afi.com.au/</a>                 | AFIC focuses on investing in Australian companies with unique high quality assets, brands and/or business footprints that can withstand the business cycles. Our view is that such businesses will generate superior returns over the long term. |
| Australian Gas Light Co Ltd                                | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.agl.com.au">https://www.agl.com.au</a>                   | AGL targets a payout ratio of approximately 75% of Underlying Profit after tax where a minimum franking level of 80% can be maintained.  |
| Baillie Gifford Japan Trust Plc - GBP (BGFD)               | Buy Spread 0.11%,<br>Sell Spread 0.11% | 0.00%   | 1.50%   | <a href="https://www.bailliegifford.com">https://www.bailliegifford.com</a>   | The Baillie Gifford Japan Trust aims to pursue long-term capital growth principally through investment in medium to smaller sized Japanese companies, which are believed to have above average prospects for growth.                             |
| Baillie Gifford Shin Nippon plc - GBP (BGS)                | Buy Spread 0.23%,<br>Sell Spread 0.23% | 0.00%   | 1.38%   | <a href="https://www.bailliegifford.com">https://www.bailliegifford.com</a>   | Baillie Gifford Shin Nippon aims to achieve long-term capital growth principally through investment in small Japanese companies which are believed to have above average prospects for capital growth.   |
| Bank of China (New Zealand) Limited @ 4.09% Mat 17/10/2022 | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.bankofchina.com/nz/">http://www.bankofchina.com/nz/</a>   | The retail bond is a medium-term investment product issued by Industrial and Commercial Bank of China (New Zealand) Limited (hereinafter referred to as ICBC New Zealand, ICBC NZ) for a period of three to five years.                          |
| Bank of New Zealand  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.bnz.co.nz">https://www.bnz.co.nz</a>                     | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861.  |
| Bank of New Zealand @ 5.314% Mat 17/12/2025 - NZD (BNZ090) | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.bnz.co.nz">https://www.bnz.co.nz</a>                     | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861.  |
| Bank of New Zealand 4.102% 15/06/2023                      | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.bnz.co.nz">https://www.bnz.co.nz</a>                     | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861.  |
| Bankers Investment Trust Plc- GBP (BNKR)                   | Buy Spread 0.15%,<br>Sell Spread 0.15% | 0.00%   | 1.19%   | <a href="https://www.janushenderson.com/">https://www.janushenderson.com/</a> | A growth and income trust with a diversified portfolio of mainly UK equities and a strong dividend track record.   |
| Bankers Investment Trust PLC/The                           | Buy Spread 0.15%,<br>Sell Spread 0.15% | 0.00%   | 1.19%   | <a href="https://www.janushenderson.com/">https://www.janushenderson.com/</a> | A growth and income trust with a diversified portfolio of mainly UK equities and a strong dividend track record.   |
| Barclays PLC - GBP (BARC)                                  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://home.barclays">https://home.barclays</a>                     | Barclays PLC is a global financial services provider engaged in retail banking, credit cards, wholesale banking, investment banking, wealth management, and investment management services.  |

| Asset Name   | Individual Action Fees                | Performance Fees charged by Underlying Investment   | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                           | Description of Investment  |
|--|---------------------------------------|---|---|---|--|
| <b>Bausch Health Companies Inc - USD (BHC)</b>                 | 0.00%                                 | 0.00%   | 0.40%   | <a href="https://www.bauschhealth.com">https://www.bauschhealth.com</a>           | Bausch Health Companies Inc, formerly Valeant Pharmaceuticals International Inc, is a Canada-based company that develops, manufactures and markets a range of branded and generic pharmaceuticals, medical devices and over-the-counter products.  |
| <b>Bennelong Concentrated Australian Equities Fund (W)</b>     | 0.00%                                 | 15% of any amount by which the Fund's return is more than 2% greater than the return generated by the S&P/ASX 300 Accumulation Index. | 1.25%   | <a href="https://www.bennelongfunds.com/">https://www.bennelongfunds.com/</a>     | The Bennelong Concentrated Australian Equities Fund primarily selects stocks from the S&P/ASX 300 Accumulation Index. Investors are offered a portfolio that holds between 20 and 35 of the team's best high-conviction picks.   |
| <b>Bentham Global Income</b>                                   | Buy Spread 0.310%, Sell Spread 0.310% | 0.00%   | 1.12%   | <a href="https://www.benthamam.com.au/">https://www.benthamam.com.au/</a>         | The Bentham Global Income Fund is actively managed and focused on generating stable investment income. The Fund provides diversified exposure to domestic and global credit markets.   |
| <b>Bentham Global Income Fund - AUD (W)</b>                    | Buy Spread 0.310%, Sell Spread 0.310% | 0.00%   | 1.12%   | <a href="https://www.benthamam.com.au/">https://www.benthamam.com.au/</a>         | The Bentham Global Income Fund is actively managed and focused on generating stable investment income. The Fund provides diversified exposure to domestic and global credit markets.   |
| <b>Bentham Global Income Fund - NZD (W)</b>                    | Buy Spread 0.310%, Sell Spread 0.310% | 0.00%   | 1.12%   | <a href="https://www.benthamam.com.au/">https://www.benthamam.com.au/</a>         | The Bentham Global Income Fund is actively managed and focused on generating stable investment income. The Fund provides diversified exposure to domestic and global credit markets.   |
| <b>Bentham Global Income Fund NZD</b>                          | Buy Spread 0.310%, Sell Spread 0.310% | 0.00%   | 1.12%   | <a href="https://www.benthamam.com.au/">https://www.benthamam.com.au/</a>         | The Bentham Global Income Fund is actively managed and focused on generating stable investment income. The Fund provides diversified exposure to domestic and global credit markets.   |
| <b>Berkshire Hathaway Inc</b>                                  | 0.00%                                 | 0.00%   | 0.40%   | <a href="https://www.berkshirehathaway.com">https://www.berkshirehathaway.com</a> | Berkshire Hathaway Inc. is a holding company owning subsidiaries in a variety of business sectors. The Company's principal operations are insurance business conducted nationwide on a primary basis and worldwide on a reinsurance basis. Berkshire's other operations include a railway company, a specialty chemical company, and an international association of diversified businesses. |
| <b>Berkshire Hathaway Inc B Shares - USD (BRKB)</b>            | 0.00%                                 | 0.00%   | 0.40%   | <a href="https://www.berkshirehathaway.com">https://www.berkshirehathaway.com</a> | Berkshire Hathaway Inc. is a holding company owning subsidiaries in a variety of business sectors. The Company's principal operations are insurance business conducted nationwide on a primary basis and worldwide on a reinsurance basis. Berkshire's other operations include a railway company, a specialty chemical company, and an international association of diversified businesses. |
| <b>Betashares AUS Top20 Equity Yield Max Fund - AUD (YMAX)</b> | 0.00%                                 | 0.00%   | 1.16%   | <a href="https://www.betashares.com.au/">https://www.betashares.com.au/</a>       | Provide investors with exposure to a portfolio of the largest 20 blue-chip Australian shares listed on the ASX, while providing attractive quarterly income that exceeds the dividend yield of the portfolio of underlying shares over the medium term. In addition, the Fund aims to provide lower overall volatility than the underlying share portfolio.                                  |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                     | Description of Investment  |
|---|--|---|---|---|--|
| Betashares Australian High Interest Cash ETF - AUD (AAA)      | 0.00%                                  | 0.00%   | 0.58%   | <a href="https://www.betashares.com.au/">https://www.betashares.com.au/</a> | Provide exposure to Australian cash, with attractive and regular income distributions that exceed the 30 day Bank Bill Swap Rate (after fees and expenses).  |
| Betashares Australian Sustainability Leaders ETF - AUD (FAIR) | 0.00%                                  | 0.00%   | 0.89%   | <a href="https://www.betashares.com.au/">https://www.betashares.com.au/</a> | Provide exposure to a portfolio of Australian companies that have been screened to preference companies engaged in sustainable business activities and to avoid companies engaged in activities deemed inconsistent with responsible investment considerations.                |
| BetaShares CPS - Global Growth Fund                           | 0.00%                                  | 0.00%   | 1.16%   | <a href="https://www.betashares.com.au/">https://www.betashares.com.au/</a> | The Fund aims to provide capital growth and some income from exposure to a portfolio of listed international shares over the long term (periods of five years or more).  |
| Betashares FTSE RAFI Australia 200 ETF - AUD (QOZ)            | 0.00%                                  | 0.00%   | 0.80%   | <a href="https://www.betashares.com.au/">https://www.betashares.com.au/</a> | Track the performance of an index (before fees and expenses) that comprises the top 200 companies listed on the ASX as measured by fundamental size.   |
| Betashares Global Sustainability Leaders ETF - AUD (ETHI)     | 0.00%                                  | 0.00%   | 0.99%   | <a href="https://www.betashares.com.au/">https://www.betashares.com.au/</a> | Provide exposure to 100 large global stocks (excluding Australia) which are climate change leaders and which are not materially engaged in activities deemed inconsistent with responsible investment considerations.  |
| Beyond Meat Inc - USD (BYND)                                  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.beyondmeat.com/">https://www.beyondmeat.com/</a>       | Beyond Meat is a Los Angeles-based producer of plant-based meat substitutes founded in 2009 by Ethan Brown. The company's initial products became available across the United States in 2012. The company has products designed to simulate chicken, beef, and pork sausage.   |
| BHP Billiton Ltd  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.bhp.com">https://www.bhp.com</a>                       | BHP Group PLC operates as a resource mining company. The Company focuses on minerals, metals, petroleum products, and oil and gas extraction and processing activities, as well as provides marketing services.  |
| BHP Group Limited - AUD (BHP)                                 | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.bhp.com">https://www.bhp.com</a>                       | BHP Group PLC operates as a resource mining company. The Company focuses on minerals, metals, petroleum products, and oil and gas extraction and processing activities, as well as provides marketing services.  |
| BHP Group PLC - GBP (BHP)                                     | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.bhp.com">https://www.bhp.com</a>                       | BHP Group PLC operates as a resource mining company. The Company focuses on minerals, metals, petroleum products, and oil and gas extraction and processing activities, as well as provides marketing services.  |
| Blackrock Greater Europe Investment Trust - GBP (BRGE)        | Buy Spread 0.10%,<br>Sell Spread 0.10% | 0.00%   | 1.65%   | <a href="https://www.blackrock.com">https://www.blackrock.com</a>           | The Company aims to provide capital growth, primarily through investment in a focused portfolio constructed from a combination of the securities of large, mid and small capitalisation European companies, together with some investment in the developing markets of Europe. |
| Blackrock World Mining Trust PLC ORD 5p - GBP (BRWM)          | Buy Spread 0.31%,<br>Sell Spread 0.31% | 0.00%   | 1.68%   | <a href="https://www.blackrock.com">https://www.blackrock.com</a>           | The Company aims to provide a diversified investment in mining and metal assets worldwide, actively managed with the objective of maximising total returns.  |

| Asset Name                                       | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                   | Description of Investment   |
|--|--|---|---|---|---|
| <b>BMO Commercial Property Trust</b>             | Buy Spread 0.10%,<br>Sell Spread 0.10% | 0.00%   | 2.71%   | <a href="https://www.bmogam.com">https://www.bmogam.com</a>               | The trust is a vehicle for investors who wish to gain exposure to prime UK commercial property. It is a constituent of the FTSE 250 Index and aims to provide shareholders with an attractive level of income together with the potential for capital and income growth from investing in a diversified UK commercial property portfolio.                               |
| <b>BMO Global Smaller Companies - GBP (BGSC)</b> | Buy Spread 0.21%,<br>Sell Spread 0.21% | 0.00%   | 1.45%   | <a href="https://www.bmogam.com/">https://www.bmogam.com/</a>             | The Trust seeks to exploit the high investment potential of smaller companies listed on global equity markets. Many smaller companies are not widely researched, opening up the potential for our detailed fundamental analysis to identify valuation anomalies.  |
| <b>BNZ Term Deposit 2.34% 19/05/2021</b>         | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.bnz.co.nz">https://www.bnz.co.nz</a>                 | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861.   |
| <b>BNZ Term Deposit 2.40% 09/05/2022</b>         | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.bnz.co.nz">https://www.bnz.co.nz</a>                 | Bank of New Zealand is one of New Zealand's big four banks and has been operating in the country since the first office was opened in Auckland in October 1861.   |
| <b>BP PLC</b>                                    | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.bp.com">https://www.bp.com</a>                       | BP plc. is an oil and petrochemicals company. The Company explores for and produces oil and natural gas, refines, markets, and supplies petroleum products, generates solar energy, and manufactures and markets chemicals. BP's chemicals include terephthalic acid, acetic acid, acrylonitrile, ethylene, and polyethylene.   |
| <b>BP Plc - USD (BP)</b>                         | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.bp.com">https://www.bp.com</a>                       | BP plc. is an oil and petrochemicals company. The Company explores for and produces oil and natural gas, refines, markets, and supplies petroleum products, generates solar energy, and manufactures and markets chemicals. BP's chemicals include terephthalic acid, acetic acid, acrylonitrile, ethylene, and polyethylene.   |
| <b>BP Plc Limited - GBP (BP)</b>                 | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.bp.com">https://www.bp.com</a>                       | BP plc. is an oil and petrochemicals company. The Company explores for and produces oil and natural gas, refines, markets, and supplies petroleum products, generates solar energy, and manufactures and markets chemicals. BP's chemicals include terephthalic acid, acetic acid, acrylonitrile, ethylene, and polyethylene.   |
| <b>Brambles Limited - AUD (BXB)</b>              | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.brambles.com/">https://www.brambles.com/</a>         | Brambles Limited is an Australian company that specialises in the pooling of unit-load equipment and associated services, focusing on the outsourced management of pallets, crates and containers.  |
| <b>British Land Co - GBP (BLND)</b>              | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.britishland.co.uk">https://www.britishland.co.uk</a> | The British Land Company PLC invests, both directly and through joint ventures, in income-producing and freehold commercial properties in order to maximize their growth and potential. The Company trades, finances, and develops properties. Their portfolio consists of offices, retail superstores, shopping centers, leisure, industrial, and distribution spaces. |
| <b>Cannasouth Limited - NZD (CBD)</b>            | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.cannasouth.co.nz">https://www.cannasouth.co.nz</a>   | Cannasouth is a biopharmaceutical company dedicated to medicinal cannabis research and product development for prescribers and patients in New Zealand.   |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment   | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment   |
|---|------------------------|---|---|---|---|
| Capital Group New Perspective (AU)                                  | 0.00%                  | 0.00%   | 1.35%   | <a href="https://www.capitalgroup.com/">https://www.capitalgroup.com/</a>                               | To achieve long-term capital growth by seeking to take advantage of investment opportunities generated by changes in international trade patterns and economic and political relationships. Future income is a secondary objective.   |
| Capital Group New Perspective Fund (W)                              | 0.00%                  | 0.00%   | 1.35%   | <a href="https://www.capitalgroup.com/">https://www.capitalgroup.com/</a>                               | To achieve long-term capital growth by seeking to take advantage of investment opportunities generated by changes in international trade patterns and economic and political relationships. Future income is a secondary objective.   |
| Capital Group New World (AU)  | 0.00%                  | 0.00%   | 1.58%   | <a href="https://www.capitalgroup.com">https://www.capitalgroup.com</a>                                 | The fund focuses on capturing the long-term growth potential of developing economies. It provides flexible exposure to developing markets by investing in leading emerging market companies as well as multinational companies with significant exposure to the developing world. |
| Castle Point Ranger Fund  | 0.00%                  | The performance fee is 15% of any return above NZ Official Cash Rate + 5% per annum (after all fees but before tax) with a perpetual high water mark. | 1.45%   | <a href="https://www.castlepointfunds.com/ranger-fund">https://www.castlepointfunds.com/ranger-fund</a> | The objective is to provide equity-like returns over the long term while minimising exposure to extreme share market fluctuations.  |
| Castle Point Ranger Fund (PIE)                                      | 0.00%                  | The performance fee is 15% of any return above NZ Official Cash Rate + 5% per annum (after all fees but before tax) with a perpetual high water mark. | 1.45%   | <a href="https://www.castlepointfunds.com/ranger-fund">https://www.castlepointfunds.com/ranger-fund</a> | The objective is to provide equity-like returns over the long term while minimising exposure to extreme share market fluctuations.  |
| Centuria Industrial REIT - AUD (CIP)                                | 0.00%                  | 0.00%   | 0.40%   | <a href="https://centuria.com.au/">https://centuria.com.au/</a>   | The Fund owns a portfolio of quality industrial real estate assets delivering stable and predictable rental income with opportunities for value uplift through diligent asset management and repositioning strategies.  |
| CHCH International Airport 4.13% 24/05/2024                         | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.christchurchairport.co.nz">https://www.christchurchairport.co.nz</a>               | At Christchurch Airport our mission is to be a champion airport, recognised at home and abroad as an engine room for the prosperity of Christchurch, the South Island and New Zealand.  |
| Chorus Limited - NZD (CNU)  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://company.chorus.co.nz">https://company.chorus.co.nz</a>                                 | Chorus is a provider of telecommunications infrastructure throughout New Zealand. It is listed on the NZX stock exchange and is in the NZX 50 Index. It is the owner of the majority of telephone lines and exchange equipment in New Zealand.                                    |
| Chorus Ltd Unsubordinated Bonds @ 4.12% mat 06/05/2021-NZD (CNU010) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://company.chorus.co.nz">https://company.chorus.co.nz</a>                                 | Chorus is a provider of telecommunications infrastructure throughout New Zealand. It is listed on the NZX stock exchange and is in the NZX 50 Index. It is the owner of the majority of telephone lines and exchange equipment in New Zealand.                                    |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                     | Description of Investment   |
|---|--|---|---|---|---|
| <b>Chorus Ltd Unsubordinated Bonds @ 4.35% Mat 06/12/2028 (CNU020)</b>    | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://company.chorus.co.nz">https://company.chorus.co.nz</a>     | Chorus is a provider of telecommunications infrastructure throughout New Zealand. It is listed on the NZX stock exchange and is in the NZX 50 Index. It is the owner of the majority of telephone lines and exchange equipment in New Zealand.  |
| <b>Christchurch City Holdings Limited @ 3.40% Mat 06/12/2022 (CCH010)</b> | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.cchl.co.nz/">https://www.cchl.co.nz/</a>               | Christchurch City Holdings Limited (CCHL) has made an offer of 5 year unsecured, unsubordinated fixed rate bonds to institutional investors and New Zealand retail investors. This offer is now closed.   |
| <b>City Merchants High Yield Trust Limited - GBP (CMHY)</b>               | Buy Spread 0.11%,<br>Sell Spread 0.11% | 0.00%   | 1.41%   | <a href="https://www.hl.co.uk">https://www.hl.co.uk</a>                     | The Company invests its assets with the aim of spreading investment risk and generating a return for shareholders. The Company aims to obtain both high income and capital growth from investment, predominantly in high-yielding fixed-interest securities. The Company is actively managed within its objectives and is not constrained by a benchmark. |
| <b>City Merchants High Yield Trust PLC - Closed-end Fund</b>              | Buy Spread 0.11%,<br>Sell Spread 0.11% | 0.00%   | 1.41%   | <a href="https://www.hl.co.uk">https://www.hl.co.uk</a>                     | The Company invests its assets with the aim of spreading investment risk and generating a return for shareholders. The Company aims to obtain both high income and capital growth from investment, predominantly in high-yielding fixed-interest securities. The Company is actively managed within its objectives and is not constrained by a benchmark. |
| <b>City of London Investment Trust PLC/The</b>                            | Buy Spread 0.08%,<br>Sell Spread 0.08% | 0.00%   | 1.26%   | <a href="https://www.janushenderson.com">https://www.janushenderson.com</a> | The Company's objective is to provide long-term growth in income and capital, principally by investment in equities listed on the London Stock Exchange. The Board continues to recognise the importance of dividend income to shareholders.  |
| <b>Clarity - Capital Group New Perspective Fund (PIE)</b>                 | 0.00%                                  | 0.00%   | 1.65%   | <a href="https://clarityfunds.co.nz/">https://clarityfunds.co.nz/</a>       | The Fund aims to achieve long-term growth of capital by investing in shares of companies located around the world. The Fund is managed by Capital Group, and favours companies expected to benefit from structural trends in the global economy.  |
| <b>Clarity - Capital Group New Perspective Fund (PIE) - XR</b>            | 0.00%                                  | 0.00%   | 1.65%   | <a href="https://clarityfunds.co.nz/">https://clarityfunds.co.nz/</a>       | The Fund aims to achieve long-term growth of capital by investing in shares of companies located around the world. The Fund is managed by Capital Group, and favours companies expected to benefit from structural trends in the global economy.  |
| <b>Clarity Global Shares Fund (PIE)</b>                                   | 0.00%                                  | 0.00%   | 1.40%   | <a href="https://clarityfunds.co.nz/">https://clarityfunds.co.nz/</a>       | The Fund will provide actively managed exposure to international equities, and aims to generate a better return than the benchmark over the medium to long term. We use a global investment manager for this Fund, being MFS. MFS use a mix of fundamental and quantitative analysis to select companies.   |
| <b>Clarity Global Shares Fund (PIE) - SWM</b>                             | 0.00%                                  | 0.00%   | 1.40%   | <a href="https://clarityfunds.co.nz/">https://clarityfunds.co.nz/</a>       | The Fund will provide actively managed exposure to international equities, and aims to generate a better return than the benchmark over the medium to long term. We use a global investment manager for this Fund, being MFS. MFS use a mix of fundamental and quantitative analysis to select companies.   |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                         | Description of Investment   |
|--|------------------------|---|---|---|---|
| Cleanaway Waste Management Ltd - AUD (CWY)                               | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.cleanaway.com.au/">https://www.cleanaway.com.au/</a>       | Cleanaway Waste Management Limited is Australia's leading total waste management, industrial and environmental services company.  |
| Coca-Cola Amatil Limited - AUD (CCL)                                     | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.ccamatil.com/">https://www.ccamatil.com/</a>               | Coca-Cola Amatil Limited manufactures, distributes and sells carbonated soft drinks along with still and mineral waters, fruit drinks, ready-to-drink coffee and tea and flavored milk drinks. The Company also rents and services commercial refrigeration equipment to food/beverage manufacturers.   |
| Coles Group Limited - AUD (COL)  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.colesgroup.com.au">https://www.colesgroup.com.au</a>       | Coles Group Limited owns and operates a chain of supermarkets and department stores. The Company offers apparels, liquor, office supplies, groceries, and household products. Coles Group serves customers throughout Australia and New Zealand.  |
| Commonwealth Bank of Australia - AUD (CBA)                               | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.commbank.com.au">https://www.commbank.com.au</a>           | Commonwealth Bank of Australia provides banking, life insurance, and related services for individuals, small businesses and medium sized commercial enterprises. The Bank provides corporate and general banking, international financing, institutional banking and stock broking and funds management such as superannuation product.                           |
| Computershare Limited - AUD (CPU)  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.computershare.com/">https://www.computershare.com/</a>     | Computershare Limited operates share registries and computer bureaus which includes the administration of employee share and option plans and the provision of software that specializes in share registry, financial and stock markets. The Company also provides corporate trust services and acts as a trustee for clients' debt offerings in certain markets. |
| Contact Energy Limited Fixed Rate Bonds @4.40% Mat 15/11/2021 - (CEN030) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://contact.co.nz">https://contact.co.nz</a>                       | Contact Energy Limited is a New Zealand electricity generator, natural gas wholesaler and electricity, natural gas, and LPG retailer.   |
| Contact Energy Limited Ordinary Shares - NZD (CEN)                       | 0.00%                  | 0.00%   | 0.40%   | <a href="https://contact.co.nz">https://contact.co.nz</a>                       | Contact Energy Limited is a New Zealand electricity generator, natural gas wholesaler and electricity, natural gas, and LPG retailer.   |
| Contact Energy Ltd   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://contact.co.nz">https://contact.co.nz</a>                       | Contact Energy Limited is a New Zealand electricity generator, natural gas wholesaler and electricity, natural gas, and LPG retailer.   |
| Crown Resorts Limited - AUD (CWN)  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.crownresorts.com.au/">https://www.crownresorts.com.au/</a> | Crown Resorts Ltd. operates and manages gaming and entertainment facilities, bars, restaurants, nightclubs, cinemas and retail outlets. The Company also develops hotels and conference centres facilities.   |
| CSL Limited - AUD (CSL)  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.csl.com.au/">https://www.csl.com.au/</a>                   | CSL Limited develops, manufactures and markets human pharmaceutical and diagnostic products derived from human plasma. The Company's products include paediatric and adult vaccines, infection, pain medicine, skin disorder remedies, antivenoms, anticoagulants, and immunoglobulins.   |

| Asset Name                                 | Individual Action Fees                 | Performance Fees charged by Underlying Investment  | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                       | Description of Investment   |
|--|--|--|---|---|---|
| Daintree Core Income Trust - NZD (W)       | Buy Spread 0.05%,<br>Sell Spread 0.05% | 0.00%  | 0.90%   | <a href="https://daintreecapital.com.au/">https://daintreecapital.com.au/</a> | The aim of the Trust is to provide a steady stream of income and capital stability over the medium term, by investing in a diversified portfolio of credit fixed income securities and cash, and to provide a total return (after fees) that exceeds the Benchmark measured throughout a market cycle.  |
| Daintree Core Income Trust NZD             | Buy Spread 0.05%,<br>Sell Spread 0.05% | 0.00%  | 0.90%   | <a href="https://daintreecapital.com.au/">https://daintreecapital.com.au/</a> | A cornerstone of our investment philosophy is capital preservation. Our Core Income Trust invests in a high quality portfolio of fixed income securities, with an expected average credit quality rating of A or better.  |
| Datadog Inc                                | 0.00%                                  | 0.00%  | 0.40%   | <a href="https://www.datadoghq.com/">https://www.datadoghq.com/</a>           | Datadog is a monitoring service for cloud-scale applications, providing monitoring of servers, databases, tools, and services, through a SaaS-based data analytics platform.  |
| DB X-Trackers S&P/ASX 200 ETF - GBP (XAUS) | 0.00%                                  | 0.00%  | 0.90%   | <a href="https://www.xtrackers.com/">https://www.xtrackers.com/</a>           | Xtrackers S&P ASX 200 UCITS ETF physically replicates the performance of the S&P/ASX 200 Index. The ETF offers direct investment in Australian equities. Provides exposure to the top 200 blue chip stocks.   |
| Deere & Co - USD (DE)                      | 0.00%                                  | 0.00%  | 0.40%   | <a href="https://www.deere.com/">https://www.deere.com/</a>                   | Deere & Company manufactures and distributes a range of agricultural, construction, forestry, and commercial and consumer equipment. The Company supplies replacement parts for its own products and for those of other manufacturers. Deere also provides product and parts financing services. Deere and Company extends its services and products worldwide. |
| Devon Alpha Fund (PIE)                     | 0.00%                                  | The performance fee is the first 1% of gross portfolio performance above the hurdle rate of return of 12% p.a. up to 13% p.a. then 15% of the amount thereafter by which the gross return of the Fund exceeds 13% p.a. | 1.40%   | <a href="https://devonfunds.co.nz">https://devonfunds.co.nz</a>               | The Fund aims to generate capital growth over the long term, and currency exposure is actively managed.   |
| Devon Alpha Fund (PIE) - SWM               | 0.00%                                  | The performance fee is the first 1% of gross portfolio performance above the hurdle rate of return of 12% p.a. up to 13% p.a. then 15% of the amount thereafter by which the gross return of the Fund exceeds 13% p.a. | 1.40%   | <a href="https://devonfunds.co.nz">https://devonfunds.co.nz</a>               | The Fund aims to generate capital growth over the long term, and currency exposure is actively managed.   |

| Asset Name                          | Individual Action Fees | Performance Fees charged by Underlying Investment  | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment         | Description of Investment  |
|-------------------------------------|------------------------|--|---|---|--|
| Devon Australian Fund               | 0.00%                  | The performance fee is the first 10% of gross portfolio performance above the hurdle rate of return of 10%. The high water mark can be reset every 3 years at the Manager's discretion and will be adjusted to take into account any distributions made. | 1.40%   | <a href="https://devonfunds.co.nz">https://devonfunds.co.nz</a> | The Fund aims to generate capital growth over the long term by actively managing your Australian equity investments.   |
| Devon Australian Fund (PIE)         | 0.00%                  | The performance fee is the first 10% of gross portfolio performance above the hurdle rate of return of 10%. The high water mark can be reset every 3 years at the Manager's discretion and will be adjusted to take into account any distributions made. | 1.40%   | <a href="https://devonfunds.co.nz">https://devonfunds.co.nz</a> | The Fund aims to generate capital growth over the long term by actively managing your Australian equity investments.   |
| Devon Trans-Tasman Fund             | 0.00%                  | The performance fee is 10% of the performance over the hurdle rate and is subject to a high water mark.  | 1.40%   | <a href="https://devonfunds.co.nz">https://devonfunds.co.nz</a> | The Fund aims to generate capital growth over the long term by actively managing your New Zealand and Australian equity investments.   |
| Devon Trans-Tasman Fund (PIE)       | 0.00%                  | The performance fee is 10% of the performance over the hurdle rate and is subject to a high water mark.  | 1.40%   | <a href="https://devonfunds.co.nz">https://devonfunds.co.nz</a> | The Fund aims to generate capital growth over the long term by actively managing your New Zealand and Australian equity investments.   |
| Devon Trans-Tasman Fund (PIE) - NR  | 0.00%                  | The performance fee is 10% of the performance over the hurdle rate and is subject to a high water mark.  | 1.40%   | <a href="https://devonfunds.co.nz">https://devonfunds.co.nz</a> | The Fund aims to generate capital growth over the long term by actively managing your New Zealand and Australian equity investments.   |
| Devon Trans-Tasman Fund (PIE) - SWM | 0.00%                  | The performance fee is 10% of the performance over the hurdle rate and is subject to a high water mark.  | 1.40%   | <a href="https://devonfunds.co.nz">https://devonfunds.co.nz</a> | The Fund aims to generate capital growth over the long term by actively managing your New Zealand and Australian equity investments.   |
| Dexus Property Group - AUD (DXS)    | 0.00%                  | 0.00%  | 0.40%   | <a href="https://www.dexus.com">https://www.dexus.com</a>       | Dexus provides real estate services. The Company manages and invests in a portfolio of diversified properties, including office and industrial buildings, retail shopping centers, and car parks. Dexus serves customers in Australia and New Zealand. |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment             | Description of Investment   |
|---|--|---|---|---|---|
| <b>DFA Global Core Equity Trust - Unhedged (W)</b>    | Buy Spread 0.10%,<br>Sell Spread 0.10% | 0.00%   | 0.79%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | To provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio.   |
| <b>DFA Global Sustainability Trust - Unhedged (W)</b> | Buy Spread 0.12%,<br>Sell Spread 0.12% | 0.00%   | 0.85%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies associated with approved developed markets (excluding Australia), with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations. |
| <b>Diageo Plc - GBP (DGE)</b>                         | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.diageo.com/">https://www.diageo.com/</a>       | Diageo plc produces, distills, and markets alcoholic beverages. The Company offers a wide range of branded beverages, including vodkas, whiskeys, tequilas, gins, and beer.   |
| <b>Dimensional 2-Yr Diversified FI NZD</b>            | Buy Spread 0.08%,<br>Sell Spread 0.08% | 0.00%   | 0.65%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | Within the risk constraints of investing in eligible short-term, Investment Grade securities, the objective of the Trust is to maximise the return of a broadly diversified portfolio of domestic and global fixed interest securities. The Trust is not managed with the objective of achieving a particular return relative to a benchmark index.   |
| <b>Dimensional Aust Large Company Trust</b>           | Buy Spread 0.08%,<br>Sell Spread 0.08% | 0.00%   | 0.60%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies listed in Australia. The Trust is not managed with the objective of achieving a particular return relative to a benchmark index.   |
| <b>Dimensional Aust Small Company Trust</b>           | Buy Spread 0.10%,<br>Sell Spread 0.10% | 0.00%   | 1.01%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of small companies listed in Australia. The Trust is not managed with the objective of achieving a particular return relative to a benchmark index.   |
| <b>Dimensional Australian Core Equity Trust</b>       | Buy Spread 0.08%,<br>Sell Spread 0.08% | 0.00%   | 0.68%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of securities listed in Australia with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio.  |
| <b>Dimensional Australian Sustainability</b>          | Buy Spread 0.08%,<br>Sell Spread 0.08% | 0.00%   | 0.71%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of securities listed in Australia with increased emphasis on higher expected return securities, and adjusted to take into account certain environmental and sustainability impact and social considerations.  |
| <b>Dimensional Australian Value Trust</b>             | Buy Spread 0.08%,<br>Sell Spread 0.08% | 0.00%   | 0.74%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of Value Companies listed in Australia.   |
| <b>Dimensional Emerging Markets Trust</b>             | Buy Spread 0.25%,<br>Sell Spread 0.25% | 0.00%   | 1.12%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of Value Companies associated with approved emerging markets.   |

| Asset Name                                      | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment             | Description of Investment   |
|---|--|---|---|---|---|
| <b>Dimensional Five-Year Diversified FI NZD</b> | Buy Spread 0.08%,<br>Sell Spread 0.20% | 0.00%   | 0.68%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | Within the risk constraints of investing in eligible short to intermediate-term, high credit quality instruments, the objective of the Trust is to maximise the return of a broadly diversified portfolio of domestic and global fixed interest and money market securities.              |
| <b>Dimensional Gbl ShtFxdInc Dis£</b>           | 0.00%                                  | 0.00%   | 0.69%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The investment objective of the Global Short Bond Fund is to seek to maximise current income while preserving capital.  |
| <b>Dimensional Gbl Ult ShFxIn Dis£</b>          | 0.00%                                  | 0.00%   | 0.59%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The aim is to maximize returns from investment in short term debt.  |
| <b>Dimensional GblSInvGrdFxIn D£</b>            | 0.00%                                  | 0.00%   | 0.65%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The investment objective of the Global Short-Term Investment Grade Fixed Income Fund is to seek to maximise total returns from the universe of debt obligations in which the Fund invests.  |
| <b>Dimensional Gbl Bond Sustainability NZD</b>  | Buy Spread 0.10%,<br>Sell Spread 0.10% | 0.00%   | 0.79%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The Trust is managed to invest in a broadly diversified portfolio of eligible intermediate term domestic and global fixed interest and money market securities.   |
| <b>Dimensional Gbl Sustainability NZD Hdg</b>   | Buy Spread 0.10%,<br>Sell Spread 0.10% | 0.00%   | 0.79%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The Trust is managed to invest in a broadly diversified portfolio of eligible intermediate term domestic and global fixed interest and money market securities.   |
| <b>Dimensional Global Bond Trust</b>            | Buy Spread 0.10%,<br>Sell Spread 0.10% | 0.00%   | 0.75%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The Trust is managed to invest in a broadly diversified portfolio of eligible intermediate term domestic and global fixed interest and money market securities.   |
| <b>Dimensional Global Bond Trust NZD</b>        | Buy Spread 0.10%,<br>Sell Spread 0.10% | 0.00%   | 0.75%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The Trust is managed to invest in a broadly diversified portfolio of eligible intermediate term domestic and global fixed interest and money market securities.   |
| <b>Dimensional Global Core Equity NZD Hdg</b>   | Buy Spread 0.12%,<br>Sell Spread 0.12% | 0.00%   | 0.79%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | To provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio. |
| <b>Dimensional Global Core Equity Trust</b>     | Buy Spread 0.12%,<br>Sell Spread 0.12% | 0.00%   | 0.79%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | To provide long-term capital growth by gaining exposure to a diversified portfolio of securities associated with approved developed markets (excluding Australia), with increased exposure to small companies and Value Companies relative to a Market Capitalisation Weighted portfolio. |
| <b>Dimensional Global Large Company Trust</b>   | Buy Spread 0.10%,<br>Sell Spread 0.10% | 0.00%   | 0.69%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large companies associated with approved developed markets (excluding Australia).  |
| <b>Dimensional Global Real Estate NZD Hdg</b>   | Buy Spread 0.12%,<br>Sell Spread 0.12% | 0.00%   | 0.80%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a> | To provide long-term capital growth by gaining exposure to a diversified portfolio of listed international and Australian real estate securities. The Trust is not managed with the objective of achieving a particular return relative to a benchmark index.                             |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment  |
|---|--|---|---|---|--|
| <b>Dimensional Global Real Estate Trust</b>                 | Buy Spread 0.12%,<br>Sell Spread 0.12% | 0.00%   | 0.80%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a>   | To provide long-term capital growth by gaining exposure to a diversified portfolio of listed international and Australian real estate securities. The Trust is not managed with the objective of achieving a particular return relative to a benchmark index.  |
| <b>Dimensional Global Small Company Trust</b>               | Buy Spread 0.12%,<br>Sell Spread 0.12% | 0.00%   | 1.06%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a>   | To provide long-term capital growth by gaining exposure to a diversified portfolio of small companies associated with approved developed markets (excluding Australia). The Trust is not managed with the objective of achieving a particular return relative to a benchmark index.  |
| <b>Dimensional Global Value Trust</b>                       | Buy Spread 0.10%,<br>Sell Spread 0.10% | 0.00%   | 0.86%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a>   | The investment objective of the Trust is to provide long-term capital growth by gaining exposure to a diversified portfolio of large Value Companies associated with approved developed markets (excluding Australia).   |
| <b>Dimensional World Alloc 80/20 Dis£</b>                   | 0.00%                                  | 0.00%   | 0.84%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a>   | The aim is to increase the value of your investment over the long term and to earn income within the Fund.   |
| <b>Dimensional World Equity Dis£</b>                        | Buy Spread 0.12%,<br>Sell Spread 0.12% | 0.00%   | 0.82%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a>   | To provide a total return, consisting of capital appreciation and income, by gaining exposure to a diversified portfolio of companies and real estate securities listed on approved developed and emerging markets.  |
| <b>Dimensional Wrld Alloc 40/60 Dis£</b>                    | 0.00%                                  | 0.00%   | 0.77%   | <a href="https://au.dimensional.com">https://au.dimensional.com</a>   | The investment objective of the World Allocation 40/60 Fund is to achieve long-term total return. The fund is managed on a discretionary basis and is a "fund of funds" which means that it mainly invests in other investment funds, but may also invest directly in shares and debt.   |
| <b>Ebos Group Limited - NZD (EBO)</b>                       | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.ebosgroup.com/">http://www.ebosgroup.com/</a>   | EBOS Group is the largest and most diversified Australasian marketer, wholesaler and distributor of healthcare, medical and pharmaceutical products. It is also a leading Australasian animal care products marketer and distributor.  |
| <b>Edinburgh Worldwide Investment Trust Plc - GBP (EWI)</b> | 0.00%                                  | 0.00%   | 1.15%   | <a href="https://www.baillieghifford.com/en/uk/individual-investors/funds/edinburgh-worldwide-investment-trust/">https://www.baillieghifford.com/en/uk/individual-investors/funds/edinburgh-worldwide-investment-trust/</a> | Edinburgh Worldwide aims for capital growth from a global portfolio of initially immature entrepreneurial companies, typically with a market capitalisation of less than \$5bn at time of initial investment, which are believed to offer long-term growth potential.  |
| <b>EROAD Limited - NZD (ERD)</b>                            | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.eroad.co.nz/">http://www.eroad.co.nz/</a>   | EROAD is a fully integrated technology, tolling and services provider. We were the first company to implement a GNSS/cellular-based road charging solution across an entire country. Our advanced technology provides road charging, compliance and commercial services with the same platform to lower overall client and delivery costs. |
| <b>Estia Health Ltd - Ordinary</b>                          | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.estiahealth.com.au/">http://www.estiahealth.com.au/</a>   | Estia Health Limited provides aged care services. The Company operates a network of retirement homes, providing housing, nursing, food preparation, community building, and related services. Estia Health serves residents throughout Victoria, Australia.  |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment   |
|---|--|---|---|---|---|
| Etsy Inc  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.etsy.com/">https://www.etsy.com/</a>   | Etsy is an American e-commerce website focused on handmade or vintage items and craft supplies. These items fall under a wide range of categories, including jewelry, bags, clothing, home décor and furniture, toys, art, as well as craft supplies and tools.   |
| European Opportunities Trust Plc - GBP (JEO)                        | 0.00%                                  | 0.00%   | 2.11%   | <a href="https://www.hl.co.uk/">https://www.hl.co.uk/</a>   | The objective of the company is to invest in securities of European companies and in sectors or geographical areas which are considered by the investment manager to offer good prospects for capital growth, taking into account economic trends and business development.   |
| F&C Investment Trust PLC - GBP (FCIT)                               | 0.00%                                  | 0.00%   | 1.05%   | <a href="https://www.bmogam.com/fandc-investment-trust/">https://www.bmogam.com/fandc-investment-trust/</a>   | The objective of the trust is to secure long-term growth in capital and income through a policy of investing primarily in an internationally diversified portfolio of publicly listed equities, as well as unlisted securities and private equity, with the use of gearing.   |
| Facebook Inc  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.Facebook.com">https://www.Facebook.com</a>   | Facebook, Inc. provides various products to connect and share through mobile devices, personal computers, and other surfaces worldwide. Its solutions include Facebook Website and mobile application that enables people to connect, share, discover, and communicate each other on mobile devices and personal computers. |
| Facebook Inc - USD (FB)   | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.Facebook.com">https://www.Facebook.com</a>   | Facebook, Inc. provides various products to connect and share through mobile devices, personal computers, and other surfaces worldwide. Its solutions include Facebook Website and mobile application that enables people to connect, share, discover, and communicate each other on mobile devices and personal computers. |
| Fidelity Asian Values PLC   | 0.00%                                  | 0.00%   | 1.38%   | <a href="https://investment-trusts.fidelity.co.uk/fidelity-asian-values/">https://investment-trusts.fidelity.co.uk/fidelity-asian-values/</a>   | To achieve long-term capital growth through investment principally in the stockmarkets of the Asian Region (excluding Japan).   |
| Fidelity China Special Situations PLC - GBP (FCSS)                  | 0.00%                                  | 0.00%   | 1.65%   | <a href="https://investment-trusts.fidelity.co.uk/fidelity-china-special-situations/">https://investment-trusts.fidelity.co.uk/fidelity-china-special-situations/</a>                                   | The UK's largest China investment trust, Fidelity China Special Situations PLC, capitalises on Fidelity's extensive, locally-based analyst team to find attractive opportunities in a market too big to ignore.   |
| Fidelity European Values - GBP (FEV)                                | 0.00%                                  | 0.00%   | 1.28%   | <a href="https://investment-trusts.fidelity.co.uk/fidelity-european-values/">https://investment-trusts.fidelity.co.uk/fidelity-european-values/</a>   | Fidelity European Values PLC aims to be the cornerstone long-term investment of choice for those seeking European exposure across market cycles.  |
| Fidelity Investment Trust - Fidelity Special Values Plc - GBP (FSV) | 0.00%                                  | 0.00%   | 1.37%   | <a href="https://investment-trusts.fidelity.co.uk/fidelity-special-values/">https://investment-trusts.fidelity.co.uk/fidelity-special-values/</a>   | To achieve long term capital growth primarily through investment in equities (and their related securities) of UK companies which the Investment Manager believes to be undervalued or where the potential has not been recognised by the market  |
| Fiducian India  | Buy Spread 0.30%,<br>Sell Spread 0.30% | 0.00%   | 2.24%   | <a href="https://www.fiducian.com.au/focus-newsletters/the-fiducian-india-fund-an-outperforming-fund/">https://www.fiducian.com.au/focus-newsletters/the-fiducian-india-fund-an-outperforming-fund/</a> | The Fiducian India Fund provides investors with a unique opportunity to invest in a well-diversified range of companies listed on Indian stock exchanges. The Fund is managed by a selection of four (4) Indian fund managers based in India, and can satisfy Fiducian's stringent criteria.                                |
| Finsbury Growth & Income Trust - GBP (FGT)                          | 0.00%                                  | 0.00%   | 1.10%   | <a href="https://www.finsburygt.com/">https://www.finsburygt.com/</a>   | Invests in the shares of predominantly UK-listed companies, with the objective of achieving capital and income growth.  |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                               | Description of Investment  |
|--|------------------------|---|---|---|--|
| Fisher & Paykel Healthcare Corp                              | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.fphcare.com/">https://www.fphcare.com/</a>                       | Fisher & Paykel entered the respiratory care market in 1971 with the development of a unique respiratory humidifier system for use in critical care. We now offer a broad range of products and systems for use in respiratory and acute care and in the treatment of obstructive sleep apnea (OSA). |
| Fisher and Paykel Healthcare Corporation Limited - NZD (FPH) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.fphcare.com/">https://www.fphcare.com/</a>                       | Fisher & Paykel entered the respiratory care market in 1971 with the development of a unique respiratory humidifier system for use in critical care. We now offer a broad range of products and systems for use in respiratory and acute care and in the treatment of obstructive sleep apnea (OSA). |
| Fisher Funds BondPlus Fund (PIE)                             | 0.00%                  | 0.00%   | 1.67%   | <a href="https://fisherfunds.co.nz/">https://fisherfunds.co.nz/</a>                   | The fund aims to provide moderate protection for your investment while also generating modest returns over the long term by investing in international fixed interest assets.  |
| Fisher Funds Income Fund (PIE)                               | 0.00%                  | 0.00%   | 1.34%   | <a href="https://fisherfunds.co.nz/">https://fisherfunds.co.nz/</a>                   | The Income Fund gives our clients access to a diverse portfolio of fixed interest investments from around the globe.   |
| Fisher Funds International Growth Fund (PIE)                 | 0.00%                  | 0.00%   | 1.84%   | <a href="https://fisherfunds.co.nz/">https://fisherfunds.co.nz/</a>                   | Aims to achieve capital growth over the long term by investing in shares in international companies outside of New Zealand and Australia.  |
| Fisher Funds New Zealand Growth Fund (PIE)                   | 0.00%                  | 0.07%   | 1.89%   | <a href="https://fisherfunds.co.nz/">https://fisherfunds.co.nz/</a>                   | Aims to achieve capital growth over the long term by investing in shares in New Zealand companies.   |
| Fisher Funds Property and Infrastructure Fund (PIE)          | 0.00%                  | 0.00%   | 1.94%   | <a href="https://fisherfunds.co.nz/">https://fisherfunds.co.nz/</a>                   | Aims to achieve positive returns over the long term. Invests in property and infrastructure assets both locally and overseas.  |
| Fletcher Building Industries 5.00% 15/03/2023                | 0.00%                  | 0.00%   | 0.40%   | <a href="https://fletcherbuilding.com/">https://fletcherbuilding.com/</a>             | We manufacture building products; from insulation that keeps homes warm and dry, to cement, the foundation of built structures the world over. We operate retail businesses that distribute these products and many more to tradespeople right across the Tasman.                                    |
| Fletcher Building Limited - NZD (FBU)                        | 0.00%                  | 0.00%   | 0.40%   | <a href="https://fletcherbuilding.com/">https://fletcherbuilding.com/</a>             | We manufacture building products; from insulation that keeps homes warm and dry, to cement, the foundation of built structures the world over. We operate retail businesses that distribute these products and many more to tradespeople right across the Tasman.                                    |
| Fletcher Building Ltd  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://fletcherbuilding.com/">https://fletcherbuilding.com/</a>             | We manufacture building products; from insulation that keeps homes warm and dry, to cement, the foundation of built structures the world over. We operate retail businesses that distribute these products and many more to tradespeople right across the Tasman.                                    |
| Fonterra Co-op Group 4.33% 20/10/2021                        | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www3.fonterra.com/nz/en.html">http://www3.fonterra.com/nz/en.html</a> | With a can-do attitude and a collaborative spirit, we're a world leading dairy exporter – shaping the industry in quality and innovation. We share the goodness of dairy nutrition with the world through our brands, farming and processing operations across four continents.                      |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment  |
|---|------------------------|---|---|---|--|
| <b>Franco-Nevada Corp - USD (FNV)</b>   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.franco-nevada.com/Home/default.aspx">https://www.franco-nevada.com/Home/default.aspx</a> | Franco-Nevada Corporation is the leading gold-focused royalty and stream company with the largest and most diversified portfolio of cash-flow producing assets. Its business model provides investors with gold price and exploration optionality while limiting exposure to many of the risks of operating companies.   |
| <b>Freightways Limited - NZD (FRE)</b>  | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.freightways.co.nz/">http://www.freightways.co.nz/</a>                                     | Freightways is a leading provider of express package services throughout New Zealand, with complementary business servicing the information management and business mail sectors. The Group's origins date back to 1964 through New Zealand Couriers - a pioneer in the express package industry in New Zealand.   |
| <b>Freightways Ltd</b>  | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.freightways.co.nz/">http://www.freightways.co.nz/</a>                                     | Freightways is a leading provider of express package services throughout New Zealand, with complementary business servicing the information management and business mail sectors. The Group's origins date back to 1964 through New Zealand Couriers - a pioneer in the express package industry in New Zealand.   |
| <b>Fundsmith Emerging Equities Trust - GBP (FEET)</b>                             | 0.00%                  | 0.00%   | 1.77%   | <a href="https://www.feetplc.co.uk/">https://www.feetplc.co.uk/</a>   | The Company's investment objective is to provide its shareholders with an attractive return over the long term by investing in a portfolio of shares issued by listed or traded companies which have a majority of their operations in, or revenue derived from, Developing Economies and which provide direct exposure to the rise of the consumer classes in those countries.                          |
| <b>General Electric Company - USD (GE)</b>  | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.ge.com/nz/">http://www.ge.com/nz/</a>   | GE was first established in New Zealand in 1982. Today, GE is made up of 6 businesses employing over 600 people based across the country. From jet engines to power generation, financial services and medical imaging to news and information, GE people worldwide are dedicated to turning imaginative ideas into leading products and services that help solve some of the world's toughest problems. |
| <b>Genesis Energy Limited - NZD (GNE)</b>   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.genesisenergy.co.nz/home">https://www.genesisenergy.co.nz/home</a>                       | Genesis Energy is a diversified energy company. We sell electricity, natural gas and LPG through our retail brands. We generate electricity, and trade electricity and natural gas, through our Generation business. We also have a 31% interest in the Kupe Joint Venture which owns the Kupe oil and gas field.  |
| <b>Genesis Energy Limited Capital Bonds @ 4.65% Mat 16/07/2048 - NZD (GNE050)</b> | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.genesisenergy.co.nz/home">https://www.genesisenergy.co.nz/home</a>                       | Genesis Energy is a diversified energy company. We sell electricity, natural gas and LPG through our retail brands. We generate electricity, and trade electricity and natural gas, through our Generation business. We also have a 31% interest in the Kupe Joint Venture which owns the Kupe oil and gas field.  |
| <b>Genesis Energy Ltd</b>   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.genesisenergy.co.nz/home">https://www.genesisenergy.co.nz/home</a>                       | Genesis Energy is a diversified energy company. We sell electricity, natural gas and LPG through our retail brands. We generate electricity, and trade electricity and natural gas, through our Generation business. We also have a 31% interest in the Kupe Joint Venture which owns the Kupe oil and gas field.  |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                                   | Description of Investment   |
|--|------------------------|---|---|---|---|
| GlaxoSmithKline plc  | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.gsk.com/">http://www.gsk.com/</a>                                     | Today there are still millions of people without access to basic healthcare, thousands of diseases without adequate treatments and millions more people who suffer from everyday ailments. At GSK we want to change this.   |
| Glaxosmithkline Plc - GBP (GSK)                                | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.gsk.com/">http://www.gsk.com/</a>                                     | Today there are still millions of people without access to basic healthcare, thousands of diseases without adequate treatments and millions more people who suffer from everyday ailments. At GSK we want to change this.   |
| Goodman Group Limited - AUD (GMG)                              | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.goodman.com/">https://www.goodman.com/</a>                           | Goodman Group is an integrated industrial property group. The Group has operations in Australia, New Zealand, UK, Asia and Europe. Goodman's activities include property investment, funds management, property development and property services.                    |
| Goodman Property Trust   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://nz.goodman.com/">https://nz.goodman.com/</a>                             | Goodman Property Trust (GMT) is an externally managed listed Unit Trust that invests in high quality industrial and business space property. It is an established business built around a modern portfolio, a wide customer base and a proven development capability. |
| Goodman Property Trust Units - NZD (GMT)                       | 0.00%                  | 0.00%   | 0.40%   | <a href="https://nz.goodman.com/">https://nz.goodman.com/</a>                             | Goodman Property Trust (GMT) is an externally managed listed Unit Trust that invests in high quality industrial and business space property. It is an established business built around a modern portfolio, a wide customer base and a proven development capability. |
| Goodman+Bonds Fixed Rate Notes @ 4% Mat 01/09/2023 - (GMB050)  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://nz.goodman.com/">https://nz.goodman.com/</a>                             | Goodman Property Trust (GMT) is an externally managed listed Unit Trust that invests in high quality industrial and business space property. It is an established business built around a modern portfolio, a wide customer base and a proven development capability. |
| Goodman+Bonds Fixed Rate Notes @ 5.00% mat 23/06/2022 (GMB030) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://nz.goodman.com/">https://nz.goodman.com/</a>                             | Goodman Property Trust (GMT) is an externally managed listed Unit Trust that invests in high quality industrial and business space property. It is an established business built around a modern portfolio, a wide customer base and a proven development capability. |
| Google Inc   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.google.com">https://www.google.com</a>                               | Google Inc. is an American company, based in Mountain View, California that specializes in internet services, most notably as a search engine. Google search is known worldwide and has the largest market share in the field of internet search.                     |
| Gulf Keystone Petroleum Limited - GBP (GKP)                    | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.gulfkeystone.com">https://www.gulfkeystone.com</a>                   | Gulf Keystone Petroleum Ltd. is an oil and gas exploration and production company. The Company explores, develops, and produces oil and gas primarily in North Africa and the Middle East.  |
| Hallenstein Glasson Holdings Limited - NZD (HLG)               | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.hallensteinglasson.co.nz/">https://www.hallensteinglasson.co.nz/</a> | Hallensteins is New Zealand's iconic menswear store. Recognised for its fashion and value equation, generations of New Zealand's males have been loyal customers. Stores are located in most metropolitan areas throughout New Zealand.                               |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment  | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                     | Description of Investment   |
|--|------------------------|--|---|---|---|
| Harbour Australasian Equity Focus Fund (PIE)       | 0.00%                  | The wholesale fund charges a performance based fee on the following basis:<br>10% of the funds performance above the hurdle rate of return (being benchmark + 1%) with outperformance capped at 10%. | 1.59%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This concentrated 'best ideas' Fund is designed to deliver strong growth over the long term, through investing in a smaller number of high quality companies with strong growth prospects.  |
| Harbour Australasian Equity Fund                   | 0.00%                  | The wholesale fund charges a performance based fee on the following basis:<br>10% of the funds performance above the hurdle rate of return (being benchmark + 1%) with outperformance capped at 10%. | 1.47%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is designed to provide long-term capital growth through investing in New Zealand and Australian stocks with strong growth potential. It aims to outperform the local equity market.   |
| Harbour Australasian Equity Fund (PIE)             | 0.00%                  | The wholesale fund charges a performance based fee on the following basis:<br>10% of the funds performance above the hurdle rate of return (being benchmark + 1%) with outperformance capped at 10%. | 1.47%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is designed to provide long-term capital growth through investing in New Zealand and Australian stocks with strong growth potential. It aims to outperform the local equity market.   |
| Harbour Australasian Equity Income Fund (PIE)      | 0.00%                  | 0.00%  | 1.43%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is designed to provide capital growth over time and produce attractive levels of income too.  |
| Harbour Australasian Equity Income Fund (PIE) - XR | 0.00%                  | 0.00%  | 1.43%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is designed to provide capital growth over time and produce attractive levels of income too.  |
| Harbour Australasian Equity Income Fund R          | 0.00%                  | 0.00%  | 1.43%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is designed to provide capital growth over time and produce attractive levels of income too.  |
| Harbour Enhanced Cash Fund (PIE) - NR              | 0.00%                  | 0.00%  | 0.65%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is designed as a short term, higher liquidity and lower volatility investment option. It aims to utilise Harbour's research to generate returns over and above the New Zealand cash rate.                                       |
| Harbour Income Fund (PIE)                          | 0.00%                  | 0.00%  | 1.03%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is designed for investors who want both capital growth and a steady and sustainable income. It aims to exceed the official cash rate plus 3.5% per annum (over rolling 3 year periods).   |
| Harbour NZ Core FI Fund                            | 0.00%                  | 0.00%  | 1.03%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is designed as a risk-diversifier for those who may already hold riskier assets (such as equities or property). It aims to utilise Harbour's research to generate returns over and above the New Zealand fixed interest market. |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment  | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                     | Description of Investment   |
|--|------------------------|--|---|---|---|
| Harbour NZ Core Fixed Interest Fund (PIE)              | 0.00%                  | 0.00%  | 1.03%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is designed as a risk-diversifier for those who may already hold riskier assets (such as equities or property). It aims to utilise Harbour's research to generate returns over and above the New Zealand fixed interest market.   |
| Harbour NZ Core Fixed Interest Fund (PIE) - SWM        | 0.00%                  | 0.00%  | 1.03%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is designed as a risk-diversifier for those who may already hold riskier assets (such as equities or property). It aims to utilise Harbour's research to generate returns over and above the New Zealand fixed interest market.   |
| Harbour NZ Corporate Bond Fund                         | 0.00%                  | 0.00%  | 0.85%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is designed to provide income by capturing the yield from investment grade corporate bonds. It aims to outperform the New Zealand corporate bond market.  |
| Harbour NZ Corporate Bond Fund (PIE)                   | 0.00%                  | 0.00%  | 0.85%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is designed to provide income by capturing the yield from investment grade corporate bonds. It aims to outperform the New Zealand corporate bond market.  |
| Harbour NZ Corporate Bond Fund (PIE) - XR              | 0.00%                  | 0.00%  | 0.85%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is designed to provide income by capturing the yield from investment grade corporate bonds. It aims to outperform the New Zealand corporate bond market.  |
| Harbour NZ Corporate Bond Fund (Synergy)               | 0.00%                  | 0.00%  | 0.85%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is designed to provide income by capturing the yield from investment grade corporate bonds. It aims to outperform the New Zealand corporate bond market.  |
| Harbour NZ Equity Advanced Beta Fund                   | 0.00%                  | 0.00%  | 0.85%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | The Fund provides broad market exposure to New Zealand equities. It is designed to have the lower fees of a passive fund, with a similar risk profile to the New Zealand equities market, and with the potential to outperform the market through quantitative research and skilful management.   |
| Harbour Wholesale Australasian Equity Focus Fund (PIE) | 0.00%                  | The wholesale fund charges a performance based fee on the following basis:<br>10% of the funds performance above the hurdle rate of return (being benchmark + 1%) with outperformance capped at 10%. | 1.59%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This concentrated 'best ideas' Fund is designed to deliver strong growth over the long term, through investing in a smaller number of high quality companies with strong growth prospects.  |
| Harbour Wholesale NZ Core Fixed Interest Fund (PIE)    | 0.00%                  | 0.00%  | 1.03%   | <a href="https://www.harbourasset.co.nz">https://www.harbourasset.co.nz</a> | This Fund is built to generate returns through investing in a mix of New Zealand government and corporate fixed interest securities. The Fund is actively managed, which means the Fixed Income team is able to use Harbour's macroeconomic and security research to make active investment decisions and target additional returns or hedge against risks. |
| Hargreaves Lansdown PLC                                | 0.00%                  | 0.00%  | 0.40%   | <a href="https://www.hl.co.uk">https://www.hl.co.uk</a>                     | Hargreaves Lansdown plc is a financial service company based in Bristol that sells funds and shares and related products to retail investors in the United Kingdom.   |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                     | Description of Investment  |
|--|------------------------|---|---|---|--|
| Heartland Bank Limited                                   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.heartland.co.nz/">https://www.heartland.co.nz/</a>     | Heartland Bank is a New Zealand owned bank that was created in 2011 through the merging of four financial organisations. Heartland was granted its bank registration by the Reserve Bank of New Zealand in 2012.                             |
| Heartland Bank Limited @ 3.55% Mat 12/04/2024 - (HBL020) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.heartland.co.nz/">https://www.heartland.co.nz/</a>     | Heartland Bank is a New Zealand owned bank that was created in 2011 through the merging of four financial organisations. Heartland was granted its bank registration by the Reserve Bank of New Zealand in 2012.                             |
| Heartland Bank Ltd 3.50% 20/05/2021                      | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.heartland.co.nz/">https://www.heartland.co.nz/</a>     | Heartland Bank is a New Zealand owned bank that was created in 2011 through the merging of four financial organisations. Heartland was granted its bank registration by the Reserve Bank of New Zealand in 2012.                             |
| Heartland Group Holdings Limited - NZD (HGH)             | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.heartland.co.nz/">https://www.heartland.co.nz/</a>     | Heartland Bank is a New Zealand owned bank that was created in 2011 through the merging of four financial organisations. Heartland was granted its bank registration by the Reserve Bank of New Zealand in 2012.                             |
| Hedged Global Fixed Interest Index Fund (AIF PQ)         | 0.00%                  | 0.00%   | 0.79%   | <a href="https://www.ampcapital.com/">https://www.ampcapital.com/</a>       | The Fund aims to provide a return that closely matches the return of the Bloomberg Barclays Global Aggregate Index, fully hedged to the New Zealand dollar.  |
| Henderson Diversified Income                             | 0.00%                  | 0.00%   | 1.29%   | <a href="https://www.janushenderson.com">https://www.janushenderson.com</a> | The Company's investment objective is to seek income and capital growth such that the total return on the net asset value of the Company exceeds the average return on a rolling annual basis of three month sterling LIBOR plus 2 per cent. |
| Henderson Diversified Income - GBP (HDIV)                | 0.00%                  | 0.00%   | 1.29%   | <a href="https://www.janushenderson.com">https://www.janushenderson.com</a> | The Company's investment objective is to seek income and capital growth such that the total return on the net asset value of the Company exceeds the average return on a rolling annual basis of three month sterling LIBOR plus 2 per cent. |
| Henderson Euro Trust PLC - GBP (HNE)                     | 0.00%                  | 0.00%   | 1.21%   | <a href="https://www.janushenderson.com">https://www.janushenderson.com</a> | Seeking growth, quality and consistency from a selection of 40 to 60 European companies (ex UK) and an emphasis on total return.   |
| Henderson Far East Income Ltd - GBP (HFEL)               | 0.00%                  | 0.00%   | 1.51%   | <a href="https://www.janushenderson.com">https://www.janushenderson.com</a> | An income-focused Trust that invests across Asia Pacific with the target of delivering income growth.  |
| Herald Investment Trust PLC- GBP (HRI)                   | 0.00%                  | 0.00%   | 1.40%   | <a href="https://www.heralduk.com/">https://www.heralduk.com/</a>           | The Herald Investment Trust (HIT) seeks to achieve capital appreciation through investments in smaller quoted companies, in the areas of technology, communications and multi-media. Investments will be made throughout the world.          |
| HICL Infrastructure Co Ltd - GBP (HICL)                  | 0.00%                  | 0.00%   | 1.49%   | <a href="https://www.hicl.com">https://www.hicl.com</a>                     | HICL is a UK-listed infrastructure investment company. We invest in infrastructure for local communities and to support the delivery of essential services, working in partnership with the public and private sectors.                      |

| Asset Name  | Individual Action Fees              | Performance Fees charged by Underlying Investment   | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment   |
|---|-------------------------------------|---|---|---|---|
| HSBC Holdings Plc - GBP (HSBA)                                  | 0.00%                               | 0.00%   | 0.40%   | <a href="https://www.hsbc.com">https://www.hsbc.com</a>   | HSBC Holdings plc is the holding company for the HSBC Group. The Company provides a variety of international banking and financial services, including retail and corporate banking, trade, trusteeship, securities, custody, capital markets, treasury, private and investment banking, and insurance. HSBC Holdings operates worldwide. |
| Hunter Global Fixed Interest Fund (PIE)                         | Buy Spread 0.00%, Sell Spread 0.40% | 0.00%   | 0.94%   | <a href="https://isolutions.co.nz/">https://isolutions.co.nz/</a>                                     | The Fund's assets are invested 100% in a directly held diversified portfolio of global fixed income securities, derivatives and cash managed by PIMCO.  |
| Hyperion Australian Growth Companies                            | 0.00%                               | Performance fee of 20% of the difference in the Fund's return (net of management fees) relative to its benchmark return <sup>4</sup> multiplied by the net asset value of the Fund. | 1.10%   | <a href="https://www.hyperion.com.au">https://www.hyperion.com.au</a>                                 | The Hyperion Global Growth Companies Fund seeks to achieve medium to long-term capital growth and income by investing in high calibre companies primarily listed on a recognised global exchange, at the time of investment.  |
| Hyperion Global Growth Companies B                              | 0.00%                               | Performance fee of 20% of the difference in the Fund's return (net of management fees) relative to its benchmark return <sup>4</sup> multiplied by the net asset value of the Fund. | 1.10%   | <a href="https://www.hyperion.com.au">https://www.hyperion.com.au</a>                                 | The Hyperion Global Growth Companies Fund seeks to achieve medium to long-term capital growth and income by investing in high calibre companies primarily listed on a recognised global exchange, at the time of investment.  |
| Hyperion Global Growth Companies Fund Class B Units - AUD (W)   | 0.00%                               | Performance fee of 20% of the difference in the Fund's return (net of management fees) relative to its benchmark return <sup>4</sup> multiplied by the net asset value of the Fund. | 1.10%   | <a href="https://www.hyperion.com.au">https://www.hyperion.com.au</a>                                 | The Hyperion Global Growth Companies Fund seeks to achieve medium to long-term capital growth and income by investing in high calibre companies primarily listed on a recognised global exchange, at the time of investment.  |
| Impax Environmental Markets Plc - GBP (IEM.L)                   | 0.00%                               | 0.00%   | 1.42%   | <a href="https://www.impaxenvironmentalmarkets.co.uk">https://www.impaxenvironmentalmarkets.co.uk</a> | To enable investors to benefit from growth in the markets for cleaner or more efficient delivery of basic services of energy, water and waste. Investments are made predominantly in quoted companies which provide, utilise, implement or advise upon technology-based systems, products or services in environmental markets.           |
| Infratil - SPP Take Up - NZD (IFT)                              | 0.00%                               | 0.00%   | 0.40%   | <a href="https://infratil.com">https://infratil.com</a>   | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term.  |
| Infratil Infrastructure Bonds @ 5.50% Mat 15/06/2024 - (IFT230) | 0.00%                               | 0.00%   | 0.40%   | <a href="https://infratil.com">https://infratil.com</a>   | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term.  |
| Infratil Limited - NZD (IFT)                                    | 0.00%                               | 0.00%   | 0.40%   | <a href="https://infratil.com">https://infratil.com</a>   | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term.  |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment             | Description of Investment   |
|---|------------------------|---|---|---|---|
| Infratil Limited Bonds @ 5.65% Mat 15/12/2022 - NZD (IFT240)        | 0.00%                  | 0.00%   | 0.40%   | <a href="https://infratil.com">https://infratil.com</a>             | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term.  |
| Infratil Limited Infrastructure Bonds @ 2.67% - NZD (IFTHA)         | 0.00%                  | 0.00%   | 0.40%   | <a href="https://infratil.com">https://infratil.com</a>             | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term.  |
| Infratil Limited Infrastructure Bonds @ 4.75% 15/12/2024 - (IFT260) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://infratil.com">https://infratil.com</a>             | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term.  |
| Infratil Limited Infrastructure Bonds @ 4.85% 15/12/2028 - (IFT270) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://infratil.com">https://infratil.com</a>             | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term.  |
| Infratil Limited Unsub Bonds @ 5.25% Mat 15/09/2023 - NZD (IFT210)  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://infratil.com">https://infratil.com</a>             | The objective of Infratil's management is to deliver above average returns to shareholders over the long-term.  |
| Insurance Australia Group @ 5.15% Mat 15/06/2043 - NZD (IAGFB)      | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.iag.com.au">https://www.iag.com.au</a>         | Insurance Australia Group Limited is a multinational insurance company headquartered in Sydney, Australia.  |
| International Biotechnology Trust Plc - GBP (IBT)                   | 0.00%                  | 0.00%   | 1.70%   | <a href="https://ibtplc.com/">https://ibtplc.com/</a>               | International Biotechnology Trust offers investors access to the fast growing biotechnology sector through an actively managed, diversified fund.   |
| International Business Machines Corp - USD (IBM)                    | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.ibm.com">https://www.ibm.com</a>               | International Business Machines Corporation (IBM) provides computer solutions. The Company offers application, technology consulting and support, process design and operations, cloud, digital workplace, and network services, as well as business resiliency, strategy, and design solutions. IBM serves clients worldwide.  |
| Intuitive Surgical Inc  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.intuitive.com/">https://www.intuitive.com/</a> | Intuitive Surgical, Inc. is an American corporation that develops, manufactures, and markets robotic products designed to improve clinical outcomes of patients through minimally invasive surgery, most notably with the da Vinci Surgical System.   |
| Invesco DB Commodity Index Tracking Fund                            | 0.00%                  | 0.00%   | 1.29%   | <a href="https://www.invesco.com">https://www.invesco.com</a>       | The Invesco DB Commodity Index Tracking Fund seeks to track changes, whether positive or negative, in the level of the DBIQ Optimum Yield Diversified Commodity Index Excess Return™ (DBIQ Opt Yield Diversified Comm Index ER) plus the interest income from the Fund's holdings of primarily US Treasury securities and money market income less the Fund's expenses. |
| Invesco Income Growth Trust Plc - GBP (IVI)                         | 0.00%                  | 0.00%   | 1.11%   | <a href="https://www.invesco.com">https://www.invesco.com</a>       | Invesco Income Growth Trust plc (the "Company") is a UK closed-ended investment trust whose shares are listed on the London Stock Exchange. The Company invests its assets with the aim of spreading investment risk and generating a return for shareholders.  |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                             | Description of Investment  |
|--|------------------------|---|---|---|--|
| Invesco Perpetual Enhanced Income Ltd                        | 0.00%                  | 0.00%   | 1.44%   | <a href="https://www.invesco.com">https://www.invesco.com</a>                       | The Company's principal objective is to provide shareholders with a high level of income whilst seeking to maximise total return through investing in a diversified portfolio of high yielding corporate and government bonds.   |
| Invesco QQQ Trust - Series 1 USD (QQQ)                       | 0.00%                  | 0.00%   | 0.60%   | <a href="https://www.invesco.com">https://www.invesco.com</a>                       | Invesco QQQ is an exchange-traded fund based on the Nasdaq-100 Index®. The Fund will, under most circumstances, consist of all of stocks in the Index. The Index includes 100 of the largest domestic and international nonfinancial companies listed on the Nasdaq Stock Market based on market capitalization. |
| Invesco S&P Global Water Index Portfolio - USD (CGW)         | 0.00%                  | 0.00%   | 0.99%   | <a href="https://www.invesco.com">https://www.invesco.com</a>                       | The Invesco S&P Global Water Index ETF (the "Fund") is based on the S&P Global Water Index (the "Index"). The Fund will invest at least 90% of its total assets in the securities American depository receipts (ADRs) and global depository receipts (GDRs) that comprise the Index.                             |
| Invesco S&P Small Cap 600 Pure ETF - USD (RZG)               | 0.00%                  | 0.00%   | 0.75%   | <a href="https://www.invesco.com">https://www.invesco.com</a>                       | The Invesco S&P SmallCap 600® Pure Growth ETF (Fund) is based on the S&P SmallCap 600® Pure Growth Index (Index). The Fund will invest at least 90% of its total assets in securities that comprise the Index.   |
| Investore Property Limited                                   | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.investoreproperty.co.nz">http://www.investoreproperty.co.nz</a> | Investore Property Limited is a commercial property ownership business that has been established to invest in quality Large Format Retail property assets.   |
| Investore Property Limited @ 4.40% Mat 18/04/2024 - (IPL010) | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.investoreproperty.co.nz">http://www.investoreproperty.co.nz</a> | Investore Property Limited is a commercial property ownership business that has been established to invest in quality Large Format Retail property assets.   |
| Investore Property Ltd - NZD (IPL)                           | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.investoreproperty.co.nz">http://www.investoreproperty.co.nz</a> | Investore Property Limited is a commercial property ownership business that has been established to invest in quality Large Format Retail property assets.   |
| Investors Mutual Australian Smaller Companies Fund (W)       | 0.00%                  | 0.00%   | 1.39%   | <a href="https://www.iml.com.au">https://www.iml.com.au</a>                         | The Investors Mutual Australian Smaller Companies Fund provides exposure to an actively managed portfolio of quality shares outside of the top 100 listed on the ASX. The Fund will aim to provide attractive investment opportunities for investors seeking medium to long term capital growth with income.     |
| iShares - iBOXX Sterling Corporate Bond Fund                 | 0.00%                  | 0.00%   | 0.92%   | <a href="https://www.ishares.com">https://www.ishares.com</a>                       | The Fund aims to provide a return on your investment (generated through an increase in the value of the assets held by the Fund and/or income received from those assets) by tracking closely the performance of the Markit iBoxx GBP Non-Gilts Overall TR Index, the Fund's benchmark index.                    |
| iShares Asia 50 ETF - AUD (IAA)                              | 0.00%                  | 0.00%   | 0.90%   | <a href="https://www.ishares.com">https://www.ishares.com</a>                       | The fund seeks to track the investment results of an index composed of 50 of the largest Asian equities.   |
| iShares Australian Bond Index                                | 0.00%                  | 0.00%   | 0.62%   | <a href="https://www.ishares.com">https://www.ishares.com</a>                       | The Fund aims to provide investors with the performance of the market, before fees, as measured by the Bloomberg AusBond Composite 0+ Yr Index (Index).  |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment       | Description of Investment  |
|---|------------------------|---|---|---|--|
| iShares Australian Equity Index                                 | 0.00%                  | 0.00%   | 0.60%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund aims to provide investors with the performance of the market, before fees, as measured by the S&P/ASX 300 TR Index (Index).   |
| iShares Barcap GBP Index Linked Gilts - GBP (INXG)              | 0.00%                  | 0.00%   | 0.50%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of UK inflation-linked government bonds.  |
| iShares Barclays 1-3 Year Treasury Bond - USD (SHY)             | 0.00%                  | 0.00%   | 0.55%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares 1-3 Year Treasury Bond ETF seeks to track the investment results of an index composed of U.S. Treasury bonds with remaining maturities between one and three years.  |
| iShares Barclays TIPS Bond Fund - USD (TIP)                     | 0.00%                  | 0.00%   | 0.59%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares TIPS Bond ETF seeks to track the investment results of an index composed of inflation-protected U.S. Treasury bonds.   |
| iShares COMEX Gold Trust (IAU) - USD                            | 0.00%                  | 0.00%   | 0.65%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares Gold Trust (the 'Trust') seeks to reflect generally the performance of the price of gold.  |
| iShares Core FTSE 100 UCITS ETF - GBP (CUKX)                    | 0.00%                  | 0.00%   | 0.47%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of the 100 largest UK companies   |
| iShares Core GBP Corp - GBP (SLXX)                              | 0.00%                  | 0.00%   | 0.60%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds.   |
| iShares Core MSCI World UCITS ETF - GBP (SWDA)                  | 0.00%                  | 0.00%   | 0.60%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of companies from developed countries.  |
| iShares Core S&P 500 UCITS ETF USD Dist - GBP (IUSA)            | 0.00%                  | 0.00%   | 0.47%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of 500 large cap U.S. companies.  |
| iShares Core S&P/ASX 200 ETF - AUD (IOZ)                        | 0.00%                  | 0.00%   | 0.49%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The fund aims to provide investors with the performance of an index, before fees and expenses, composed of the 200 largest Australian securities listed on the ASX.  |
| iShares Core UK Gilt UCITS ETF - GBP (IGLT)                     | 0.00%                  | 0.00%   | 0.47%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of Sterling denominated UK government bonds.  |
| iShares Developed Markets Property Yield UCITS ETF - GBP (IWDP) | 0.00%                  | 0.00%   | 0.99%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of listed real estate companies and Real Estate Investment Trusts (REITs) from developed countries, excluding Greece, which also comply with dividend yield criteria. |
| iShares Dow Jones US Financial Sector - USD (IYF)               | 0.00%                  | 0.00%   | 0.82%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares U.S. Financials ETF seeks to track the investment results of an index composed of U.S. equities in the financial sector.   |
| iShares Dow Jones US Healthcare - USD (IYH)                     | 0.00%                  | 0.00%   | 0.83%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares U.S. Healthcare ETF seeks to track the investment results of an index composed of U.S. equities in the healthcare sector.  |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment       | Description of Investment   |
|--|------------------------|---|---|---|---|
| iShares Edge MSCI Europe Quality Factor UCITS ETF - NZD (IEQU) | 0.00%                  | 0.00%   | 0.65%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of a sub-set of MSCI Europe stocks with strong and stable earnings   |
| iShares Edge MSCI USA Quality Factor UCITS ETF - USD (IUQA)    | 0.00%                  | 0.00%   | 0.60%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of a sub-set of MSCI USA stocks that have historically experienced strong and stable earnings.   |
| iShares Edge MSCI World Quality Factor UCITS ETF - USD (IWQU)  | 0.00%                  | 0.00%   | 0.70%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of a sub-set of MSCI World stocks with strong and stable earnings.   |
| iShares Emerging Markets IMI Equity Idx                        | 0.00%                  | 0.00%   | 0.95%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund aims to provide investors with the performance of the market, before fees, as measured by the MSCI Emerging Markets IMI ex Tobacco ex Controversial ex Nuclear Weapons Net TR Index (unhedged in AUD).   |
| iShares Euro Stoxx 50 Plc - GBP (EUE)                          | 0.00%                  | 0.00%   | 0.50%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of 50 of the largest companies in the Eurozone.  |
| iShares EURO STOXX Banks 30-15 UCITS ETF                       | 0.00%                  | 0.00%   | 0.91%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of companies from the Eurozone Banks sector.   |
| iShares Europe ETF - AUD (IEU)                                 | 0.00%                  | 0.00%   | 1.00%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The fund aims to provide investors with the performance of the S&P Europe 350TM Index, before fees and expenses. The index is designed to measure the performance of large capitalisation equities and covers 16 major developed European markets.  |
| iShares Expanded Tech Sector ETF - USD (IGM)                   | 0.00%                  | 0.00%   | 0.86%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares Expanded Tech Sector ETF seeks to track the investment results of an index composed of North American equities in the technology sector and select North American equities from communication services to consumer discretionary sectors.   |
| ishares FTSE 100 - GBP (ISF)                                   | 0.00%                  | 0.00%   | 0.47%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of the 100 largest UK companies.   |
| iShares FTSE 250 - GBP (MIDD)                                  | 0.00%                  | 0.00%   | 0.80%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of 250 mid cap UK companies that rank below the FTSE 100 Index   |
| iShares FTSE UK All Stocks Gilt Index                          | 0.00%                  | 0.00%   | 0.91%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund aims to provide a return on your investment (generated through an increase in the value of the assets held by the Fund and/or income received from those assets) by tracking closely the performance of the FTSE Actuaries UK Conventional Gilts All Stocks Index, the Fund's benchmark index. |
| iShares FTSE UK Dividend Plus - GBP (IUKD)                     | 0.00%                  | 0.00%   | 0.80%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of 50 stocks with leading dividend yields from UK listed companies, excluding investment trusts.   |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment       | Description of Investment   |
|---|------------------------|---|---|---|---|
| iShares GBP Corporate Bond ex-Financials UCITS ETF                      | 0.00%                  | 0.00%   | 0.60%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds issued by non-financial organisations.  |
| iShares Global Healthcare ETF   | 0.00%                  | 0.00%   | 0.87%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The fund aims to provide investors with the performance of the S&P Global 1200 Healthcare Sector Index™, before fees and expenses.  |
| iShares Global Inflation Linked Government Bonds UCITS ETF - GBP (SGIL) | 0.00%                  | 0.00%   | 0.65%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The fund is an exchange traded fund (ETF) that aims to track the performance of the Barclays World Government Inflation-Linked Bond Index as closely as possible.   |
| iShares Global Infrastructure UCITS ETF - GBP (INFR)                    | 0.00%                  | 0.00%   | 1.05%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of international infrastructure companies from both developed and emerging countries.  |
| iShares Goldman Sachs Technology Index Fund                             | 0.00%                  | 0.00%   | 0.86%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares Expanded Tech Sector ETF seeks to track the investment results of an index composed of North American equities in the technology sector and select North American equities from communication services to consumer discretionary sectors. |
| iShares GS iBOXX \$ Invest Grade Corp Bond - USD (LQD)                  | 0.00%                  | 0.00%   | 0.55%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares iBoxx \$ Investment Grade Corporate Bond ETF seeks to track the investment results of an index composed of U.S. dollar-denominated, investment grade corporate bonds.   |
| iShares iBoxx ? Corporate Bond ex-Financials - GBP (ISXF)               | 0.00%                  | 0.00%   | 0.60%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds issued by non-financial organisations.  |
| iShares IV Plc Ultrashort Bond UCITS ETF - GBP (ERNS)                   | 0.00%                  | 0.00%   | 0.49%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds and quasi-government bonds.   |
| iShares Listed Private Equity UCITS ETF                                 | 0.00%                  | 0.00%   | 1.15%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of publicly-listed companies active in the private equity space  |
| iShares MSCI ACWI Index Fund -USD (ACWI)                                | 0.00%                  | 0.00%   | 0.72%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares MSCI ACWI ETF seeks to track the investment results of an index composed of large and mid-capitalization developed and emerging market equities.  |
| iShares MSCI All Country World Minimum Volatility ETF                   | 0.00%                  | 0.00%   | 0.88%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The index aims to reflect the performance characteristics of a minimum variance strategy applied to large and mid cap equities across 45 Developed and Emerging Markets countries .   |
| iShares MSCI China A UCITS EFT USD Acc - (IASH.LN)                      | 0.00%                  | 0.00%   | 0.80%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of companies from China.   |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment       | Description of Investment   |
|--|------------------------|---|---|---|---|
| iShares MSCI EAFE Min Volatility - USD (EFAV)            | 0.00%                  | 0.00%   | 0.60%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares Edge MSCI Min Vol EAFE ETF seeks to track the investment results of an index composed of developed market equities that, in the aggregate, have lower volatility characteristics relative to the broader developed equity markets, excluding the U.S. and Canada. |
| iShares MSCI Emerging Markets - GBP (IEEM)               | 0.00%                  | 0.00%   | 0.58%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of companies from emerging markets countries.  |
| iShares MSCI Emerging Markets ETF                        | 0.00%                  | 0.00%   | 0.58%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of companies from emerging markets countries.  |
| iShares MSCI Emerging Markets Mini Vol - USD (EEMV)      | 0.00%                  | 0.00%   | 1.08%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares Edge MSCI Min Vol Emerging Markets ETF seeks to track the investment results of an index composed of emerging market equities that, in the aggregate, have lower volatility characteristics relative to the broader emerging equity markets.                      |
| iShares MSCI Europe Minimum Volatility - GBP (IMV)       | 0.00%                  | 0.00%   | 0.65%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of selected European companies that, in the aggregate, have lower volatility characteristics relative to the broader European equity market.   |
| iShares MSCI Japan Small-Cap ETF - USD (SCJ)             | 0.00%                  | 0.00%   | 0.89%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares MSCI Japan Small-Cap ETF seeks to track the investment results of an index composed of small-capitalization Japanese equities.  |
| iShares MSCI KLD 400 Social Index Fund - USD (DSI)       | 0.00%                  | 0.00%   | 0.65%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares MSCI KLD 400 Social ETF seeks to track the investment results of an index composed of U.S. companies that have positive environmental, social and governance characteristics as identified by the index provider.   |
| iShares MSCI UK Small Cap UCITS ETF - GBP (CUKS)         | 0.00%                  | 0.00%   | 0.98%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of small cap companies from the UK.  |
| iShares MSCI USA ESG Select ETF - USD (SUSA)             | 0.00%                  | 0.00%   | 0.65%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares MSCI USA ESG Select ETF seeks to track the investment results of an index composed of U.S. companies that have positive environmental, social and governance characteristics as identified by the index provider.   |
| iShares MSCI USA Quality Dividend UCITS ETF - GBP (HDIQ) | 0.00%                  | 0.00%   | 0.75%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of U.S. companies with higher dividend income and quality characteristics than the broader U.S. equity market.   |
| iShares MSCI USA Quality Dividend UCITS ETF - USD (QDIV) | 0.00%                  | 0.00%   | 0.75%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of U.S. companies with higher dividend income and quality characteristics than the broader U.S. equity market.   |
| iShares MSCI World - GBP (IWRD)                          | 0.00%                  | 0.00%   | 0.90%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | IWRD provides:<br>1. Broad exposure to a wide range of global companies within 23 developed countries.<br>2. Covering 85% of the listed equities in each country.<br>3. Use at the core of a portfolio to seek long-term growth   |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment       | Description of Investment  |
|--|------------------------|---|---|---|--|
| iShares MSCI World Monthly GBP Hedged - GBP (IGWD)     | 0.00%                  | 0.00%   | 0.95%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of companies which also hedges each currency exposure in the index back to GBP on a monthly basis.                                |
| iShares Nasdaq Biotechnology Index Fund                | 0.00%                  | 0.00%   | 0.87%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares Nasdaq Biotechnology ETF seeks to track the investment results of an index composed of biotechnology and pharmaceutical equities listed on the NASDAQ.                             |
| iShares PLC – MSCI Europe ex UK                        | 0.00%                  | 0.00%   | 0.80%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The fund is an exchange traded fund (ETF) that aims to track the performance of the MSCI Europe ex UK Index as closely as possible.  |
| iShares Plc Markit iBoxx Corp Bond 0-5 - GBP (IS15)    | 0.00%                  | 0.00%   | 0.60%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of Sterling denominated investment grade corporate bonds.   |
| iShares Russell 2000 Index Fund - USD (IWM)            | 0.00%                  | 0.00%   | 0.59%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares Russell 2000 ETF seeks to track the investment results of an index composed of small-capitalization U.S. equities.   |
| iShares S&P 500 Index Fund - AUD (IVV)                 | 0.00%                  | 0.00%   | 0.44%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The fund aims to provide investors with the performance of the S&P 500® Index, before fees and expenses. The index is designed to measure the performance of large capitalisation US equities. |
| iShares S&P 500 Index Fund - USD (IVV)                 | 0.00%                  | 0.00%   | 0.44%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The fund aims to provide investors with the performance of the S&P 500® Index, before fees and expenses. The index is designed to measure the performance of large capitalisation US equities. |
| iShares S&P Asia 50 Index Fund - USD (AIA)             | 0.00%                  | 0.00%   | 0.90%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares Asia 50 ETF seeks to track the investment results of an index composed of 50 of the largest Asian equities.  |
| iShares S&P Europe 350 Index Fund - USD (IEV)          | 0.00%                  | 0.00%   | 0.99%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares Europe ETF seeks to track the investment results of an index composed of European equities.  |
| iShares S&P Global 100 - AUD (IOO)                     | 0.00%                  | 0.00%   | 0.80%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares Global 100 ETF seeks to track the investment results of an index composed of 100 large-capitalization global equities.   |
| iShares S&P Global Healthcare Index Fund - USD (IXJ)   | 0.00%                  | 0.00%   | 0.86%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares Global Healthcare ETF seeks to track the investment results of an index composed of global equities in the healthcare sector.  |
| iShares S&P Global Technology Sector Index - USD (IXN) | 0.00%                  | 0.00%   | 0.86%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares Global Tech ETF seeks to track the investment results of an index composed of global equities in the technology sector.  |
| iShares Silver Trust - USD (SLV)                       | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The iShares Silver Trust (the 'Trust') seeks to reflect generally the performance of the price of silver.  |
| iShares STOXX Europe 600 Health Care                   | 0.00%                  | 0.00%   | 0.86%   | <a href="https://www.ishares.com">https://www.ishares.com</a> | The Fund seeks to track the performance of an index composed of companies from the European Health Care sector.  |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                     | Description of Investment  |
|--|------------------------|---|---|---|--|
| iShares UBS Composite Bond Index Fund - AUD (IAF)                  | 0.00%                  | 0.00%   | 0.60%   | <a href="https://www.ishares.com">https://www.ishares.com</a>               | The fund aims to provide investors with the performance of the Bloomberg AusBond Composite 0+ Yr Index <sup>SM</sup> , before fees and expenses. The index is designed to measure the performance of the Australian bond market and includes investment grade fixed income securities issued by the Australian Treasury, Australian semi-government entities, supranational and sovereign entities and corporate entities      |
| iShares UK Property UCITS ETF                                      | 0.00%                  | 0.00%   | 0.80%   | <a href="https://www.ishares.com">https://www.ishares.com</a>               | The Fund seeks to track the performance of an index composed of UK listed real estate companies and Real Estate Investment Trusts (REITs).   |
| iShares UK Property UCITS ETF - GBP (IUKE)                         | 0.00%                  | 0.00%   | 0.80%   | <a href="https://www.ishares.com">https://www.ishares.com</a>               | The Fund seeks to track the performance of an index composed of UK listed real estate companies and Real Estate Investment Trusts (REITs).   |
| iShares US Technology ETF - USD (IYW)                              | 0.00%                  | 0.00%   | 0.82%   | <a href="https://www.ishares.com">https://www.ishares.com</a>               | The iShares U.S. Technology ETF seeks to track the investment results of an index composed of U.S. equities in the technology sector.  |
| iShares V Public Lim Company S&P 500 Hedged UCITS ETF - GBP (IGUS) | 0.00%                  | 0.00%   | 0.60%   | <a href="https://www.ishares.com">https://www.ishares.com</a>               | The Fund seeks to track the performance of an index composed of 500 large cap U.S. companies which also hedges USD currency in the index back to GBP on a monthly basis.   |
| Johnson & Johnson Incorporated - USD (JNJ)                         | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.jnj.com">https://www.jnj.com</a>                       | Johnson & Johnson, through its family of companies, is engaged in the research and development, manufacture and sale of a broad range of products in the health care field in virtually all countries of the world. Johnson & Johnson's primary interest, both historically and currently, has been in products related to human health and well-being. Johnson & Johnson was incorporated in the State of New Jersey in 1887. |
| Johnson Matthey Plc  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://matthey.com/">https://matthey.com/</a>                     | As a global leader in sustainable technologies, we apply our cutting-edge science to create solutions with our customers that make a real difference to the world around us.   |
| JP Morgan Chase & Co - USD (JPM)                                   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.jpmorganchase.com/">https://www.jpmorganchase.com/</a> | JPMorgan Chase & Co. is an American multinational investment bank and financial services holding company headquartered in New York City.   |
| JP Morgan Global Emerging Markets Income Trust PLC                 | 0.00%                  | 0.00%   | 1.66%   | <a href="https://www.jpmorgan.com">https://www.jpmorgan.com</a>             | Aims to provide a dividend income, together with the potential for long-term capital growth from diversified portfolio of emerging markets investments. The Company will predominantly invest in quoted companies although, where appropriate, it may invest in other types of securities. The Company has the ability to use borrowing to gear the portfolio to up to 20% of net assets where appropriate.                    |
| JP Morgan US Smaller Companies IT Plc - GBP (JUSC)                 | 0.00%                  | 0.00%   | 1.66%   | <a href="https://www.jpmorgan.com">https://www.jpmorgan.com</a>             | The JPMorgan US Smaller Companies Investment Trust plc uses the stock-picking credentials of our US based investment team to invest in well-run companies with attractive and sustainable profits from the potentially faster growing smaller companies segment of the US stock market.  |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment                  | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                 | Description of Investment   |
|--|------------------------|--|---|---|---|
| JPMorgan American IT Plc - GBP (JAM)                       | 0.00%                  | 0.00%  | 0.78%   | <a href="https://www.jpmorgan.com">https://www.jpmorgan.com</a>         | Aims to achieve capital growth from North American investments by outperformance of the S&P 500 index. The Company will predominantly invest in quoted companies including, when appropriate, exposure to smaller capitalisation companies, and emphasise capital growth rather than income.  |
| JPMorgan Emerging Markets Investment Trust PLC - GBP (JMG) | 0.00%                  | 0.00%  | 1.42%   | <a href="https://www.jpmorgan.com">https://www.jpmorgan.com</a>         | JPMorgan Emerging Markets Investment Trust plc seeks to uncover quality stocks from across emerging markets that are also attractively valued, benefiting from an extensive network of country and sector specialists from one of the longest established emerging market teams in the industry.  |
| JPMorgan Global Growth & Income PLC                        | 0.00%                  | 15.00%   | 0.96%   | <a href="https://www.jpmorgan.com">https://www.jpmorgan.com</a>         | To provide superior total returns and outperform the MSCI All Country World Index over the long-term by investing in companies based around the world.  |
| JPMorgan Global Growth & Income Plc - GBP (JGGI)           | 0.00%                  | 15.00%   | 0.96%   | <a href="https://www.jpmorgan.com">https://www.jpmorgan.com</a>         | To provide superior total returns and outperform the MSCI All Country World Index over the long-term by investing in companies based around the world.  |
| JPMorgan Global Growth & Income PLC - NZD (JPG)            | 0.00%                  | 15.00%   | 0.96%   | <a href="https://www.jpmorgan.com">https://www.jpmorgan.com</a>         | To provide superior total returns and outperform the MSCI All Country World Index over the long-term by investing in companies based around the world.  |
| JPMorgan Indian Investment Trust PLC- GBP (JII)            | 0.00%                  | 15.00%   | 1.49%   | <a href="https://www.jpmorgan.com">https://www.jpmorgan.com</a>         | Aims to provide capital growth from Indian investments by outperforming the MSCI India Index. The Company will invest in a diversified portfolio of quoted Indian companies and companies that earn a material part of their revenues from India.   |
| JPMorgan Japanese Investment Trust PLC - GBP (JFJ)         | 0.00%                  | 15.00%   | 1.08%   | <a href="https://www.jpmorgan.com">https://www.jpmorgan.com</a>         | Aims to produce capital growth from a portfolio of Japanese equities. The Company has the ability to use borrowing to gear the portfolio within the range of 5% net cash to 20% geared in normal market conditions.   |
| Jupiter US Smaller Companies Plc - GBP (JUS)               | 0.00%                  | 0.00%  | 1.33%   | <a href="https://www.jupiteram.com/">https://www.jupiteram.com/</a>     | The investment objective of the Company is to achieve long-term capital growth by investing in a diversified portfolio primarily of quoted US smaller and medium-sized companies.   |
| K2 Select International Absolute Return Fund (W)           | 0.00%                  | 15.38% of the amount by which the NAV exceeds the High Water Mark. | 1.95%   | <a href="https://k2am.com.au/k2-funds">https://k2am.com.au/k2-funds</a> | The K2 Select International Fund invests in listed equities throughout global markets. The fund aims to deliver long term growth by capitalising on investment opportunities present in all market cycles.  |
| Kernel Wealth NZ Commercial Property Fund                  | 0.00%                  | 0.00%  | 0.79%   | <a href="https://kernelwealth.co.nz/">https://kernelwealth.co.nz/</a>   | Kiwis love property. While this is no stretch of houses or developer of a new subdivision, it is a diversified exposure to eight large Real Estate Investment Trusts, who own and manage commercial property portfolios. That includes office buildings, industrial estates and shopping malls. Leveraging at an average of 30%, the underlying companies provide a varied exposure to over 300 properties throughout New Zealand. This fund can provide a regular income stream, while being a good hedge against inflation. |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                 | Description of Investment   |
|---|------------------------|---|---|---|---|
| Kernel Wealth NZ Commercial Property Fund (PIE)                       | 0.00%                  | 0.00%   | 0.79%   | <a href="https://kernelwealth.co.nz/">https://kernelwealth.co.nz/</a>   | Kiwis love property. While this is no stretch of houses or developer of a new subdivision, it is a diversified exposure to eight large Real Estate Investment Trusts, who own and manage commercial property portfolios. That includes office buildings, industrial estates and shopping malls. Leveraging at an average of 30%, the underlying companies provide a varied exposure to over 300 properties throughout New Zealand. This fund can provide a regular income stream, while being a good hedge against inflation. |
| Kingfish Limited - NZD (KFL)  | 0.00%                  | 0.00%   | 0.40%   | <a href="http://kingfish.co.nz/">http://kingfish.co.nz/</a>             | We are a New Zealand based listed investment company that invests in growing New Zealand companies. We offer investors access to a professionally managed portfolio with diversified exposure to the New Zealand equity market. We focus on growing our shareholders' capital through positive portfolio performance and offer regular dividends.   |
| Kingfish Limited Warrants @\$1.64 Exp 12/03/2021 - NZD (KFLWF)        | 0.00%                  | 0.00%   | 0.40%   | <a href="https://kingfish.co.nz/">https://kingfish.co.nz/</a>           | We are a New Zealand based listed investment company that invests in growing New Zealand companies. We offer investors access to a professionally managed portfolio with diversified exposure to the New Zealand equity market. We focus on growing our shareholders' capital through positive portfolio performance and offer regular dividends.   |
| Kiwi Property Group Limited - NZD (KPG)                               | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.kiwiproperty.com">https://www.kiwiproperty.com</a> | Kiwi Property Group Limited is a New Zealand NZX-listed company, formerly a real estate investment trust, that owns many properties throughout New Zealand; including the Sylvia Park Business and Shopping Centre, and the Vero Centre.  |
| Kiwi Property Group Limited Secured @ 4.06% Mat 12/11/2025 - (KPG040) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.kiwiproperty.com">https://www.kiwiproperty.com</a> | Kiwi Property Group Limited is a New Zealand NZX-listed company, formerly a real estate investment trust, that owns many properties throughout New Zealand; including the Sylvia Park Business and Shopping Centre, and the Vero Centre.  |
| Kiwi Property Group Limited Secured @ 4.33% Mat 19/12/2024 - (KPG030) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.kiwiproperty.com">https://www.kiwiproperty.com</a> | Kiwi Property Group Limited is a New Zealand NZX-listed company, formerly a real estate investment trust, that owns many properties throughout New Zealand; including the Sylvia Park Business and Shopping Centre, and the Vero Centre.  |
| Kiwi Property Group Ltd   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.kiwiproperty.com">https://www.kiwiproperty.com</a> | Kiwi Property Group Limited is a New Zealand NZX-listed company, formerly a real estate investment trust, that owns many properties throughout New Zealand; including the Sylvia Park Business and Shopping Centre, and the Vero Centre.  |
| Kiwibank Capital Funding Cap Notes @3.985% Mat 27/05/2025 - (KCFHA)   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.kiwibank.co.nz">https://www.kiwibank.co.nz</a>     | Back in 2002, we launched with a thought: New Zealand needs a better banking alternative—a bank that provides real value for money, that has Kiwi values at heart, and that keeps Kiwi money where it belongs—right here, in New Zealand.   |

| Asset Name  | Individual Action Fees              | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                                 | Description of Investment  |
|---|-------------------------------------|---|---|---|--|
| Kiwibank Ltd Bonds @2.155% Mat 20/09/2024 - NZD (KIW0924) | 0.00%                               | 0.00%   | 0.40%   | <a href="https://www.kiwibank.co.nz">https://www.kiwibank.co.nz</a>                     | Back in 2002, we launched with a thought: New Zealand needs a better banking alternative—a bank that provides real value for money, that has Kiwi values at heart, and that keeps Kiwi money where it belongs—right here, in New Zealand.<br>So we set up shop in PostShops throughout the country, putting us in more locations than any other bank in New Zealand literally overnight (without wasting millions on new premises!). |
| L&G Cyber Security UCITS ETF - GBP (ISPY)                 | 0.00%                               | 0.00%   | 1.15%   | <a href="https://www.lgimetcf.com">https://www.lgimetcf.com</a>                         | The L&G Cyber Security UCITS ETF (the “ETF”) aims to track the performance of the ISE Cyber Security® UCITS Index (the “Index”).   |
| L1 Long Short Fund Limited                                | 0.00%                               | 0.00%   | 1.80%   | <a href="https://www.l1longshort.com/">https://www.l1longshort.com/</a>                 | L1 Long Short Fund Limited provides investors access to an absolute return fund that offers a highly diversified portfolio of long and short positions based on a fundamental bottom-up research process.  |
| L1 Long Short Fund Limited - AUD (LSF)                    | 0.00%                               | 0.00%   | 1.80%   | <a href="https://www.l1longshort.com/">https://www.l1longshort.com/</a>                 | L1 Long Short Fund Limited provides investors access to an absolute return fund that offers a highly diversified portfolio of long and short positions based on a fundamental bottom-up research process.  |
| Lakehouse Small Companies Fund (W)                        | Buy Spread 0.25%, sell Spread 0.25% | 15%   | 1.70%   | <a href="https://www.lakehousecapital.com.au/">https://www.lakehousecapital.com.au/</a> | The Lakehouse Small Companies Fund invests in fast growing small companies listed in Australia and New Zealand.  |
| Land Securities Group PLC - GBP (LAND)                    | 0.00%                               | 0.00%   | 0.40%   | <a href="http://www.landsecurities.com/">http://www.landsecurities.com/</a>             | We are the largest commercial property company in the UK and a member of the FTSE 100. Founded in 1944, we now own and manage more than 26 million sq ft of property, from shopping centres to London offices.   |
| Lend Lease Corp Ltd                                       | 0.00%                               | 0.00%   | 0.40%   | <a href="http://www.lendlease.com/au/">http://www.lendlease.com/au/</a>                 | We are a leading international property and infrastructure group with operations in Australia, Asia, Europe and the Americas. Our vision is to create the best places; places that inspire and enrich the lives of people around the world.  |
| Lend Lease Group Stapled Securities - AUD (LLC)           | 0.00%                               | 0.00%   | 0.40%   | <a href="http://www.lendlease.com/au/">http://www.lendlease.com/au/</a>                 | We are a leading international property and infrastructure group with operations in Australia, Asia, Europe and the Americas. Our vision is to create the best places; places that inspire and enrich the lives of people around the world.  |
| Lloyds Banking Group Plc - GBP (LLOY)                     | 0.00%                               | 0.00%   | 0.40%   | <a href="http://www.lloydsbankinggroup.com/">http://www.lloydsbankinggroup.com/</a>     | We are a financial services group with millions of UK customers with a presence in nearly every community; we are familiar on the high street and with over 1 million businesses through our portfolio of brands.<br>Our business is focused on retail and commercial financial services. We thrive based on how well we serve our customers; on our relationships within the communities we serve; and on helping Britain prosper.  |
| Lloyds TSB Group Plc                                      | 0.00%                               | 0.00%   | 0.40%   | <a href="http://www.lloydsbankinggroup.com/">http://www.lloydsbankinggroup.com/</a>     | We are a financial services group with millions of UK customers with a presence in nearly every community; we are familiar on the high street and with over 1 million businesses through our portfolio of brands.<br>Our business is focused on retail and commercial financial services. We thrive based on how well we serve our customers; on our relationships within the communities we serve; and on helping Britain prosper.  |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment  | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment   |
|---|--|--|---|---|---|
| <b>M&amp;G PLC - GBP (MNG)</b>                        | 0.00%                                  | 0.00%  | 0.40%   | <a href="https://www.mandg.com">https://www.mandg.com</a>   | M&G PLC provides financial planning and investment advisory services. The Company offers pension funds, asset management, and financial advisory services to individuals, institutional clients, and professional investors. M&G serves customers worldwide.  |
| <b>MacMahon Holdings Limited - AUD (MAH)</b>          | 0.00%                                  | 0.00%  | 0.40%   | <a href="http://www.macmahon.com.au/investors/share-information">http://www.macmahon.com.au/investors/share-information</a> | Macmahon Holdings Limited (MAH) is an Australian company providing mining services to clients throughout Australia, New Zealand, South East Asia and Africa. Mining services range from mine development to materials delivery, design, construction and on-site services for mining companies, across a variety of base and precious metals and commodities. |
| <b>Macquarie Group Limited Fully Paid - AUD (MQG)</b> | 0.00%                                  | 0.00%  | 0.40%   | <a href="https://www.macquarie.com">https://www.macquarie.com</a>   | Macquarie is a diversified financial group providing clients with asset management and finance, banking, advisory and risk and capital solutions across debt, equity and commodities.   |
| <b>Macquarie Income Opportunities Fund (W)</b>        | 0.00%                                  | 15.00%   | 0.91%   | <a href="https://www.macquarie.com">https://www.macquarie.com</a>   | The Fund aims to outperform the Bloomberg AusBond Bank Bill Index over the medium term (before fees). It aims to provide higher income returns than traditional cash investments at all stages of interest rate and economic cycles.  |
| <b>Magellan Global</b>                                | Buy Spread 0.07%,<br>sell Spread 0.07% | 10.0% of excess return over the higher of the Index Relative Hurdle (MSCI World Net Total Return Index (AUD)) and the Absolute Return Hurdle (the yield of a 10-year Australian Government Bonds). | 1.75%   | <a href="https://www.magellangroup.com.au">https://www.magellangroup.com.au</a>   | To achieve attractive risk-adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss.  |
| <b>Magellan Global Fund (hedged) (W)</b>              | Buy Spread 0.07%,<br>sell Spread 0.07% | 10.0% of excess return over the higher of the Index Relative Hurdle (MSCI World Net Total Return Index (AUD)) and the Absolute Return Hurdle (the yield of a 10-year Australian Government Bonds). | 1.75%   | <a href="https://www.magellangroup.com.au">https://www.magellangroup.com.au</a>   | To achieve attractive risk-adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss.  |
| <b>Magellan Global Fund (Unhedged) (W)</b>            | Buy Spread 0.07%,<br>sell Spread 0.07% | 10.0% of excess return over the higher of the Index Relative Hurdle (MSCI World Net Total Return Index (AUD)) and the Absolute Return Hurdle (the yield of a 10-year Australian Government Bonds). | 1.75%   | <a href="https://www.magellangroup.com.au">https://www.magellangroup.com.au</a>   | To achieve attractive risk-adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss.  |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment  | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                         | Description of Investment  |
|---|--|--|---|---|--|
| <b>Magellan Global Trust</b>                      | 0.00%                                  | 10.0% of excess return over the higher of the Index Relative Hurdle (MSCI World Net Total Return Index (AUD)) and the Absolute Return Hurdle (the yield of a 10-year Australian Government Bonds).   | 1.75%   | <a href="https://www.magellangroup.com.au">https://www.magellangroup.com.au</a> | To achieve attractive risk-adjusted returns over the medium to long term; while minimising the risk of permanent capital loss  |
| <b>Magellan Global Trust - AUD (MGG)</b>          | 0.00%                                  | 10.0% of excess return over the higher of the Index Relative Hurdle (MSCI World Net Total Return Index (AUD)) and the Absolute Return Hurdle (the yield of a 10-year Australian Government Bonds).   | 1.75%   | <a href="https://www.magellangroup.com.au">https://www.magellangroup.com.au</a> | To achieve attractive risk-adjusted returns over the medium to long term; while minimising the risk of permanent capital loss  |
| <b>Magellan High Conviction Fund (W)</b>          | Buy Spread 0.07%,<br>sell Spread 0.07% | 10% (20% for Class B units) of the excess return above the Absolute Return performance hurdle of 10% per annum. Additionally, Performance Fees are subject to a high water mark.   | 1.90%   | <a href="https://www.magellangroup.com.au">https://www.magellangroup.com.au</a> | To achieve attractive risk-adjusted returns over the medium to long-term through investment in a concentrated portfolio (8-12 securities) of high-quality companies. |
| <b>Magellan High Conviction Trust - AUD (MHH)</b> | 0.00%                                  | 10.0% of the excess return of the Trust above the Absolute Return Performance Hurdle (10% per annum). Additionally, the performance fees are subject to a high water mark.   | 1.90%   | <a href="https://www.magellangroup.com.au">https://www.magellangroup.com.au</a> | To achieve attractive risk-adjusted returns over the medium to long-term through investment in a concentrated portfolio (8-12 securities) of high-quality companies. |
| <b>Magellan Infrastructure</b>                    | Buy Spread 0.15%,<br>sell Spread 0.15% | 10.0% of the excess return of the Units of the Fund above the higher of the Index Relative Hurdle (S&P Global Infrastructure Index A\$ Hedged Net Total Return) and the Absolute Return Hurdle (the yield of 10-year Australian Government Bonds). Additionally, | 1.45%   | <a href="https://www.magellangroup.com.au">https://www.magellangroup.com.au</a> | To achieve attractive risk adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss.   |

| Asset Name   | Individual Action Fees                 | Performance Fees charged by Underlying Investment   | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                         | Description of Investment  |
|--|--|---|---|---|--|
| <b>Magellan Infrastructure (Unhedged)</b>                          | Buy Spread 0.15%,<br>sell Spread 0.15% | 10.0% of the excess return of the Units of the Fund above the higher of the Index Relative Hurdle (S&P Global Infrastructure Index A\$ Hedged Net Total Return) and the Absolute Return Hurdle (the yield of 10-year Australian Government Bonds).<br>Additionally, | 1.45%   | <a href="https://www.magellangroup.com.au">https://www.magellangroup.com.au</a> | To achieve attractive risk adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss.   |
| <b>Magellan Infrastructure Fund (Currency Hedged) - AUD (MICH)</b> | 0.00%                                  | 10.0% of the excess return of the Units of the Fund above the higher of the Index Relative Hurdle (S&P Global Infrastructure Index A\$ Hedged Net Total Return) and the Absolute Return Hurdle (the yield of 10-year Australian Government Bonds).<br>Additionally, | 1.45%   | <a href="https://www.magellangroup.com.au">https://www.magellangroup.com.au</a> | To achieve attractive risk adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss  |
| <b>Magellan Infrastructure Fund (Hedged) (W)</b>                   | 0.00%                                  | 10.0% of the excess return of the Units of the Fund above the higher of the Index Relative Hurdle (S&P Global Infrastructure Index A\$ Hedged Net Total Return) and the Absolute Return Hurdle (the yield of 10-year Australian Government Bonds).<br>Additionally, | 1.45%   | <a href="https://www.magellangroup.com.au">https://www.magellangroup.com.au</a> | To achieve attractive risk adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss  |
| <b>Magellan Infrastructure Fund (Unhedged) (W)</b>                 | Buy Spread 0.15%,<br>sell Spread 0.15% | 10.0% of the excess return of the Units of the Fund above the higher of the Index Relative Hurdle (S&P Global Infrastructure Index A\$ Hedged Net Total Return) and the Absolute Return Hurdle (the yield of 10-year Australian Government Bonds).<br>Additionally, | 1.45%   | <a href="https://www.magellangroup.com.au">https://www.magellangroup.com.au</a> | To achieve attractive risk adjusted returns over the medium to long-term; while reducing the risk of permanent capital loss.   |
| <b>Mainfreight Limited - NZD (MFT)</b>                             | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.mainfreight.com">https://www.mainfreight.com</a>           | As a global supply chain business with over 240 branches around the world, Mainfreight offers sophisticated logistics solutions in and out of the most dynamic economies in the world. |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment   |
|---|--|---|---|---|---|
| Mainfreight Ltd   | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.mainfreight.com">https://www.mainfreight.com</a>   | As a global supply chain business with over 240 branches around the world, Mainfreight offers sophisticated logistics solutions in and out of the most dynamic economies in the world.  |
| Maple-Brown Abbott Global Listed Infrs                              | Buy Spread 0.17%,<br>sell Spread 0.17% | 0.00%   | 1.38%   | <a href="https://www.maple-brownabbott.com.au/">https://www.maple-brownabbott.com.au/</a>   | The Fund aims to outperform the benchmark over rolling five-year periods. The benchmark is an accumulation index, maintained daily by us, comprised of the OECD Total Inflation Index plus 5.5% per annum.  |
| Maple-Brown Abbott Global Listed Infrastructure Fund - Unhedged (W) | Buy Spread 0.17%,<br>sell Spread 0.17% | 0.00%   | 1.38%   | <a href="https://www.maple-brownabbott.com.au/">https://www.maple-brownabbott.com.au/</a>   | The Fund aims to outperform the benchmark over rolling five-year periods. The benchmark is an accumulation index, maintained daily by us, comprised of the OECD Total Inflation Index plus 5.5% per annum.  |
| Martin Currie Portfolio Investment Trust Plc - GBP (MNP)            | 0.00%                                  | 0.00%   | 1.19%   | <a href="https://www.hl.co.uk/shares/shares-search-results/m/martin-currie-global-portfolio-trust-ord-5p">https://www.hl.co.uk/shares/shares-search-results/m/martin-currie-global-portfolio-trust-ord-5p</a> | To achieve long-term growth in excess of capital return of the MSCI All Country World index by investing in international quoted companies.   |
| Mastercard Inc - Class A  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.mastercard.co.nz">https://www.mastercard.co.nz</a>   | Mastercard Incorporated provides financial transaction processing services. The Company offers payment processing services for credit and debit cards, electronic cash, automated teller machines, and travelers checks. Mastercard serves customers worldwide.                               |
| Mastercard Inc - USD (MA)   | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.mastercard.co.nz">https://www.mastercard.co.nz</a>   | Mastercard Incorporated provides financial transaction processing services. The Company offers payment processing services for credit and debit cards, electronic cash, automated teller machines, and travelers checks. Mastercard serves customers worldwide.                               |
| MercadoLibre Inc  | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.mercadolibre.com/">http://www.mercadolibre.com/</a>   | MercadoLibre hosts the largest online commerce and payments ecosystem in Latin America. Our efforts are centered on enabling e-commerce and digital and mobile payments on behalf of our customers by delivering a suite of technology solutions across the complete value chain of commerce. |
| Mercury NZ Limited - NZD (MCY)                                      | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.mercury.co.nz">https://www.mercury.co.nz</a>   | Things have changed at Mercury, and not just our logo. We're on a mission to help Kivis enjoy our clean, green, renewable energy in more wonderful ways. That's why we love stuff like the hill-flattening, traffic-defying e.bike.   |
| Mercury NZ Limited Bonds @3.60% Mat 11/07/2049 - (MCY020)           | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.mercury.co.nz">https://www.mercury.co.nz</a>   | Things have changed at Mercury, and not just our logo. We're on a mission to help Kivis enjoy our clean, green, renewable energy in more wonderful ways. That's why we love stuff like the hill-flattening, traffic-defying e.bike.   |
| Meridian Energy Limited - NZD (MEL)                                 | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.meridianenergy.co.nz/">https://www.meridianenergy.co.nz/</a>   | Meridian Energy is a leading developer of renewable electricity and sells electricity to customers in New Zealand and Australia.  |
| Meridian Energy Limited @ 4.21% Mat 27/06/2025 - (MEL050)           | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.meridianenergy.co.nz/">https://www.meridianenergy.co.nz/</a>   | Meridian Energy is a leading developer of renewable electricity and sells electricity to customers in New Zealand and Australia.  |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                           | Description of Investment  |
|---|------------------------|---|---|---|--|
| Meridian Energy Ltd                                     | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.meridianenergy.co.nz/">https://www.meridianenergy.co.nz/</a> | Meridian Energy is a leading developer of renewable electricity and sells electricity to customers in New Zealand and Australia.   |
| Metlifecare Limited - NZD (MET)                         | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.metlifecare.co.nz">https://www.metlifecare.co.nz</a>         | Metlifecare is one of New Zealand's largest retirement village providers. They currently own and operate 24 retirement villages throughout the North Island of New Zealand. They have been listed on the NZX since 1992, and the Australian ASX main board since October 2013. They are a component of the NZX 50 Index.   |
| MFF Capital Investments Limited - AUD (MFF)             | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.mffcapital.com.au/">https://www.mffcapital.com.au/</a>       | MFF Capital Investments Limited is a listed investment company incorporated in Australia. The Fund aims to maximise compound after-tax returns. The Fund invests in 30 to 100 investments, with the focus on identifying and investing in listed international and Australian companies, with attractive business characteristics, at a discount to their assessed intrinsic values. |
| Microsoft Corp  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.microsoft.com/en-nz/">https://www.microsoft.com/en-nz/</a>   | Founded in 1975, Microsoft (Nasdaq "MSFT") is the worldwide leader in software, services, devices and solutions that help people and businesses realize their full potential.  |
| Microsoft Corporation - USD (MSFT)                      | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.microsoft.com/en-nz/">https://www.microsoft.com/en-nz/</a>   | Founded in 1975, Microsoft (Nasdaq "MSFT") is the worldwide leader in software, services, devices and solutions that help people and businesses realize their full potential.  |
| Mid Wynd International Investment Trust Plc - GBP (MWY) | 0.00%                  | 0.00%   | 1.06%   | <a href="https://www.artemisfunds.com/">https://www.artemisfunds.com/</a>         | Mid Wynd International Investment Trust aims to grow real wealth by investing in high-quality stocks worldwide. Regardless of the short-term economic environment, these companies are considered to have the strength and financial resources to grow and prosper.  |
| Milford Active Growth Fund                              | 0.00%                  | 0.33%   | 1.46%   | <a href="https://milfordasset.com">https://milfordasset.com</a>                   | A diversified fund that primarily invests in Australasian equities, with a moderate allocation to international equities and fixed interest securities.  |
| Milford Active Growth Fund (PIE)                        | 0.00%                  | 0.33%   | 1.46%   | <a href="https://milfordasset.com">https://milfordasset.com</a>                   | A diversified fund that primarily invests in Australasian equities, with a moderate allocation to international equities and fixed interest securities.  |
| Milford Australian Absolute Growth Fund (PIE) NZD       | 0.00%                  | 0.36%   | 1.45%   | <a href="https://milfordasset.com">https://milfordasset.com</a>                   | Diversified fund that primarily invests in Australasian equities, complemented by selective exposure to international equities, fixed interest securities and cash.  |
| Milford Balanced Fund (PIE)                             | 0.00%                  | 0.03%   | 1.47%   | <a href="https://milfordasset.com">https://milfordasset.com</a>                   | Diversified fund that primarily invests in equities, with a significant allocation to fixed interest securities.   |
| Milford Conservative Fund                               | 0.00%                  | 0.00%   | 1.35%   | <a href="https://milfordasset.com">https://milfordasset.com</a>                   | Diversified fund that primarily invests in fixed interest securities, with a moderate allocation to equities.  |
| Milford Conservative Fund (PIE)                         | 0.00%                  | 0.00%   | 1.35%   | <a href="https://milfordasset.com">https://milfordasset.com</a>                   | Diversified fund that primarily invests in fixed interest securities, with a moderate allocation to equities.  |
| Milford Diversified Income Fund                         | 0.00%                  | 0.30%   | 1.05%   | <a href="https://milfordasset.com">https://milfordasset.com</a>                   | Diversified fund that primarily invests in fixed interest and equity income-generating securities.   |

| Asset Name                                | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                 | Description of Investment   |
|---|------------------------|---|---|---|---|
| Milford Diversified Income Fund (PIE)     | 0.00%                  | 0.30%   | 1.05%   | <a href="https://milfordasset.com">https://milfordasset.com</a>         | Diversified fund that primarily invests in fixed interest and equity income-generating securities.  |
| Milford Dynamic Fund (PIE)                | 0.00%                  | 0.00%   | 1.75%   | <a href="https://milfordasset.com">https://milfordasset.com</a>         | Capital growth by out-performing the relevant share market index over a minimum of five years.  |
| Milford Global Corporate Bond Fund (PIE)  | 0.00%                  | 0.00%   | 1.25%   | <a href="https://milfordasset.com">https://milfordasset.com</a>         | Protect capital and generate a positive NZDhedged return that exceeds the relevant benchmark over a minimum of three years.   |
| Milford Global Equity Fund (PIE)          | 0.00%                  | 0.00%   | 1.75%   | <a href="https://milfordasset.com">https://milfordasset.com</a>         | Capital growth by out-performing the relevant share market index over a minimum of five years.  |
| Milford Trans-Tasman Bond Fund            | 0.00%                  | 0.00%   | 1.05%   | <a href="https://milfordasset.com">https://milfordasset.com</a>         | Positive, low volatility return that exceeds the relevant benchmark over a minimum of three years.  |
| Milford Trans-Tasman Bond Fund (PIE)      | 0.00%                  | 0.00%   | 1.05%   | <a href="https://milfordasset.com">https://milfordasset.com</a>         | Positive, low volatility return that exceeds the relevant benchmark over a minimum of three years.  |
| Milford Trans-Tasman Bond Fund (PIE) - RB | 0.00%                  | 0.00%   | 1.05%   | <a href="https://milfordasset.com">https://milfordasset.com</a>         | Positive, low volatility return that exceeds the relevant benchmark over a minimum of three years.  |
| Milford Trans-Tasman Equity Fund          | 0.00%                  | 0.00%   | 1.45%   | <a href="https://milfordasset.com">https://milfordasset.com</a>         | Capital growth by out-performing a mix of two relevant share market indices over a minimum of five years.   |
| Milford Trans-Tasman Equity Fund (PIE)    | 0.00%                  | 0.00%   | 1.45%   | <a href="https://milfordasset.com">https://milfordasset.com</a>         | Capital growth by out-performing a mix of two relevant share market indices over a minimum of five years.   |
| Mint Australasian Equity Fund             | 0.00%                  | 0.00%   | 1.86%   | <a href="https://www.mintasset.co.nz/">https://www.mintasset.co.nz/</a> | This Fund typically invests in New Zealand and Australian listed equities and has an investment objective of outperforming the S&P/NZX50 Gross Index by 3% per annum, before fees, over the medium to long-term.  |
| MINT Australasian Equity Fund (PIE)       | 0.00%                  | 0.00%   | 1.86%   | <a href="https://www.mintasset.co.nz/">https://www.mintasset.co.nz/</a> | This Fund typically invests in New Zealand and Australian listed equities and has an investment objective of outperforming the S&P/NZX50 Gross Index by 3% per annum, before fees, over the medium to long-term.  |
| MINT Australasian Equity Fund (PIE) - SWM | 0.00%                  | 0.00%   | 1.86%   | <a href="https://www.mintasset.co.nz/">https://www.mintasset.co.nz/</a> | This Fund typically invests in New Zealand and Australian listed equities and has an investment objective of outperforming the S&P/NZX50 Gross Index by 3% per annum, before fees, over the medium to long-term.  |
| MINT Australasian Equity Fund (PIE) - XR  | 0.00%                  | 0.00%   | 1.86%   | <a href="https://www.mintasset.co.nz/">https://www.mintasset.co.nz/</a> | This Fund typically invests in New Zealand and Australian listed equities and has an investment objective of outperforming the S&P/NZX50 Gross Index by 3% per annum, before fees, over the medium to long-term.  |
| Mint Australasian Property Fund           | 0.00%                  | 0.00%   | 1.57%   | <a href="https://www.mintasset.co.nz/">https://www.mintasset.co.nz/</a> | This is a single asset class Fund, which typically invests in New Zealand and Australian listed property securities. The Fund has an investment objective of outperforming the S&P/NZX All Real Estate (Industry Group) Gross Index by 1% per annum, before fees, over the medium to long-term. |

| Asset Name                                 | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                     | Description of Investment   |
|--|------------------------|---|---|---|---|
| MINT Australasian Property Fund (PIE)      | 0.00%                  | 0.00%   | 1.57%   | <a href="https://www.mintasset.co.nz/">https://www.mintasset.co.nz/</a>     | This is a single asset class Fund, which typically invests in New Zealand and Australian listed property securities. The Fund has an investment objective of outperforming the S&P/NZX All Real Estate (Industry Group) Gross Index by 1% per annum, before fees, over the medium to long-term.   |
| MINT Australasian Property Fund (PIE) - XR | 0.00%                  | 0.00%   | 1.57%   | <a href="https://www.mintasset.co.nz/">https://www.mintasset.co.nz/</a>     | This is a single asset class Fund, which typically invests in New Zealand and Australian listed property securities. The Fund has an investment objective of outperforming the S&P/NZX All Real Estate (Industry Group) Gross Index by 1% per annum, before fees, over the medium to long-term.   |
| MINT Diversified Income Fund (PIE)         | 0.00%                  | 0.00%   | 1.57%   | <a href="https://www.mintasset.co.nz/">https://www.mintasset.co.nz/</a>     | This is a multi-asset class Fund that offers diversification by investing across a number of asset classes both in New Zealand and internationally. These include cash, fixed interest, listed property and equities. The objective of the Fund is to deliver a total return (through a combination of income and capital growth) in excess of the Consumers Price Index (CPI) by 3% per annum, before fees, over the medium to longterm. |
| MINT Diversified Income Fund (PIE) - XR    | 0.00%                  | 0.00%   | 1.57%   | <a href="https://www.mintasset.co.nz/">https://www.mintasset.co.nz/</a>     | This is a multi-asset class Fund that offers diversification by investing across a number of asset classes both in New Zealand and internationally. These include cash, fixed interest, listed property and equities. The objective of the Fund is to deliver a total return (through a combination of income and capital growth) in excess of the Consumers Price Index (CPI) by 3% per annum, before fees, over the medium to longterm. |
| Mint Diversified Income Fund (Retail)      | 0.00%                  | 0.00%   | 1.57%   | <a href="https://www.mintasset.co.nz/">https://www.mintasset.co.nz/</a>     | This is a multi-asset class Fund that offers diversification by investing across a number of asset classes both in New Zealand and internationally. These include cash, fixed interest, listed property and equities. The objective of the Fund is to deliver a total return (through a combination of income and capital growth) in excess of the Consumers Price Index (CPI) by 3% per annum, before fees, over the medium to longterm. |
| Mint New Zealand SRI Equity Fund           | 0.00%                  | 0.00%   | 1.15%   | <a href="https://www.mintasset.co.nz/">https://www.mintasset.co.nz/</a>     | This Fund typically invests in New Zealand and Australian listed equities and has an investment objective of outperforming the S&P/NZX50 Gross Index by 3% per annum, before fees, over the medium to long-term.  |
| Monks Investment Trust Plc - GBP (MNKS)    | 0.00%                  | 0.00%   | 0.90%   | <a href="https://www.bailliegifford.com">https://www.bailliegifford.com</a> | Monks Investment Trust aims for long-term capital growth which takes priority over income. This is pursued through applying a patient approach to investment, principally from a differentiated, actively managed global equity portfolio containing a diversified range of growth stocks.  |
| Monks Investment Trust PLC/The             | 0.00%                  | 0.00%   | 0.90%   | <a href="https://www.bailliegifford.com">https://www.bailliegifford.com</a> | Monks Investment Trust aims for long-term capital growth which takes priority over income. This is pursued through applying a patient approach to investment, principally from a differentiated, actively managed global equity portfolio containing a diversified range of growth stocks.  |
| Monster Worldwide Inc                      | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.monster.com/">https://www.monster.com/</a>             | Monster is a global online employment solution for people seeking jobs and the employers who need great people.   |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                                     | Description of Investment  |
|---|------------------------|---|---|---|--|
| Montanaro European Smaller Companies Trust Plc - GBP (MTE)  | 0.00%                  | 0.00%   | 1.30%   | <a href="http://www.montanaro.co.uk/">http://www.montanaro.co.uk/</a>                       | Montanaro European Smaller Companies Plc is an open-ended umbrella with variable capital investment company. The investment objective of the Company is to outperform its benchmark, the Morgan Stanley Europe Small Companies Index (MSCI) Europe SmallCap (Capital Return) Index.  |
| Moody's Corp  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.moody.com/">https://www.moody.com/</a>                                 | Moody's is an essential component of the global capital markets, providing credit ratings, research, tools and analysis that contribute to transparent and integrated financial markets.   |
| Murray International Trust PLC - GBP (MYI)                  | 0.00%                  | 0.00%   | 1.09%   | <a href="https://www.murray-intl.co.uk">https://www.murray-intl.co.uk</a>                   | To achieve a total return greater than its benchmark by investing predominantly in equities worldwide. The Company invests in a diversified portfolio of international equities and fixed income securities spread across a range of industries and economies.   |
| Napier Port Holdings Ltd - NZD (NPH)                        | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.napierport.co.nz/">https://www.napierport.co.nz/</a>                   | Napier Port is proud to be the shipping gateway connecting the centre of New Zealand with the people and markets of the world.   |
| National Australia Bank Limited Ordinary Shares - AUD (NAB) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.nationalaustraliabank.com/">https://www.nationalaustraliabank.com/</a> | National Australia Bank (NAB) is a leader in providing banking services to Australian businesses, with specialist expertise in agribusiness, health, government, education and community banking - complemented by NAB Group businesses in New Zealand, Asia, United Kingdom (UK) and the United States of America (USA). Our vision is to be Australia and New Zealand's most respected bank. |
| National Grid - GBP (NG)                                    | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.nationalgrid.com/">https://www.nationalgrid.com/</a>                   | National Grid plc is an investor-owned utility company which is focused on the transmission and distribution of electricity and gas. The Company owns and operates the electricity transmission network in England and Wales, the gas transmission network in Great Britain, and electricity transmission networks in the Northeastern United States and Scotland.                             |
| Nestle SA - Registered                                      | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.nestle.com/">https://www.nestle.com/</a>                               | Nestle S.A. is a multinational packaged food company, that manufactures and markets a wide range of food products. The Company's product line includes milk, chocolate, confectionery, bottled water, coffee, creamer, food seasoning and pet foods.   |
| New City High Yield Fund - GBP (NCYF)                       | 0.00%                  | 0.00%   | 1.59%   | <a href="https://ncim.co.uk/">https://ncim.co.uk/</a>                                       | The investment objective is to provide investors with a high gross dividend yield and a potential for capital growth by mainly investing in high yielding fixed interest securities. The Company invests predominantly in fixed income securities, including, but not limited to, preference shares, loan stocks, corporate bonds (convertible and/or redeemable) and government stocks.       |
| New Zealand Exchange Limited - NZD (NZX)                    | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.nzx.com">https://www.nzx.com</a>                                       | NZX is at its core a markets business operating equity, debt, derivatives and energy markets.  |
| New Zealand King Salmon Ordinary Shares - NZD (NZK)         | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.kingsalmon.co.nz">https://www.kingsalmon.co.nz</a>                     | New Zealand King Salmon is the world's largest aquaculture producer of the premium King salmon species.  |

| Asset Name                              | Individual Action Fees                 | Performance Fees charged by Underlying Investment   | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment           | Description of Investment  |
|---|--|---|---|---|--|
| NewRiver REIT PLC - GBP (NRR)           | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.nrr.co.uk/">https://www.nrr.co.uk/</a>       | NewRiver REIT PLC is a real estate investment trust engaged in the real estate business in the United Kingdom.   |
| Nike Inc - USD (NKE)                    | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.nike.com">https://www.nike.com</a>           | Nike, Inc. is an American multinational corporation that is engaged in the design, development, manufacturing, and worldwide marketing and sales of footwear, apparel, equipment, accessories, and services.   |
| Nikko AM Concentrated Equity Fund (PIE) | Buy Spread 0.29%,<br>Sell Spread 0.29% | 10% on gains above benchmark over 12 month period after fees and expenses. High water mark applies. | 1.55%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a> | To construct a portfolio of permitted investments that outperform the Fund's benchmark return before fees, expenses and taxes over a rolling three year period.  |
| Nikko AM Core Equity Fund               | Buy Spread 0.29%,<br>Sell Spread 0.29% | 0.00%   | 1.42%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a> | To construct a portfolio of permitted investments that outperform the Fund's benchmark return by 3.0% per annum over a rolling three year period before fees, expenses and taxes.  |
| Nikko AM Global Bond Fund               | 0.00%                                  | 0.00%   | 1.30%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a> | To construct a portfolio of permitted investments that outperform the Fund's benchmark return by 1.0% per annum over a rolling three year period before fees, expenses and taxes.  |
| Nikko AM Global Shares Fund (PIE) - XR  | Buy Spread 0.07%,<br>Sell Spread 0.07% | 0.00%   | 1.62%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a> | To outperform the MSCI ACWI, with net dividends reinvested, expressed in NZD (unhedged) by 3% per annum over a rolling three year period before fees, expenses and taxes.  |
| Nikko AM Global Shares Hedged Fund      | Buy Spread 0.07%,<br>Sell Spread 0.07% | 0.00%   | 1.62%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a> | To outperform the MSCI ACWI, with net dividends reinvested, expressed in NZD (unhedged) by 3% per annum over a rolling three year period before fees, expenses and taxes.  |
| Nikko AM Income Fund (PIE)              | 0.00%                                  | 0.00%   | 1.47%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a> | To provide investors with regular income from an actively managed investment portfolio while protecting the capital value by constructing a portfolio of permitted investments that outperforms the RBNZ Official Cash Rate by 2.5% p.a. over a rolling three year period before fees, expenses and taxes. |
| Nikko AM NZ Bond Fund                   | 0.00%                                  | 0.00%   | 1.14%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a> | The fund aims to outperform the benchmark return by 0.60% per annum before fees, expenses and taxes over a rolling three year period.  |
| Nikko AM NZ Bond Fund (PIE)             | 0.00%                                  | 0.00%   | 1.14%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a> | The fund aims to outperform the benchmark return by 0.60% per annum before fees, expenses and taxes over a rolling three year period.  |
| Nikko AM NZ Bond Fund (PIE) - AR        | 0.00%                                  | 0.00%   | 1.14%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a> | The fund aims to outperform the benchmark return by 0.60% per annum before fees, expenses and taxes over a rolling three year period.  |
| Nikko AM NZ Cash Fund                   | 0.00%                                  | 0.00%   | 0.75%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a> | To construct a portfolio of permitted investments that outperform the Fund's benchmark return by 0.2% per annum over a rolling three year period before fees, expenses and taxes.  |

| Asset Name                                      | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                                       | Description of Investment   |
|---|------------------------|---|---|---|---|
| Nikko AM NZ Cash Fund (PIE) - RB                | 0.00%                  | 0.00%   | 0.75%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a>                             | To construct a portfolio of permitted investments that outperform the Fund's benchmark return by 0.2% per annum over a rolling three year period before fees, expenses and taxes.   |
| Nikko AM NZ Corporate Bond Fund                 | 0.00%                  | 0.00%   | 1.20%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a>                             | The fund aims to outperform the benchmark return by 0.70% per annum before fees, expenses and taxes over a rolling three year period.   |
| Nikko AM NZ Corporate Bond Fund (PIE)           | 0.00%                  | 0.00%   | 1.20%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a>                             | The fund aims to outperform the benchmark return by 0.70% per annum before fees, expenses and taxes over a rolling three year period.   |
| Nikko AM NZ Corporate Bond Fund (PIE) - MPW     | 0.00%                  | 0.00%   | 1.20%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a>                             | The fund aims to outperform the benchmark return by 0.70% per annum before fees, expenses and taxes over a rolling three year period.   |
| Nikko AM NZ Corporate Bond Fund (PIE) - XR      | 0.00%                  | 0.00%   | 1.20%   | <a href="https://www.nikkoam.co.nz">https://www.nikkoam.co.nz</a>                             | The fund aims to outperform the benchmark return by 0.70% per annum before fees, expenses and taxes over a rolling three year period.   |
| North American Income Trust PLC                 | 0.00%                  | 0.00%   | 1.35%   | <a href="https://www.northamericanincome.co.uk/">https://www.northamericanincome.co.uk/</a>   | To provide investors with above average dividend income and long term capital growth through active management of a portfolio consisting predominantly of S&P 500 US equities.  |
| North American Income Trust Plc - GBP (NAIT)    | 0.00%                  | 0.00%   | 1.35%   | <a href="https://www.northamericanincome.co.uk/">https://www.northamericanincome.co.uk/</a>   | To provide investors with above average dividend income and long term capital growth through active management of a portfolio consisting predominantly of S&P 500 US equities.  |
| Novonix Ltd - AUD (NVX)                         | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.novonixgroup.com/">https://www.novonixgroup.com/</a>                     | Novonix Limited develops and manufactures energy storage equipment. The Company offers industrial batteries. Novonix serves customers worldwide.  |
| Nvidia Corporation - USD (NVDA)                 | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.nvidia.com/">https://www.nvidia.com/</a>                                 | Nvidia Corporation, more commonly referred to as Nvidia, is an American technology company incorporated in Delaware and based in Santa Clara, California.   |
| NZ Government Inflation Linked 2.00% 20/09/2025 | 0.00%                  | 0.00%   | 0.40%   | <a href="https://debtmanagement.treasury.govt.nz">https://debtmanagement.treasury.govt.nz</a> | Inflation-indexed bonds are wholesale fixed-term debt securities with an inflation-indexed component the Crown issues to registered tender counterparties. Inflation-indexed bonds have an initial maturity of one year or more.  |
| NZSX 50 Portfolio Index Fund (NS)               | 0.00%                  | 0.00%   | 0.90%   | <a href="https://smartshares.co.nz/">https://smartshares.co.nz/</a>                           | The Smartshares NZ Top 50 ETF invests in financial products listed on the NZX Main Board and is designed to track the return on the S&P/NZX 50 Portfolio Index. The S&P/NZX 50 Portfolio Index is made up of 50 of the largest financial products listed on the NZX Main Board. |
| NZSX MidCap Index Fund                          | 0.00%                  | 0.00%   | 1.00%   | <a href="https://smartshares.co.nz/">https://smartshares.co.nz/</a>                           | The Smartshares NZ Mid Cap ETF invests in financial products listed on the NZX Main Board and is designed to track the return on the S&P/NZX Mid Cap Index.   |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                                 | Description of Investment  |
|--|------------------------|---|---|---|--|
| Oceania Healthcare Ltd - NZD (OCA)                         | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.oceaniahealthcare.co.nz/">https://www.oceaniahealthcare.co.nz/</a> | Oceania operates in the New Zealand residential aged care and retirement village sectors, offering residents villas and apartments within its retirement villages, and also providing a full range of residential aged care services (including rest home, hospital and dementia level care) at its aged care facilities. Oceania is currently New Zealand's third largest provider of residential aged care, and New Zealand's sixth largest retirement village business. |
| Okta Inc   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.okta.com/">https://www.okta.com/</a>                               | Okta, Inc. is a publicly traded identity and access management company based in San Francisco. It provides cloud software that helps companies manage and secure user authentication into modern applications, and for developers to build identity controls into applications, website web services and devices.  |
| OneAnswer Balanced Growth Fund (MAC) (PIE)                 | 0.00%                  | 0.00%   | 1.48%   | <a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>             | Invests mainly in growth assets (equities, listed property and listed infrastructure), with some exposure to income assets (cash and cash equivalents and fixed interest). The fund may also invest in alternative assets.   |
| OneAnswer Balanced Growth Fund (SAC) (PIE)                 | 0.00%                  | 0.00%   | 1.48%   | <a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>             | Invests mainly in growth assets (equities, listed property and listed infrastructure), with some exposure to income assets (cash and cash equivalents and fixed interest). The fund may also invest in alternative assets.   |
| OneAnswer International Property Fund (PIE)                | 0.00%                  | 0.00%   | 1.58%   | <a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>             | Invests mainly in international listed property assets.  |
| OneAnswer International Property Fund (Single Asset Class) | 0.00%                  | 0.00%   | 1.58%   | <a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>             | Invests mainly in international listed property assets.  |
| OneAnswer International Share Fund (PIE)                   | 0.00%                  | 0.00%   | 1.59%   | <a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>             | Invests mainly in international equities. Investments may include equities in companies that are listed on a recognised stock exchange, and cash and cash equivalents.   |
| OneAnswer International Share Fund (Single Asset Class)    | 0.00%                  | 0.00%   | 1.59%   | <a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>             | Invests mainly in international equities. Investments may include equities in companies that are listed on a recognised stock exchange, and cash and cash equivalents.   |
| OneAnswer New Zealand Fixed Interest Fund                  | 0.00%                  | 0.00%   | 1.12%   | <a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>             | Invests mainly in New Zealand fixed interest assets.   |
| OneAnswer New Zealand Share Fund                           | 0.00%                  | 1.59%   | 0.40%   | <a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>             | Invests mainly in New Zealand equities. Investments may include equities in companies that are listed or intend to list on the New Zealand stock exchange, and cash and cash equivalents.  |
| OneAnswer Property Securities Fund                         | 0.00%                  | 0.00%   | 1.58%   | <a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>             | Invests mainly in New Zealand and Australian listed property assets.   |
| OneAnswer Property Securities Fund (PIE)                   | 0.00%                  | 0.00%   | 1.58%   | <a href="https://investments.anz.co.nz/">https://investments.anz.co.nz/</a>             | Invests mainly in New Zealand and Australian listed property assets.   |

| Asset Name                                  | Individual Action Fees                 | Performance Fees charged by Underlying Investment   | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                           | Description of Investment   |
|---|--|---|---|---|---|
| Onemarket Ltd - AUD (OMN) - Delisted        | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.onemarketnetwork.com">https://www.onemarketnetwork.com</a>   | OneMarket Limited (ASX:OMN) is an Information Technology company listed on the Australian Stock Exchange (ASX).   |
| Palo Alto Networks Inc - USD (PANW)         | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.paloaltonetworks.com/">https://www.paloaltonetworks.com/</a> | Palo Alto Networks, Inc. provides network security solutions. The Company offers firewalls that identify and control applications, scan content to stop threats, prevent data leakage, integrated application, user, and content visibility. Palo Alto Networks serves customers worldwide. |
| Pathfinder Commodity Plus Fund (PIE)        | 0.00%                                  | 0.00%   | 1.40%   | <a href="https://www.path.co.nz/">https://www.path.co.nz/</a>                     | The Fund invests in the growth potential of Commodities by using derivatives and cash   |
| Pathfinder Global Property Fund (PIE)       | 0.00%                                  | 0.00%   | 1.40%   | <a href="https://www.path.co.nz/">https://www.path.co.nz/</a>                     | Global property offers significantly greater choice than New Zealand's small property sector. We invest in high ESG real estate companies from a broad selection of countries and real estate sectors.  |
| Pathfinder Global Responsibility Fund (PIE) | 0.00%                                  | 0.00%   | 1.33%   | <a href="https://www.path.co.nz/">https://www.path.co.nz/</a>                     | Companies with strong environmental, social and governance (ESG) standards tend to have better customer engagement, higher productivity and make better decisions about the deployment of capital.  |
| Pathfinder Global Water Fund (PIE)          | 0.00%                                  | 0.00%   | 1.70%   | <a href="https://www.path.co.nz/">https://www.path.co.nz/</a>                     | Clean, fresh water is essential for life. Yet less than 0.01% of the World's water is sustainable and accessible.   |
| Pathfinder World Equity Fund (PIE)          | 0.00%                                  | 0.00%   | 1.50%   | <a href="https://www.path.co.nz/">https://www.path.co.nz/</a>                     | A highly diversified global portfolio that invests in companies meeting our Responsible Investment guidelines. We exclude companies from many industries that have a damaging impact on society.  |
| PayPal Holdings Inc                         | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.paypal.com/">https://www.paypal.com/</a>                     | PayPal Holdings, Inc. operates as a technology platform company that enables digital and mobile payments on behalf of consumers and merchants. The Company offers online payment solutions. PayPal Holdings serves customers worldwide.   |
| Pengana Australian Equities Class A         | Buy Spread 0.20%,<br>Sell Spread 0.20% | 10.25% (including GST, net of RITC) of increase in net asset value subject to the Australian cash rate plus 6% p.a and high water mark, paid half yearly. | 1.43%   | <a href="https://www.pengana.com/">https://www.pengana.com/</a>                   | The Pengana Australian Equities Fund provides exposure to a high conviction portfolio of listed Australian companies.   |
| Pengana Australian Equities Fund (W)        | Buy Spread 0.20%,<br>Sell Spread 0.20% | 10.25% (including GST, net of RITC) of increase in net asset value subject to the Australian cash rate plus 6% p.a and high water mark, paid half yearly. | 1.43%   | <a href="https://www.pengana.com/">https://www.pengana.com/</a>                   | The Pengana Australian Equities Fund provides exposure to a high conviction portfolio of listed Australian companies.   |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment  | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment   |
|---|--|--|---|---|---|
| <b>Pengana Emerging Companies</b>                                   | Buy Spread 0.30%,<br>Sell Spread 0.30% | 20.5% of the performance (after management fees and expenses) above the return of the benchmark, subject to a high water mark and positive performance in the period. Payable six monthly. | 1.73%   | <a href="https://www.pengana.com/">https://www.pengana.com/</a>   | The Pengana Emerging Companies Fund provides exposure to a diversified portfolio of Australian small cap industrial companies.  |
| <b>Pengana Emerging Companies Fund (W)</b>                          | Buy Spread 0.30%,<br>Sell Spread 0.30% | 20.5% of the performance (after management fees and expenses) above the return of the benchmark, subject to a high water mark and positive performance in the period. Payable six monthly. | 1.73%   | <a href="https://www.pengana.com/">https://www.pengana.com/</a>   | The Pengana Emerging Companies Fund provides exposure to a diversified portfolio of Australian small cap industrial companies.  |
| <b>Pengana International Fund - Ethical</b>                         | Buy Spread 0.30%,<br>Sell Spread 0.30% | 0.00%  | 1.75%   | <a href="https://www.pengana.com/">https://www.pengana.com/</a>   | The Pengana International Fund - Ethical aims to provide exposure to an ethically screened diversified portfolio of highly cash-generative and growing global companies purchased at compelling valuations.   |
| <b>Pengana International Fund - Ethical (W)</b>                     | Buy Spread 0.30%,<br>Sell Spread 0.30% | 0.00%  | 1.75%   | <a href="https://www.pengana.com/">https://www.pengana.com/</a>   | The Pengana International Fund - Ethical aims to provide exposure to an ethically screened diversified portfolio of highly cash-generative and growing global companies purchased at compelling valuations.   |
| <b>Perpetual Income and Growth Investment Trust Plc - GBP (PLI)</b> | 0.00%                                  | 0.00%  | 1.12%   | <a href="https://www.hl.co.uk/shares/shares-search-results/p/perpetual-income-and-growth-inv-tst-ord-10p">https://www.hl.co.uk/shares/shares-search-results/p/perpetual-income-and-growth-inv-tst-ord-10p</a> | The Company's investment objective is to provide shareholders with capital growth and real growth in dividends over the medium to longer term from a portfolio of securities listed mainly in the UK equity market.                                     |
| <b>Perpetual Wholesale Industrial Fund (W)</b>                      | 0.00%                                  | 0.00%  | 1.39%   | <a href="https://www.perpetual.com.au/">https://www.perpetual.com.au/</a>   | Aims to provide long-term capital growth and regular income through investment predominantly in quality Australian industrial shares  |
| <b>Personal Assets Trust PLC</b>                                    | 0.00%                                  | 0.00%  | 1.20%   | <a href="https://patplc.co.uk/">https://patplc.co.uk/</a>   | The Company is an investment trust run for private investors, who may often have committed to it a substantial proportion of their personal wealth.   |
| <b>Personal Assets Trust PLC - GBP (PNL)</b>                        | 0.00%                                  | 0.00%  | 1.20%   | <a href="https://patplc.co.uk/">https://patplc.co.uk/</a>   | The Company is an investment trust run for private investors, who may often have committed to it a substantial proportion of their personal wealth.   |
| <b>Pilbara Minerals Ltd Ordinary Shares - AUD (PLS)</b>             | 0.00%                                  | 0.00%  | 0.40%   | <a href="http://www.pilbaraminerals.com.au">http://www.pilbaraminerals.com.au</a>   | Pilbara Minerals is an emerging lithium and tantalum producer focused on the development of its world-class 100% owned Pilgangoora Lithium-Tantalum Project, located approximately 120kms from Port Hedland in the Pilbara region of Western Australia. |
| <b>Platinum Asia</b>  | Buy Spread 0.20%,<br>Sell Spread 0.20% | 0.00%  | 1.75%   | <a href="https://www.platinum.com.au">https://www.platinum.com.au</a>   | To provide capital growth over the long-term by investing in undervalued companies in the Asian region (excluding Japan).   |
| <b>Platinum Asia Fund - C Class Units (W)</b>                       | Buy Spread 0.20%,<br>Sell Spread 0.20% | 0.00%  | 1.75%   | <a href="https://www.platinum.com.au">https://www.platinum.com.au</a>   | To provide capital growth over the long-term by investing in undervalued companies in the Asian region (excluding Japan).   |

| Asset Name   | Individual Action Fees                 | Performance Fees charged by Underlying Investment   | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment   |
|--|--|---|---|---|---|
| Platinum International Brands Fund - C Class Units (W) | Buy Spread 0.20%,<br>Sell Spread 0.20% | 0.00%   | 1.75%   | <a href="https://www.platinum.com.au">https://www.platinum.com.au</a>                                       | To provide capital growth over the long-term by investing in companies around the world with well-recognised consumer brands names.   |
| Platinum International Fund                            | Buy Spread 0.20%,<br>Sell Spread 0.20% | 0.00%   | 1.75%   | <a href="https://www.platinum.com.au">https://www.platinum.com.au</a>                                       | To provide capital growth over the long-term by investing in undervalued companies from around the world.   |
| Platinum International Fund - C Class Units (W)        | Buy Spread 0.20%,<br>Sell Spread 0.20% | 0.00%   | 1.75%   | <a href="https://www.platinum.com.au">https://www.platinum.com.au</a>                                       | To provide capital growth over the long-term by investing in undervalued companies from around the world.   |
| Plexure Group Limited - NZD (PLX)                      | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.plexure.com">https://www.plexure.com</a>   | Plexure is an intelligent technology platform that powers mobile marketing, helping brands create world-class customer engagement.  |
| PM Capital Enhanced Yield                              | Buy Spread 0.20%,<br>Sell Spread 0.20% | 25% of net excess above RBA Cash Rate (subject to a high watermark).  | 1.19%   | <a href="https://www.pmcapital.com.au">https://www.pmcapital.com.au</a>                                     | To provide investors a return in excess of the RBA cash rate. The Fund aims to outperform the RBA cash rate with a low degree of volatility and minimal risk of capital loss.   |
| PM Capital Enhanced Yield Fund (W)                     | Buy Spread 0.20%,<br>Sell Spread 0.20% | 25% of net excess above RBA Cash Rate (subject to a high watermark).  | 1.19%   | <a href="https://www.pmcapital.com.au">https://www.pmcapital.com.au</a>                                     | To provide investors a return in excess of the RBA cash rate. The Fund aims to outperform the RBA cash rate with a low degree of volatility and minimal risk of capital loss.   |
| PM Capital Global Companies                            | Buy Spread 0.25%,<br>Sell Spread 0.25% | 20% (subject to a high watermark) of the excess above the greater of the RBA cash rate and MSCI World Net Return Index (AUD). | 2.27%   | <a href="https://www.pmcapital.com.au">https://www.pmcapital.com.au</a>                                     | The Fund's investment objective is to provide long term capital growth and outperform the greater of the MSCI World Net Total Return Index (AUD) or RBA cash rate over rolling seven year periods. The Fund is not intended to replicate the index, investing in a concentrated portfolio of predominantly undervalued equities and other global (including Australia) investment securities. |
| Polar Capital Technology Trust - GBP (PCT)             | 0.00%                                  | 10% per annum of outperformance over benchmark.   | 1.35%   | <a href="https://www.polarcapitaltechnologytrust.co.uk/">https://www.polarcapitaltechnologytrust.co.uk/</a> | The Company aims to maximise long-term capital growth through investing in a diversified portfolio of technology companies around the world.  |
| Polar Capital Technology Trust PLC                     | 0.00%                                  | 10% per annum of outperformance over benchmark.   | 1.35%   | <a href="https://www.polarcapitaltechnologytrust.co.uk/">https://www.polarcapitaltechnologytrust.co.uk/</a> | The Company aims to maximise long-term capital growth through investing in a diversified portfolio of technology companies around the world.  |
| Port of Tauranga Limited - NZD (POT)                   | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.port-tauranga.co.nz/">https://www.port-tauranga.co.nz/</a>                             | We are the most horizontally and vertically integrated port in the country.   |
| Precinct Properties New Zealand Limited                | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.precinct.co.nz/">http://www.precinct.co.nz/</a>   | We focus on quality space and quality service. As Precinct, our inspiring commercial environments add to the life of our cities and provide space for businesses to thrive.<br>Listed on the New Zealand Stock exchange since 1997, Precinct is an established New Zealand business, with around 7,800 New Zealand shareholders who together own around 75% of our company.                   |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                               | Description of Investment   |
|---|--|---|---|---|---|
| Precinct Properties NZ Limited - NZD (PCT)                                    | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.precinct.co.nz/">http://www.precinct.co.nz/</a>                   | We focus on quality space and quality service. As Precinct, our inspiring commercial environments add to the life of our cities and provide space for businesses to thrive.<br>Listed on the New Zealand Stock exchange since 1997, Precinct is an established New Zealand business, with around 7,800 New Zealand shareholders who together own around 75% of our company.   |
| Precinct Properties NZ Ltd Convertible Bonds @ 4.80% - NZD (PCTHA)            | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.precinct.co.nz/">http://www.precinct.co.nz/</a>                   | We focus on quality space and quality service. As Precinct, our inspiring commercial environments add to the life of our cities and provide space for businesses to thrive.<br>Listed on the New Zealand Stock exchange since 1997, Precinct is an established New Zealand business, with around 7,800 New Zealand shareholders who together own around 75% of our company.   |
| Precinct Properties NZ Ltd Fixed Rate Bonds @ 4.42% Mat 27/11/2024 - (PCT020) | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.precinct.co.nz/">http://www.precinct.co.nz/</a>                   | We focus on quality space and quality service. As Precinct, our inspiring commercial environments add to the life of our cities and provide space for businesses to thrive.<br>Listed on the New Zealand Stock exchange since 1997, Precinct is an established New Zealand business, with around 7,800 New Zealand shareholders who together own around 75% of our company.   |
| Precinct Properties NZ Ltd Fixed Rate Bonds @5.54% Mat 17/12/2021- (PCT010)   | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.precinct.co.nz/">http://www.precinct.co.nz/</a>                   | We focus on quality space and quality service. As Precinct, our inspiring commercial environments add to the life of our cities and provide space for businesses to thrive.<br>Listed on the New Zealand Stock exchange since 1997, Precinct is an established New Zealand business, with around 7,800 New Zealand shareholders who together own around 75% of our company.   |
| Premium Asia Income   | Buy Spread 0.50%,<br>Sell Spread 0.50% | 0.00%   | 1.53%   | <a href="http://www.premiumchinafunds.com.au">http://www.premiumchinafunds.com.au</a> | The Fund aims to generate regular income with some long-term capital growth.  |
| Premium Asia Income Fund (W)  | Buy Spread 0.50%,<br>Sell Spread 0.50% | 0.00%   | 1.53%   | <a href="http://www.premiumchinafunds.com.au">http://www.premiumchinafunds.com.au</a> | The Fund aims to generate regular income with some long-term capital growth.  |
| Premium China   | Buy Spread 0.50%,<br>Sell Spread 0.50% | 0.00%   | 2.70%   | <a href="http://www.premiumchinafunds.com.au">http://www.premiumchinafunds.com.au</a> | The Premium China Fund is a managed investment scheme which invests primarily in companies listed in Hong Kong, companies listed in Mainland China, companies listed in Taiwan and companies listed on other stock exchanges but with significant assets, investments, production activities, trading or other business interests in the Greater China region, or which derive a significant part of their revenue from the Greater China region. |
| Premium China Fund (W) - Cash   | Buy Spread 0.50%,<br>Sell Spread 0.50% | 0.00%   | 2.70%   | <a href="http://www.premiumchinafunds.com.au">http://www.premiumchinafunds.com.au</a> | The Premium China Fund is a managed investment scheme which invests primarily in companies listed in Hong Kong, companies listed in Mainland China, companies listed in Taiwan and companies listed on other stock exchanges but with significant assets, investments, production activities, trading or other business interests in the Greater China region, or which derive a significant part of their revenue from the Greater China region. |

| Asset Name                                     | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment   |
|--|------------------------|---|---|---|---|
| Property for Industry<br>4.25% 01/10/2025      | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.propertyforindustry.co.nz/">https://www.propertyforindustry.co.nz/</a>           | PFI is NZ's largest specialist industrial property landlord. Its portfolio has 92 mostly industrial properties with 148 tenants and a total value of NZ\$1,211m as at December 2017. PFI's portfolio is ~80% weighted to Auckland and it has properties in most major Auckland industrial precincts. On 1 July 2013, PFI merged with Direct Property Fund, an unlisted property entity, substantially lifting the scale of the portfolio. |
| Property For Industry Limited- NZD (PFI)       | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.propertyforindustry.co.nz/">https://www.propertyforindustry.co.nz/</a>           | PFI is NZ's largest specialist industrial property landlord. Its portfolio has 92 mostly industrial properties with 148 tenants and a total value of NZ\$1,211m as at December 2017. PFI's portfolio is ~80% weighted to Auckland and it has properties in most major Auckland industrial precincts. On 1 July 2013, PFI merged with Direct Property Fund, an unlisted property entity, substantially lifting the scale of the portfolio. |
| Property for Industry Ltd                      | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.propertyforindustry.co.nz/">https://www.propertyforindustry.co.nz/</a>           | PFI is NZ's largest specialist industrial property landlord. Its portfolio has 92 mostly industrial properties with 148 tenants and a total value of NZ\$1,211m as at December 2017. PFI's portfolio is ~80% weighted to Auckland and it has properties in most major Auckland industrial precincts. On 1 July 2013, PFI merged with Direct Property Fund, an unlisted property entity, substantially lifting the scale of the portfolio. |
| Prudential Corporation Plc - GBP (PRU)         | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.prudential.co.uk/">https://www.prudential.co.uk/</a>                             | Prudential plc is an international company which provides a wide assortment of insurance and investment products and services. Insurance products include life, accident and health, and property and casualty, as well as fixed and variable annuities. Prudential's services include personal and group pensions, equity plans, mortgages, and deposit accounts.  |
| Pushpay Holdings Limited - NZD (PPH)           | 0.00%                  | 0.00%   | 0.40%   | <a href="https://pushpay.com/investors/">https://pushpay.com/investors/</a>                           | At Pushpay, we build world-class giving and engagement solutions to help organizations grow their communities.  |
| QEX Logistics Limited Ordinary Shares - NZD    | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.qex.co.nz/">http://www.qex.co.nz/</a>   | A NZ based cross border logistics company that facilitates the storage, supply, packaging, customs clearance and delivery of products bought from NZ stores and online sites by consumers from China.   |
| QuayStreet Income Fund (PIE)                   | 0.00%                  | 0.00%   | 1.15%   | <a href="https://quaystreet.com/">https://quaystreet.com/</a>   | The QuayStreet Income Fund invests in a diversified portfolio with an emphasis on income producing assets including cash, term deposits, fixed interest securities, listed property, infrastructure and utility securities and derivative investments.  |
| Rabobank 90 Day Term Deposit (Feb/May/Aug/Nov) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.rabobank.com/en/home/index.html">https://www.rabobank.com/en/home/index.html</a> | Rabobank is a cooperative bank. There are more than a hundred local Rabobanks in the Netherlands, which each operate with a high degree of independence so they can better serve their customers and local communities. Rabobank also has sizeable international banking operations. They focus on international business and rural activities in general and on the Food and Agri sector in particular.                                  |

| Asset Name                                     | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment  |
|--|------------------------|---|---|---|--|
| Rabobank 90 Day Term Deposit (Mar/Jun/Sep/Dec) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.rabobank.com/en/home/index.html">https://www.rabobank.com/en/home/index.html</a> | Rabobank is a cooperative bank. There are more than a hundred local Rabobanks in the Netherlands, which each operate with a high degree of independence so they can better serve their customers and local communities. Rabobank also has sizeable international banking operations. They focus on international business and rural activities in general and on the Food and Agri sector in particular. |
| Rabobank Ltd (NP)                              | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.rabobank.com/en/home/index.html">https://www.rabobank.com/en/home/index.html</a> | Rabobank is a cooperative bank. There are more than a hundred local Rabobanks in the Netherlands, which each operate with a high degree of independence so they can better serve their customers and local communities. Rabobank also has sizeable international banking operations. They focus on international business and rural activities in general and on the Food and Agri sector in particular. |
| Rabobank RaboSaver Account                     | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.rabobank.com/en/home/index.html">https://www.rabobank.com/en/home/index.html</a> | Rabobank is a cooperative bank. There are more than a hundred local Rabobanks in the Netherlands, which each operate with a high degree of independence so they can better serve their customers and local communities. Rabobank also has sizeable international banking operations. They focus on international business and rural activities in general and on the Food and Agri sector in particular. |
| Rabobank Term Deposit 1.55% 10/09/2020         | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.rabobank.com/en/home/index.html">https://www.rabobank.com/en/home/index.html</a> | Rabobank is a cooperative bank. There are more than a hundred local Rabobanks in the Netherlands, which each operate with a high degree of independence so they can better serve their customers and local communities. Rabobank also has sizeable international banking operations. They focus on international business and rural activities in general and on the Food and Agri sector in particular. |
| Rabobank Term Deposit 1.55% 15/09/2020         | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.rabobank.com/en/home/index.html">https://www.rabobank.com/en/home/index.html</a> | Rabobank is a cooperative bank. There are more than a hundred local Rabobanks in the Netherlands, which each operate with a high degree of independence so they can better serve their customers and local communities. Rabobank also has sizeable international banking operations. They focus on international business and rural activities in general and on the Food and Agri sector in particular. |
| Rabobank Term Deposit 2.30% 13/08/2020         | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.rabobank.com/en/home/index.html">https://www.rabobank.com/en/home/index.html</a> | Rabobank is a cooperative bank. There are more than a hundred local Rabobanks in the Netherlands, which each operate with a high degree of independence so they can better serve their customers and local communities. Rabobank also has sizeable international banking operations. They focus on international business and rural activities in general and on the Food and Agri sector in particular. |
| Rabobank Term Deposit 2.30% 17/08/2020         | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.rabobank.com/en/home/index.html">https://www.rabobank.com/en/home/index.html</a> | Rabobank is a cooperative bank. There are more than a hundred local Rabobanks in the Netherlands, which each operate with a high degree of independence so they can better serve their customers and local communities. Rabobank also has sizeable international banking operations. They focus on international business and rural activities in general and on the Food and Agri sector in particular. |

| Asset Name   | Individual Action Fees                 | Performance Fees charged by Underlying Investment   | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment  |
|--|--|---|---|---|--|
| Rabobank Term Deposit 2.30% 19/08/2020             | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.rabobank.com/en/home/index.html">https://www.rabobank.com/en/home/index.html</a> | Rabobank is a cooperative bank. There are more than a hundred local Rabobanks in the Netherlands, which each operate with a high degree of independence so they can better serve their customers and local communities. Rabobank also has sizeable international banking operations. They focus on international business and rural activities in general and on the Food and Agri sector in particular. |
| Ramsay Health Care Limited - AUD (RHC)             | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.ramsayhealth.com.au/">http://www.ramsayhealth.com.au/</a>                         | Ramsay Health Care Ltd. provides health care services. The Company offers private hospital services, including, rehabilitation, psychiatric care, day, and complex surgery. Ramsay Health Care owns, operates, and manages health care facilities throughout Australia, Indonesia, and Europe.   |
| Rapid7 Inc - USD (RPD)                             | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://investors.rapid7.com/">https://investors.rapid7.com/</a>                             | Rapid7 is advancing security with visibility, analytics, and automation delivered through our Insight cloud. Our solutions simplify the complex, allowing security teams to work more effectively with IT and development to reduce vulnerabilities, monitor for malicious behaviour, investigate and shut down attacks, and automate routine tasks.   |
| RARE Infrastructure Value Hedged                   | Buy Spread 0.20%,<br>Sell Spread 0.15% | 10% p.a + net effect of GST of investment returns made in excess of the Benchmark, but not exceeding 0.30% of the net average daily asset values of the fund in any financial year. | 1.43%   | <a href="https://www.rareinfrastructure.com/">https://www.rareinfrastructure.com/</a>                 | The RARE Infrastructure Value Fund Hedged is for retail and wholesale investors based in Australia and New Zealand. The Fund follows a currency-hedged version of the RARE Infrastructure Value Strategy comprising of diversified infrastructure securities in developed and emerging markets.  |
| Reckitt Benckiser PLC                              | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.rb.com/">http://www.rb.com/</a>   | RB is more than a company. It's a growing community of 40,000+ diverse, talented entrepreneurs – all driven to make the world a happier, healthier place. Drawing on each other's skills and working together, we help make people feel better. Through research and development, we make better products that empower everyone to take their health into their own hands and homes.                     |
| Redfin Corp  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.redfin.com/">https://www.redfin.com/</a>   | Redfin Corporation is engaged in providing residential real estate brokerage services. The customer can search for homes by neighborhood, city or MLS number, or can refine results using detailed parameters, such as price and number of beds or baths.  |
| Reliance Worldwide Corporation Limited - AUD (RWC) | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.rwc.com/">http://www.rwc.com/</a>   | Reliance Worldwide Corporation (RWC) is a global market leader and manufacturer of water delivery, control and optimization systems for modern built environment.  |
| ResMed Inc - AUD (RMD)                             | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.resmed.com/au/en/index.html">http://www.resmed.com/au/en/index.html</a>           | ResMed Inc. is a holding company. The Company, through its subsidiaries, designs, manufactures and markets equipment for the diagnosis and treatment of sleep-disordered breathing and other respiratory disorders, including obstructive sleep apnea.   |

| Asset Name   | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                             | Description of Investment  |
|--|--|---|---|---|--|
| Resolution Capital Global Property Securities Fund - Class A (W) | Buy Spread 0.20%,<br>Sell Spread 0.20% | 20% of outperformance above the benchmark.        | 1.20%   | <a href="https://rescap.com/">https://rescap.com/</a>                               | The Global Fund's investment objective is to exceed the total returns of the Benchmark after fees on a rolling 3 year basis. In doing so, the Global Fund aims to provide Investors with a level of distributable income combined with the potential for long term capital growth sourced from global real estate based revenue streams. |
| Restaurant Brands NZ Limited - NZD (RBD)                         | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.restaurantbrands.co.nz/">http://www.restaurantbrands.co.nz/</a> | Restaurant Brands is a corporate franchisee and specialises in managing multi-site branded food retail chains. Its restaurant support centre and corporate office is located in Penrose in Auckland. Restaurant Brands is listed on the New Zealand Stock Exchange under NZX code RBD.   |
| Rio Tinto Limited - AUD (RIO)                                    | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.riotinto.com">https://www.riotinto.com</a>                     | Our long history is filled with firsts. We've developed some of the world's largest and best quality mines and operations, and our people work in around 35 countries across six continents.   |
| Rio Tinto Ltd  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.riotinto.com">https://www.riotinto.com</a>                     | Our long history is filled with firsts. We've developed some of the world's largest and best quality mines and operations, and our people work in around 35 countries across six continents.   |
| Rio Tinto Plc - GBP (RIO)  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.riotinto.com">https://www.riotinto.com</a>                     | Our long history is filled with firsts. We've developed some of the world's largest and best quality mines and operations, and our people work in around 35 countries across six continents.   |
| RIT Capital Partners PLC   | 0.00%                                  | 0.00%   | 1.08%   | <a href="https://www.ritcap.com/">https://www.ritcap.com/</a>                       | To deliver long-term capital growth, while preserving shareholders' capital; to invest without the constraints of a formal benchmark, but to deliver for shareholders increases in capital value in excess of the relevant indices over time.  |
| RIT Capital Partners PLC - GBP (RCP)                             | 0.00%                                  | 0.00%   | 1.08%   | <a href="https://www.ritcap.com/">https://www.ritcap.com/</a>                       | To deliver long-term capital growth, while preserving shareholders' capital; to invest without the constraints of a formal benchmark, but to deliver for shareholders increases in capital value in excess of the relevant indices over time.  |
| Roche Holding AG - USD (RHHBY)                                   | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.roche.com/">https://www.roche.com/</a>                         | Roche Holding AG develops and manufactures pharmaceutical and diagnostic products. The Company produces prescription drugs in the areas of cardiovascular, infectious, autoimmune, respiratory diseases, dermatology, metabolic disorders, oncology, transplantation, and the central nervous system.                                    |
| Roku Inc   | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.roku.com/">https://www.roku.com/</a>                           | Roku pioneered streaming to the TV. Our mission is to be the TV streaming platform that connects the entire TV ecosystem around the world.   |
| Royal Dutch Shell PLC - A Shares GBP (RDSA)                      | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.shell.com/">https://www.shell.com/</a>                         | Royal Dutch Shell PLC, through subsidiaries, explores, produces, and refines petroleum. The Company produces fuels, chemicals, and lubricants. Royal Dutch Shell owns and operates gasoline filling stations worldwide.  |
| Royal Mail Plc - GBP (RMG)                                       | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.royalmailgroup.com/en">https://www.royalmailgroup.com/en</a>   | Royal Mail plc provides postal and delivery services. The Company offers its services in the United Kingdom as well as parts of continental Europe.  |

| Asset Name   | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                           | Description of Investment  |
|--|--|---|---|---|--|
| Russell Australian Select Corporate Bond ETF - AUD (RCB)         | 0.00%                                  | 0.00%   | 0.68%   | <a href="https://russellinvestments.com">https://russellinvestments.com</a>       | The Russell Investments Australian Select Corporate Bond ETF (the 'Fund') seeks to track the DBIQ 0-4 year Investment Grade Australian Corporate Bond Index ('the Index') which comprises predominantly investment-grade Australian corporate fixed income securities.   |
| Russell Global Opportunities Fund - NZD Hedged Class B (W)       | 0.00%                                  | 0.00%   | 1.80%   | <a href="https://russellinvestments.com">https://russellinvestments.com</a>       | The Fund invests predominantly in a broad range of international shares listed on stock exchanges in developed and emerging international markets. Derivatives may be used to obtain or reduce exposure to securities and markets, to implement investment strategies and to manage risk. Foreign currency exposures are largely hedged back to New Zealand dollars.   |
| Russell Global Opportunities Fund - NZD Hedged Class B (W) - SWM | 0.00%                                  | 0.00%   | 1.80%   | <a href="https://russellinvestments.com">https://russellinvestments.com</a>       | The Fund invests predominantly in a broad range of international shares listed on stock exchanges in developed and emerging international markets. Derivatives may be used to obtain or reduce exposure to securities and markets, to implement investment strategies and to manage risk. Foreign currency exposures are largely hedged back to New Zealand dollars.   |
| Russell Investments Global Fixed Interest Fund - PIE             | Buy Spread 0.25%,<br>Sell Spread 0.75% | 0.00%   | 1.18%   | <a href="https://russellinvestments.com">https://russellinvestments.com</a>       | Provides exposure to a global fixed interest portfolio managed actively on a multi-manager basis. The Fund's underlying investment exposure comprises of a diversified range of fixed interest investments, including international government securities, government-related securities, corporate securities, asset-backed securities and hybrid securities in both developed and emerging markets around the globe. |
| Russell Investments Global Fixed Interest Fund (PIE)             | Buy Spread 0.25%,<br>Sell Spread 0.75% | 0.00%   | 1.18%   | <a href="https://russellinvestments.com">https://russellinvestments.com</a>       | Provides exposure to a global fixed interest portfolio managed actively on a multi-manager basis. The Fund's underlying investment exposure comprises of a diversified range of fixed interest investments, including international government securities, government-related securities, corporate securities, asset-backed securities and hybrid securities in both developed and emerging markets around the globe. |
| Russell Investments Global Share Fund (PIE)                      | Buy Spread 0.18%,<br>Sell Spread 0.13% | 0.00%   | 1.90%   | <a href="https://russellinvestments.com">https://russellinvestments.com</a>       | Provides exposure to international shares and is actively managed using a multimanager approach. The objective is to outperform the MSCI ACWI Index - Net.*  |
| Russell Investments Hedged Global Shares Fund (PIE)              | Buy Spread 0.23%,<br>Sell Spread 0.18% | 0.00%   | 1.93%   | <a href="https://russellinvestments.com">https://russellinvestments.com</a>       | Provides exposure to international shares and is actively managed using a multimanager approach. Targets being fully hedged back to New Zealand dollars. The objective is to outperform the MSCI ACWI Index - 100% Hedged to NZD - Net.*   |
| Ryman Healthcare Limited - NZD (RYM)                             | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.rymanhealthcare.co.nz/">http://www.rymanhealthcare.co.nz/</a> | Ryman Healthcare Limited is a New Zealand retirement village and rest home operator. It is listed on the New Zealand Stock Exchange and is one of the largest companies listed on the NZX 50 Index.  |
| Sainsbury(J) Plc - GBP (SBRY)                                    | 0.00%                                  | 0.00%   | 0.40%   | <a href="http://www.j-sainsbury.co.uk/">http://www.j-sainsbury.co.uk/</a>         | Sainsbury's commitment to helping customers live well for less has been at the heart of what we do since 1869. Today that means making our customers' lives better and easier every day by offering great quality and service at fair prices - across food, clothing, homeware and financial services - whenever and wherever they want it.  |

| Asset Name                                   | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                   | Description of Investment  |
|--|--|---|---|---|--|
| Salt Long Short Fund                         | Buy Spread 0.20%,<br>Sell Spread 0.20% | 15.00%  | 2.19%   | <a href="https://www.saltfunds.co.nz/">https://www.saltfunds.co.nz/</a>   | The Fund seeks to provide equity-like returns over long timeframes with far less volatility than equities and no correlation to the NZ and Australian equity markets.  |
| Salt Long/Short Fund (PIE)                   | Buy Spread 0.20%,<br>Sell Spread 0.20% | 15.00%  | 2.19%   | <a href="https://www.saltfunds.co.nz/">https://www.saltfunds.co.nz/</a>   | The Fund seeks to provide equity-like returns over long timeframes with far less volatility than equities and no correlation to the NZ and Australian equity markets.  |
| Salt Long/Short Fund (PIE) - XR              | Buy Spread 0.20%,<br>Sell Spread 0.20% | 15.00%  | 2.19%   | <a href="https://www.saltfunds.co.nz/">https://www.saltfunds.co.nz/</a>   | The Fund seeks to provide equity-like returns over long timeframes with far less volatility than equities and no correlation to the NZ and Australian equity markets.  |
| Salt NZ Dividend Appreciation Fund (PIE)     | Buy Spread 0.20%,<br>Sell Spread 0.20% | 0.00%   | 1.52%   | <a href="https://www.saltfunds.co.nz/">https://www.saltfunds.co.nz/</a>   | The Fund's investment objective is to outperform the S&P/NZX 50 Gross Index on a rolling three year basis by investing predominantly in New Zealand shares that may pay high and sustainable dividends.  |
| Samsung Electronics Co Ltd - USD (SMSN)      | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.samsung.com/nz/">https://www.samsung.com/nz/</a>     | From our beginnings as a small trading company, Samsung has evolved to a world-class corporation with businesses that span advance technology, semiconductors, skyscraper and plant construction, petrochemical, fashion, medicine, finance, hotels and more. Our discoveries, inventions and breakthrough products have allowed us to be a leader in these fields, constantly pushing the industries forward. |
| Samsung Electronics GDR                      | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.samsung.com/nz/">https://www.samsung.com/nz/</a>     | From our beginnings as a small trading company, Samsung has evolved to a world-class corporation with businesses that span advance technology, semiconductors, skyscraper and plant construction, petrochemical, fashion, medicine, finance, hotels and more. Our discoveries, inventions and breakthrough products have allowed us to be a leader in these fields, constantly pushing the industries forward. |
| Sanford Limited - NZD (SAN)                  | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.sanford.co.nz/">https://www.sanford.co.nz/</a>       | Sanford Limited owns and manages deepwater and inshore fisheries throughout New Zealand waters. The Company also operates cool-storage, ship-building, engineering and aquaculture businesses. The Company exports seafood throughout the world. The Company's seafood products include orange roughy, squid, hoki, tuna, mussels and salmon.  |
| Santos Limited - AUD (STO)                   | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.santos.com/">https://www.santos.com/</a>             | An Australian energy pioneer since 1954, Santos is one of the leading independent oil and gas producers in the Asia-Pacific region, supplying the energy needs of homes, businesses and major industries across Australia and Asia.  |
| Scentre Group                                | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.scentregroup.com/">https://www.scentregroup.com/</a> | Scentre Group was created on 30 June 2014 through the merger of Westfield Retail Trust and Westfield Group's Australian and New Zealand management business.   |
| Scentre Group Stapled Securities - AUD (SCG) | 0.00%                                  | 0.00%   | 0.40%   | <a href="https://www.scentregroup.com/">https://www.scentregroup.com/</a> | Scentre Group was created on 30 June 2014 through the merger of Westfield Retail Trust and Westfield Group's Australian and New Zealand management business.   |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                     | Description of Investment   |
|--|------------------------|---|---|---|---|
| Schroder Asia Pacific Fund - GBP (SDP)             | 0.00%                  | 0.00%   | 1.33%   | <a href="https://www.schroders.com/">https://www.schroders.com/</a>         | To achieve capital growth through investment primarily in equities of Companies located in the continent of Asia (excluding the Middle East and Japan), together with the Far Eastern countries bordering the Pacific Ocean, with the aim of achieving growth in excess of the MSCI All Countries Asia excluding Japan Index in Sterling terms (Benchmark Index) over the longer term.  |
| Schroder Japan Growth Fund PLC - GBP (SJJ)         | 0.00%                  | 0.00%   | 1.43%   | <a href="https://www.schroders.com/">https://www.schroders.com/</a>         | The principal investment objective of the Company is to achieve capital growth from an actively managed portfolio principally comprising securities listed on the Japanese stock markets, with the aim of achieving growth in excess of the TSE Index in sterling over the longer term.   |
| Scottish Mortgage Investment Trust PLC             | 0.00%                  | 0.00%   | 0.77%   | <a href="https://www.bailliegifford.com">https://www.bailliegifford.com</a> | Scottish Mortgage carries on business as an Investment Trust. The investment objective is to maximise total return from a portfolio of long term investments chosen on a global basis enabling the Company to provide capital and dividend growth. The equity portfolio is relatively concentrated and investments are chosen on their long term merits rather than with reference to geographical asset allocation or the composition of an index. |
| Scottish Mortgage Investment Trust Plc - GBP (SMT) | 0.00%                  | 0.00%   | 0.77%   | <a href="https://www.bailliegifford.com">https://www.bailliegifford.com</a> | Scottish Mortgage carries on business as an Investment Trust. The investment objective is to maximise total return from a portfolio of long term investments chosen on a global basis enabling the Company to provide capital and dividend growth. The equity portfolio is relatively concentrated and investments are chosen on their long term merits rather than with reference to geographical asset allocation or the composition of an index. |
| Serko Limited - NZD (SKO)                          | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.serko.com">https://www.serko.com</a>                   | Serko is a technology company focused on innovative solutions that address the challenges of corporate travel and expense management.   |
| Sezzle Inc - AUD (SZL)                             | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.sezzle.com/">https://www.sezzle.com/</a>               | Sezzle Inc. is a technology driven payments company based in the United States. Sezzle provides a platform that facilitates payments between shoppers and retailers, via a short-term, interest-free instalment payment plan.   |
| Shopping.com Ltd                                   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.shopping.com/">https://www.shopping.com/</a>           | Shopping.com Ltd. offers an online and real-time interactive information exchange for e-commerce buyers and sellers. The Company provides a website where buyers can compare models, brands, merchant types, prices, and make purchases from both on-line and off-line sellers. Shopping.com operates worldwide.  |
| Simplicity NZ Bond Fund                            | 0.00%                  | 0.00%   | 0.50%   | <a href="https://simplicity.kiwi/">https://simplicity.kiwi/</a>             | The NZ Bond Fund invests in New Zealand Government bonds and investment grade, liquid bonds issued in New Zealand dollars, designed to be the New Zealand bond component of a diversified investment portfolio.   |
| Simplicity NZ Bond Index Fund (PIE)                | 0.00%                  | 0.00%   | 0.50%   | <a href="https://simplicity.kiwi/">https://simplicity.kiwi/</a>             | The NZ Bond Fund invests in New Zealand Government bonds and investment grade, liquid bonds issued in New Zealand dollars, designed to be the New Zealand bond component of a diversified investment portfolio.   |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment   |
|--|------------------------|---|---|---|---|
| Simplicity NZ Share Fund   | 0.00%                  | 0.00%   | 0.50%   | <a href="https://simplicity.kiwi/">https://simplicity.kiwi/</a>                                     | The NZ Share Fund invests in securities listed in the New Zealand stock exchange ( NZX ), designed to be the New Zealand share component of a diversified investment portfolio.   |
| Simplicity NZ Share Index Fund (PIE)                                 | 0.00%                  | 0.00%   | 0.50%   | <a href="https://simplicity.kiwi/">https://simplicity.kiwi/</a>                                     | The NZ Share Fund invests in securities listed in the New Zealand stock exchange ( NZX ), designed to be the New Zealand share component of a diversified investment portfolio.   |
| Skellerup Holdings Limited - NZD (SKL)                               | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.skellerupholdings.com/">http://www.skellerupholdings.com/</a>                   | Skellerup is a New Zealand-based manufacturer of industrial and agricultural rubber products.   |
| Sky Network Television Ltd @ 6.25% Mat 31/03/2021 - (SKT020)         | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.sky.co.nz">https://www.sky.co.nz</a>   | Sky Network Television Limited is a New Zealand pay television satellite TV provider. It is also a wholesale channel provider to New Zealand cable television provider Vodafone.  |
| SkyCity Entertainment Group Limited @4.65% Mat 28/09/2020 - (SKC040) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.skycityentertainmentgroup.com/">https://www.skycityentertainmentgroup.com/</a> | SKYCITY Entertainment Group Limited ("SKYCITY" or "SKYCITY Group") is New Zealand's largest tourism, leisure and entertainment company with an iconic status and is listed in both New Zealand and Australia. As one of only three publically listed casino operators in Australasia, we operate integrated entertainment complexes in New Zealand (Auckland, Hamilton and Queenstown) and Australia (Adelaide and Darwin). |
| Smartshares Australian Property ETF                                  | 0.00%                  | 0.00%   | 0.94%   | <a href="https://smartshares.co.nz/">https://smartshares.co.nz/</a>                                 | The Smartshares Australian Property ETF invests in property assets listed on the ASX and is designed to track the return on the S&P/ASX 200 A-REIT Equal Weight Index. The S&P/ASX 200 A-REIT Equal Weight Index is a sector sub-index of the S&P/ASX 200 Index and is made up of Australian Real Estate Investment Trusts (A-REITs).   |
| Smartshares Australian Top 20 ETF - NZD (OZY)                        | 0.00%                  | 0.00%   | 1.00%   | <a href="https://smartshares.co.nz/">https://smartshares.co.nz/</a>                                 | The Smartshares Australian Top 20 ETF invests in financial products listed on the ASX and is designed to track the return on the S&P/ASX 20 Index. The S&P/ASX 20 Index is made up of 20 of the largest financial products listed on the ASX.   |
| Smartshares Global Bond ETF - NZD (GBF)                              | 0.00%                  | 0.00%   | 0.94%   | <a href="https://smartshares.co.nz/">https://smartshares.co.nz/</a>                                 | The Smartshares Global Bond ETF invests in international fixed interest assets, with the objective of outperforming the Barclays Global Aggregate Index by 1% per annum over rolling 3-year periods, hedged against the New Zealand dollar. The investment manager for the fund is PIMCO Australia Pty Ltd.   |
| Smartshares NZ Bond ETF - NZD (NZB)                                  | 0.00%                  | 0.00%   | 0.94%   | <a href="https://smartshares.co.nz/">https://smartshares.co.nz/</a>                                 | The Smartshares NZ Bond ETF invests in New Zealand fixed interest assets, with the objective of outperforming the S&P/NZX A-Grade Corporate Bond Index over rolling 3-year periods. The investment manager for the fund is Nikko Asset Management New Zealand Limited.  |
| Smartshares NZ Core Equity   | 0.00%                  | 0.00%   | 0.90%   | <a href="https://smartshares.co.nz/">https://smartshares.co.nz/</a>                                 | The NZ Core Equity Trust aims to provide investors with the benefits of long-term capital growth by investing in a diversified portfolio of financial products listed in New Zealand, with increased exposure to small companies and value companies relative to a market weighted portfolio.   |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment  |
|---|------------------------|---|---|---|--|
| Smartshares NZ Dividend ETF - NZD (DIV)                                       | 0.00%                  | 0.00%   | 0.94%   | <a href="https://smartshares.co.nz/">https://smartshares.co.nz/</a>   | The Smartshares NZ Dividend ETF invests in financial products listed on the NZX Main Board and is designed to track the return on the S&P/NZX 50 High Dividend Index. The S&P/NZX 50 High Dividend Index is made up of 25 high yielding financial products listed on the NZX Main Board and included in the S&P/NZX 50 Index.  |
| Smartshares NZ Property ETF - NZD (NPF)                                       | 0.00%                  | 0.00%   | 0.94%   | <a href="https://smartshares.co.nz/">https://smartshares.co.nz/</a>   | The Smartshares NZ Property ETF invests in property assets listed on the NZX Main Board and is designed to track the return on the S&P/NZX Real Estate Select Index. The S&P/NZX Real Estate Select Index is made up of the largest and most liquid members of the S&P/NZX All Index classified under the GICS Real Estate Industry Group, but excludes products issued by non-New Zealand issuers.                                |
| Smartshares NZ Top 50 ETF - NZD (FNZ)   | 0.00%                  | 0.00%   | 0.90%   | <a href="https://smartshares.co.nz/">https://smartshares.co.nz/</a>   | The Smartshares NZ Top 50 ETF invests in financial products listed on the NZX Main Board and is designed to track the return on the S&P/NZX 50 Portfolio Index. The S&P/NZX 50 Portfolio Index is made up of 50 of the largest financial products listed on the NZX Main Board. The S&P/NZX 50 Portfolio Index is made up of the same financial products as the S&P/NZX 50 Index, but with a 5% cap on the weight of each product. |
| Smartshares US 500 ETF - NZD (USF)  | 0.00%                  | 0.00%   | 0.74%   | <a href="https://smartshares.co.nz/">https://smartshares.co.nz/</a>   | The Smartshares US 500 ETF invests in the Vanguard S&P 500 ETF, which is designed to track the return on the S&P 500 Index. The Vanguard S&P 500 ETF invests all, or substantially all, of its assets in the financial products included in the S&P 500 Index.   |
| Smithson Investment Trust Plc - GBP (SSON)                                    | 0.00%                  | 0.00%   | 1.30%   | <a href="https://www.hl.co.uk/shares/shares-search-results/s/smithson-investment-trust-ord-gbp0.01">https://www.hl.co.uk/shares/shares-search-results/s/smithson-investment-trust-ord-gbp0.01</a> | The investment policy is to invest in shares issued by small and mid sized listed or traded companies globally with a market cap (at the time of investment) of between GBP500 m to GBP15 bn (although the Company expects that the average market cap of the companies in which it invests to be approximately GBP7 bn).  |
| Sonic Healthcare Limited - AUD (SHL)  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.sonichealthcare.com.au/">https://www.sonichealthcare.com.au/</a>   | Sonic Healthcare Limited is a medical diagnostics company with operations in Australia, New Zealand, and Europe. The Company provides a comprehensive range of pathology and diagnostic imaging services to medical practitioners, hospitals and their patients along with providing administrative services and facilities to medical practitioners.  |
| South32 Limited - AUD (S32)   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.south32.net/">https://www.south32.net/</a>   | South32 is a globally diversified metals and mining company with operations mining and producing bauxite, alumina, aluminium, energy and metallurgical coal, manganese, nickel, silver, lead and zinc in Australia, Southern Africa and South America.   |
| Spark Finance Limited Unsec Unsub Notes @4.50% Mat 25/03/2022 - NZD (SPF0322) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.sparknz.co.nz/">https://www.sparknz.co.nz/</a>   | Spark New Zealand (formerly Telecom New Zealand) is a New Zealand-wide communications service provider (CSP), providing fixed line telephone services, a mobile network, an internet service provider, and a major ICT provider to NZ businesses (through its Spark Digital division).   |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment             | Description of Investment   |
|--|------------------------|---|---|---|---|
| Spark Infrastructure Group - AUD (SKI)                                   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.sparknz.co.nz/">https://www.sparknz.co.nz/</a> | Spark New Zealand (formerly Telecom New Zealand) is a New Zealand-wide communications service provider (CSP), providing fixed line telephone services, a mobile network, an internet service provider, and a major ICT provider to NZ businesses (through its Spark Digital division).  |
| Spark New Zealand Limited - NZD (SPK)                                    | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.sparknz.co.nz/">https://www.sparknz.co.nz/</a> | Spark New Zealand (formerly Telecom New Zealand) is a New Zealand-wide communications service provider (CSP), providing fixed line telephone services, a mobile network, an internet service provider, and a major ICT provider to NZ businesses (through its Spark Digital division).  |
| Spark New Zealand Ltd  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.sparknz.co.nz/">https://www.sparknz.co.nz/</a> | Spark New Zealand (formerly Telecom New Zealand) is a New Zealand-wide communications service provider (CSP), providing fixed line telephone services, a mobile network, an internet service provider, and a major ICT provider to NZ businesses (through its Spark Digital division).  |
| SPDR Dow Jones Global Real Estate Fund - AUD (DJRE)                      | 0.00%                  | 0.00%   | 0.90%   | <a href="https://www.SPDRS.com.au">https://www.SPDRS.com.au</a>     | The SPDR Dow Jones Global Real Estate Fund seeks to closely track, before fees and expenses, the returns of the Dow Jones Global Select Real Estate Securities Index.   |
| SPDR Gold Shares - USD (GLD)   | 0.00%                  | 0.00%   | 0.80%   | <a href="https://www.SPDRS.com.au">https://www.SPDRS.com.au</a>     | Offer investors an innovative, relatively cost efficient and secure way to access the gold market. Originally listed on the New York Stock Exchange in November of 2004, and traded on NYSE Arca since December 13, 2007, SPDR® Gold Shares is the largest physically backed gold exchange traded fund (ETF) in the world.  |
| SPDR Morningstar Multi-Asset Global Infrastructure UCITS ETF - GBP (GIN) | 0.00%                  | 0.00%   | 0.80%   | <a href="https://www.SPDRS.com.au">https://www.SPDRS.com.au</a>     | The investment objective of the Fund is to track the performance of the global infrastructure market represented by publicly traded infrastructure-related equity and bonds.  |
| SPDR S&P 500 ETF Trust - AUD (SPY)                                       | 0.00%                  | 0.00%   | 0.49%   | <a href="https://www.SPDRS.com.au">https://www.SPDRS.com.au</a>     | The SPDR® S&P 500® ETF Trust seeks to provide investment results that, before expenses, correspond generally to the price and yield performance of the S&P 500® Index.  |
| SPDR S&P 500 ETF Trust - USD (SPY)                                       | 0.00%                  | 0.00%   | 0.49%   | <a href="https://www.SPDRS.com.au">https://www.SPDRS.com.au</a>     | The SPDR® S&P 500® ETF Trust seeks to provide investment results that, before expenses, correspond generally to the price and yield performance of the S&P 500® Index.  |
| SPDR S&P Bank ETF  | 0.00%                  | 0.00%   | 0.50%   | <a href="https://www.SPDRS.com.au">https://www.SPDRS.com.au</a>     | SPDR S&P 500 ETF Trust is an exchange-traded fund incorporated in the USA. The ETF tracks the S&P 500 Index. The Trust consists of a portfolio representing all 500 stocks in the S&P 500 Index. It holds predominantly large-cap U.S. stocks. This ETF is structured as a Unit Investment Trust and pays dividends on a quarterly basis. The holdings are weighted by market capitalization. |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                       | Description of Investment   |
|---|------------------------|---|---|---|---|
| SPDR S&P/ASX 200 Fund - AUD (STW)                               | 0.00%                  | 0.00%   | 0.80%   | <a href="https://www.SPDRS.com.au">https://www.SPDRS.com.au</a>               | SPDR S&P/ASX 200 Fund is an exchange traded fund which tracks the performance of the S&P/ASX 200 Index. The Fund will invest in the securities comprising the Index in proportion to their relative weightings. The Index is comprised of the 200 largest securities by market capitalization and most liquid securities in Australia.  |
| SPDR S&P/ASX 200 Listed Property Fund - AUD (SLF)               | 0.00%                  | 0.00%   | 0.80%   | <a href="https://www.SPDRS.com.au">https://www.SPDRS.com.au</a>               | SPDR S&P/ASX 200 Listed Property Fund is an exchange traded fund which tracks the performance of the S&P/ASX Listed Property Trust Index. The Fund will invest in the securities comprising the Index in proportion to their relative weightings. The Index is comprised of the 200 largest listed property investments by market capitalization and most liquid securities in Australia. |
| SPDR S&P/ASX 50 Fund - AUD (SFY)                                | 0.00%                  | 0.00%   | 0.69%   | <a href="https://www.SPDRS.com.au">https://www.SPDRS.com.au</a>               | SPDR S&P/ASX 50 Fund is an exchange traded fund incorporated in Australia which tracks the performance of the S&P/ASX 50 Index. The Fund will invest in the securities comprising the Index in proportion to their relative weightings. The Index is comprised of the 50 largest securities by market capitalization and most liquid securities in Australia.                             |
| Spectrum Brands Holdings Inc.                                   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.spectrumbrands.com/">https://www.spectrumbrands.com/</a> | Spectrum Brands Holdings, a member of the Russell 1000 Index, is a global consumer products company offering a broad portfolio of leading brands focused on driving innovation and providing exceptional customer service.  |
| Splunk Inc - USD (SPLK)   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.splunk.com">https://www.splunk.com</a>                   | Splunk Inc. is an American public multinational corporation based in San Francisco, California, that produces software for searching, monitoring, and analyzing machine-generated big data, via a Web-style interface.  |
| Sprott Physical Gold Trust ETF                                  | 0.00%                  | 0.00%   | 0.86%   | <a href="https://sprott.com/">https://sprott.com/</a>                         | Sprott is a global asset manager providing investors with access to highly-differentiated precious metals and real assets investment strategies.  |
| Sprott Physical Gold Trust ETV - USD (PHYS)                     | 0.00%                  | 0.00%   | 0.86%   | <a href="https://sprott.com/">https://sprott.com/</a>                         | Sprott is a global asset manager providing investors with access to highly-differentiated precious metals and real assets investment strategies.  |
| Square Inc - USD (SQ)   | 0.00%                  | 0.00%   | 0.80%   | <a href="https://www.squareup.com">https://www.squareup.com</a>               | Square, Inc. is a financial services, merchant services aggregator, and mobile payment company based in San Francisco, California. The company markets several software and hardware payments products and has expanded into small business services.   |
| Standard Life Investment Property Income Trust Ltd              | 0.00%                  | 0.00%   | 1.10%   | <a href="https://www.slipit.co.uk/">https://www.slipit.co.uk/</a>             | To provide an attractive level of income along with the prospect of income and capital growth from investing in a diversified UK commercial property portfolio. T   |
| Standard Life Investments Property Income Trust Ltd - GBP (SLI) | 0.00%                  | 0.00%   | 1.10%   | <a href="https://www.slipit.co.uk/">https://www.slipit.co.uk/</a>             | To provide an attractive level of income along with the prospect of income and capital growth from investing in a diversified UK commercial property portfolio. T   |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                         | Description of Investment  |
|--|------------------------|---|---|---|--|
| Standard Life UK Smaller Co Trust - GBP (SLS)                      | 0.00%                  | 0.00%   | 1.31%   | <a href="https://www.slipit.co.uk/">https://www.slipit.co.uk/</a>               | The Company intends to achieve its investment objective by investing in a diversified portfolio consisting mainly of UK-quoted smaller companies. The portfolio will normally comprise around 50 individual holdings representing the highest conviction investment ideas of the manager.  |
| Starbucks Corp - USD (SBUX)  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.starbucks.com/">https://www.starbucks.com/</a>             | Starbucks Corporation is an American coffee company and coffeehouse chain.   |
| Steel & Tube Holdings Limited - NZD (STU)                          | 0.00%                  | 0.00%   | 0.40%   | <a href="http://steelandtube.co.nz/">http://steelandtube.co.nz/</a>             | Steel & Tube is New Zealand's leading provider of steel solutions and a proud, New Zealand-owned company with over 60 years of trading history in this country.  |
| Stride Prop & Stride Invest Mgmt Ltd Stapled Security -NZD (SPG)   | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.strideproperty.co.nz/">http://www.strideproperty.co.nz/</a> | Stride believes the core determinant of property investment performance over the long term is the demand for the business space that we provide to our customers (our tenants). Ultimately that space is a workplace, a place of business; it is used by our customers and their staff, visitors and customers. To be the best, our places need to provide accessibility, amenity, functionality and a value proposition that is compelling. |
| Summerset Group Holdings Limited                                   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.summerset.co.nz/">https://www.summerset.co.nz/</a>         | Summerset is the third largest retirement village operator and the second largest developer of villages in New Zealand. We have 21 villages as well as five sites for development across the country, built in under 20 years.   |
| Summerset Group Holdings Limited - NZD (SUM)                       | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.summerset.co.nz/">https://www.summerset.co.nz/</a>         | Summerset is the third largest retirement village operator and the second largest developer of villages in New Zealand. We have 21 villages as well as five sites for development across the country, built in under 20 years.   |
| Summerset Group Holdings Ltd @ 4.20% Mat 24/09/2025 - NZD (SUM020) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.summerset.co.nz/">https://www.summerset.co.nz/</a>         | Summerset is the third largest retirement village operator and the second largest developer of villages in New Zealand. We have 21 villages as well as five sites for development across the country, built in under 20 years.   |
| Sydney Airport - AUD (SYD)   | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.sydneyairport.com.au/">http://www.sydneyairport.com.au/</a> | Sydney Airport is Australia's international gateway and primary airport. It is also a major employer in NSW and makes a significant contribution to the local and national economies, estimated at \$5.5bn directly and \$20.8bn when flow-on effects are considered. This contribution is equivalent to 6.4% of the NSW economy and translates into more than 52,890 direct jobs and 258,300 indirect jobs for the people of Sydney.        |
| Synlait Milk Limited   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.synlait.com/">https://www.synlait.com/</a>                 | Synlait Milk Limited is a dairy processing company. The Company is engaged in manufacturing of nutritional solutions, value added products and specialty ingredients.  |
| Synlait Milk Limited - NZD (SML)                                   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.synlait.com/">https://www.synlait.com/</a>                 | Synlait Milk Limited is a dairy processing company. The Company is engaged in manufacturing of nutritional solutions, value added products and specialty ingredients.  |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                       | Description of Investment   |
|---|------------------------|---|---|---|---|
| Synlait Milk Limited 3.83% 17/12/2024                             | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.synlait.com/">https://www.synlait.com/</a>               | Synlait Milk Limited is a dairy processing company. The Company is engaged in manufacturing of nutritional solutions, value added products and specialty ingredients.   |
| Synlait Milk Ltd Fixed Rate Bond @3.83% Mat 17/12/2024 - (SML010) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.synlait.com/">https://www.synlait.com/</a>               | Synlait Milk Limited is a dairy processing company. The Company is engaged in manufacturing of nutritional solutions, value added products and specialty ingredients.   |
| T.Rowe Price Global Equity Growth Fund                            | 0.00%                  | 0.00%   | 1.62%   | <a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a> | This Fund is designed to provide long-term capital growth by investing in stocks from all over the globe. We chose to partner with T. Rowe Price for this Fund because of their track record and truly global expertise.  |
| T.Rowe Price Global Equity Growth Fund (PIE)                      | 0.00%                  | 0.00%   | 1.62%   | <a href="https://www.harbourasset.co.nz/">https://www.harbourasset.co.nz/</a> | This Fund is designed to provide long-term capital growth by investing in stocks from all over the globe. We chose to partner with T. Rowe Price for this Fund because of their track record and truly global expertise.  |
| TechTeam Global Inc   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.techteam.com">https://www.techteam.com</a>               | TechTeam Global, Inc. provides computer services. The Company offers information technology and business process outsourcing support including call center services, technical staffing, systems integration, security administration, and training programs.                                       |
| Templeton Emerging Markets Investment Trust - GBP (TEM)           | 0.00%                  | 0.00%   | 1.42%   | <a href="https://www.temit.co.uk/">https://www.temit.co.uk/</a>               | TEMIT seeks long-term capital appreciation through investment in companies listed in emerging markets or companies which earn a significant amount of their revenues in emerging markets, but are listed on stock exchanges in developed countries. TEMIT will normally invest in equity instrument |
| Templeton Emerging Markets Investment Trust PLC                   | 0.00%                  | 0.00%   | 1.42%   | <a href="https://www.temit.co.uk/">https://www.temit.co.uk/</a>               | TEMIT seeks long-term capital appreciation through investment in companies listed in emerging markets or companies which earn a significant amount of their revenues in emerging markets, but are listed on stock exchanges in developed countries. TEMIT will normally invest in equity instrument |
| Templeton Global Growth Fund Limited - AUD (TGG)                  | 0.00%                  | 0.00%   | 1.60%   | <a href="https://www.tggf.com.au/">https://www.tggf.com.au/</a>               | Templeton Global Growth Fund Ltd (ASX:TGG) is a listed investment company (LIC) that provides easy and affordable access to a diversified portfolio of quality global companies with a track record spanning more than 30 years. It's a history and experience that few LICs can match.             |
| Tesco Plc - GBP (TSCO)  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.tescoplcl.com/">https://www.tescoplcl.com/</a>           | As one of the world's largest retailers with 476,000 colleagues, we serve millions of customers a week in our stores and online.  |
| The A2 Milk Company Limited - NZD (ATM)                           | 0.00%                  | 0.00%   | 0.40%   | <a href="https://thea2milkcompany.com/">https://thea2milkcompany.com/</a>     | The a2 Milk Company was founded in New Zealand by Dr. Corran McLachlan after a scientific discovery; while studying at Cambridge University, Corran learned that proteins in milk affect people differently.  |
| The City of London Investment Trust PLC - GBP (CTY)               | 0.00%                  | 0.00%   | 0.79%   | <a href="https://www.janushenderson.com/">https://www.janushenderson.com/</a> | The Company's objective is to provide long-term growth in income and capital, principally by investment in equities listed on the London Stock Exchange. The Board continues to recognise the importance of dividend income to shareholders.  |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment  | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                               | Description of Investment  |
|--|------------------------|--|---|---|--|
| <b>The Scottish Oriental Smaller Companies Trust - GBP (SST)</b> | 0.00%                  | 0.00%  | 1.41%   | <a href="https://www.scottishoriental.com/">https://www.scottishoriental.com/</a>     | The Scottish Oriental Smaller Companies Trust (SST) is one of the longest running investment trusts in the Asian equity category with a specialist focus on smaller companies. The objective of the Company is to achieve long-term capital growth by carefully selecting quality companies with strong franchises at reasonable valuations. |
| <b>The Trade Desk Inc/The</b>                                    | 0.00%                  | 0.00%  | 0.40%   | <a href="https://www.thetradedesk.com/">https://www.thetradedesk.com/</a>             | The Trade Desk Inc is a global technology company that markets a software platform used by digital ad buyers to purchase data-driven digital advertising campaigns across various ad formats and devices   |
| <b>The Warehouse Group Limited - NZD (WHS)</b>                   | 0.00%                  | 0.00%  | 0.40%   | <a href="http://www.thewarehousegroup.co.nz/">http://www.thewarehousegroup.co.nz/</a> | The Warehouse operates discount retail department stores selling a broad range of non-grocery and grocery products. As of January 2015, The Warehouse employed over 12,000 people in New Zealand.[4] The Warehouse's corporate headquarters are located in North Shore, New Zealand.   |
| <b>Tortoise Global Infrastructure - GBP (EGL)</b>                | 0.00%                  | 0.00%  | 1.40%   | <a href="https://uk.tortoiseadvisors.com/">https://uk.tortoiseadvisors.com/</a>       | Ecofin Global Utilities and Infrastructure Trust PLC is an investment trust incorporated in the UK. The Company's assets will be primarily invested in the equity and equity-related securities of utility and infrastructure companies in developed countries.  |
| <b>Tourism Holdings Limited - NZD (THL)</b>                      | 0.00%                  | 0.00%  | 0.40%   | <a href="http://www.thlonline.com/">http://www.thlonline.com/</a>                     | Tourism Holdings Limited (thl) is New Zealand's premier tourism company and are the largest provider of holiday vehicles for rent and sale in Australia and New Zealand under the Maui, Britz, Mighty, KEA Australia and Motek Vehicle Sales brands.   |
| <b>Tower Limited - NZD (TWR)</b>                                 | 0.00%                  | 0.00%  | 0.40%   | <a href="https://www.tower.co.nz/">https://www.tower.co.nz/</a>                       | Born and bred in New Zealand, Tower has been supporting Kiwis when they need it most for 150 years. In that time, we've grown to operate across New Zealand and the Pacific Islands, providing our customers with cover for their houses, cars, contents, businesses and more.   |
| <b>Toyota Motor Corporation - USD (TM)</b>                       | 0.00%                  | 0.00%  | 0.40%   | <a href="http://www.toyota-global.com/">http://www.toyota-global.com/</a>             | Ever since its founding, Toyota has sought to contribute to a more prosperous society through the manufacture of automobiles, operating its business with a focus on vehicle production and sales.   |
| <b>TR Property Investment Trust - GBP (TRY)</b>                  | 0.00%                  | 0.57%. A performance fee is payable if the total return NAV (which includes the deduction of all Base Management Fees and other expenses) at 31 March each year outperforms the benchmark plus a 1% hurdle rate. | 1.36%   | <a href="https://www.trproperty.com/">https://www.trproperty.com/</a>                 | The objective of TR Property Investment Trust plc ("the Trust", "the Company" or "the Group") is to maximise shareholders' total returns by investing in property shares and investment property.  |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment  | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                   | Description of Investment   |
|---|------------------------|--|---|---|---|
| TR Property Investment Trust PLC                                      | 0.00%                  | 0.57%. A performance fee is payable if the total return NAV (which includes the deduction of all Base Management Fees and other expenses) at 31 March each year outperforms the benchmark plus a 1% hurdle rate. | 1.36%   | <a href="https://www.trproperty.com/">https://www.trproperty.com/</a>     | The objective of TR Property Investment Trust plc ("the Trust", "the Company" or "the Group") is to maximise shareholders' total returns by investing in property shares and investment property.   |
| Transpower New Zealand 3.823% 06/03/2025                              | 0.00%                  | 0.00%  | 0.40%   | <a href="https://www.transpower.co.nz">https://www.transpower.co.nz</a>   | The Bonds offered under this Investment Statement are debt securities and constitute unsecured, unsubordinated, debt obligations of the Issuer. The Bonds will rank equally and without any preference among themselves and equally with all other unsecured and unsubordinated indebtedness of the Issuer, except indebtedness preferred by law and subject to laws affecting creditors' rights generally and equitable principles of general application. |
| Transpower NZ Limited Unsec Bonds @ 5.448% Mat 15/03/2023 - (TRP0323) | 0.00%                  | 0.00%  | 0.40%   | <a href="https://www.transpower.co.nz">https://www.transpower.co.nz</a>   | The Bonds offered under this Investment Statement are debt securities and constitute unsecured, unsubordinated, debt obligations of the Issuer. The Bonds will rank equally and without any preference among themselves and equally with all other unsecured and unsubordinated indebtedness of the Issuer, except indebtedness preferred by law and subject to laws affecting creditors' rights generally and equitable principles of general application. |
| Treasury Wine Estates - AUD (TWE)                                     | 0.00%                  | 0.00%  | 0.40%   | <a href="https://www.tweglobal.com/">https://www.tweglobal.com/</a>       | Treasury Wine Estates is one of the world's largest wine companies, listed on the Australian Securities Exchange (ASX). Through world-class winemaking and brand marketing, our business is focused on meeting evolving consumer interests across the globe, and on delivering sustainable growth. Everything we do is dedicated to realising our vision of becoming the world's most celebrated wine company.  |
| Troy Income & Growth Trust - GBP (TIGT)                               | 0.00%                  | 0.00%  | 1.31%   | <a href="https://www.tigt.co.uk/">https://www.tigt.co.uk/</a>             | Troy Income & Growth Trust is an investment trust managed by Troy Asset Management. The principal objective of the Company is to provide shareholders with an attractive income yield and the prospect of income and capital growth through investing in a portfolio of predominantly UK equities.  |
| Trustpower Limited - NZD (TPW)  | 0.00%                  | 0.00%  | 0.40%   | <a href="https://www.trustpower.co.nz/">https://www.trustpower.co.nz/</a> | Trustpower Limited is a New Zealand electricity generation and electricity retailing company, listed on the New Zealand stock exchange. Trustpower is New Zealand's fifth largest electricity generator and the fourth largest electricity retailer.  |
| Trustpower Ltd Bonds @ 3.35% Mat 29/07/2026 - (TPW180)                | 0.00%                  | 0.00%  | 0.40%   | <a href="https://www.trustpower.co.nz/">https://www.trustpower.co.nz/</a> | Trustpower Limited is a New Zealand electricity generation and electricity retailing company, listed on the New Zealand stock exchange. Trustpower is New Zealand's fifth largest electricity generator and the fourth largest electricity retailer.  |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                   | Description of Investment   |
|--|------------------------|---|---|---|---|
| Trustpower Ltd Unsub Bonds @ 3.97% Mat 22/02/2029 - (TPW170) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.trustpower.co.nz/">https://www.trustpower.co.nz/</a> | Trustpower Limited is a New Zealand electricity generation and electricity retailing company, listed on the New Zealand stock exchange. Trustpower is New Zealand's fifth largest electricity generator and the fourth largest electricity retailer.  |
| Trustpower Ltd Unsub Bonds @ 4.01% Mat 15/12/2022 - (TPW150) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.trustpower.co.nz/">https://www.trustpower.co.nz/</a> | Trustpower Limited is a New Zealand electricity generation and electricity retailing company, listed on the New Zealand stock exchange. Trustpower is New Zealand's fifth largest electricity generator and the fourth largest electricity retailer.  |
| Trustpower Ltd Unsub Bonds @ 5.63% Mat 15/12/2021 - (TPW140) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.trustpower.co.nz/">https://www.trustpower.co.nz/</a> | Trustpower Limited is a New Zealand electricity generation and electricity retailing company, listed on the New Zealand stock exchange. Trustpower is New Zealand's fifth largest electricity generator and the fourth largest electricity retailer.  |
| UK Commercial Property Trust - GBP (UKCM)                    | 0.00%                  | 0.00%   | 1.00%   | <a href="https://www.ukcpreit.com/">https://www.ukcpreit.com/</a>         | UK Commercial Property REIT plc operates as a closed-end, Guernsey registered investment company. The Company provides ordinary shareholders with an attractive level of income together with the potential for capital and income growth from investing in a diversified portfolio of UK commercial properties.                  |
| Unibail-Rodamco-Westfield - AUD (URW)                        | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.urw.com/">https://www.urw.com/</a>                   | Unibail-Rodamco-Westfield trades together as a stapled security. Unibail-Rodamco-Westfield leases and rents building space, finances real estate investments, and renovates real estate for sale. The Company's properties are mainly shopping centers, office buildings, and convention-exhibition centers.                      |
| Unilever Plc - GBP (ULVR)                                    | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.unilever.com/">https://www.unilever.com/</a>         | Unilever PLC manufactures branded and packaged consumer goods, including food, detergents, fragrances, home and personal care products. Dually listed with UNA NA.  |
| uniQure N.V. - USD (QURE)                                    | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.uniquire.com">https://www.uniquire.com</a>           | uniQure N.V. delivers gene therapy with single treatments for curative results. The Company develops a modular platform to bring new disease modifying therapies to patients with severe genetic diseases, as well as offers ongoing clinical programs in hemophilia B and pre-clinical proof-of-concept in Huntington's disease. |
| US Global GO Gold & Precious Metal Miners ETF - USD (GOAU)   | 0.00%                  | 0.00%   | 1.00%   | <a href="https://www.usglobletfs.com/">https://www.usglobletfs.com/</a>   | The U.S. Global GO GOLD and Precious Metal Miners ETF (NYSE Arca: GOAU) provides investors access to companies engaged in the production of precious metals either through active (mining or production) or passive (owning royalties or production streams) means.   |
| VanEck Vectors Gold Miners ETF - USD (GDX)                   | 0.00%                  | 0.00%   | 0.93%   | <a href="https://www.vaneck.com/">https://www.vaneck.com/</a>             | VanEck Vectors Gold Miners ETF is an exchange-traded fund incorporated in the USA. The ETF tracks the performance of the NYSE Arca Gold Miners Index.   |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                                       | Description of Investment  |
|---|--|---|---|---|--|
| Vaneck Vectors MSCI International Sust Eq ETF - AUD (ESGI)    | 0.00%                                  | 0.00%   | 0.95%   | <a href="https://www.vaneck.com.au/">https://www.vaneck.com.au/</a>                           | ESGI gives investors exposure to a diversified portfolio of sustainable international companies listed on exchanges in developed markets around the world (ex Australia). ESGI aims to provide investment returns before fees and other costs which track the performance of the Index.    |
| Vanguard Australian Fixed Interest Index ETF - AUD (VAF)      | 0.00%                                  | 0.00%   | 0.60%   | <a href="https://www.vanguardinvestments.com.au/">https://www.vanguardinvestments.com.au/</a> | Vanguard Australian Fixed Interest Index ETF seeks to track the return of the Bloomberg AusBond Composite 0+ Yr Index before taking into account fees, expenses and tax.   |
| Vanguard Australian Property Securities Index ETF - AUD (VAP) | 0.00%                                  | 0.00%   | 0.63%   | <a href="https://www.vanguardinvestments.com.au/">https://www.vanguardinvestments.com.au/</a> | Vanguard Australian Property Securities Index ETF seeks to track the return of the S&P/ASX 300 A-REIT Index before taking into account fees, expenses and tax.   |
| Vanguard Australian Shares High Yield ETF - AUD (VHY)         | 0.00%                                  | 0.00%   | 0.65%   | <a href="https://www.vanguardinvestments.com.au/">https://www.vanguardinvestments.com.au/</a> | Vanguard Australian Shares High Yield ETF seeks to track the return of the FTSE Australia High Dividend Yield Index before taking into account fees, expenses and tax.   |
| Vanguard Australian Shares Index ETF - AUD (VAS)              | 0.00%                                  | 0.00%   | 0.50%   | <a href="https://www.vanguardinvestments.com.au/">https://www.vanguardinvestments.com.au/</a> | Vanguard Australian Shares Index ETF seeks to track the return of the S&P/ASX 300 Index before taking into account fees, expenses and tax.   |
| Vanguard Australian Shares Index Fund ETF                     | 0.00%                                  | 0.00%   | 0.50%   | <a href="https://www.vanguardinvestments.com.au/">https://www.vanguardinvestments.com.au/</a> | Vanguard Australian Shares Index ETF seeks to track the return of the S&P/ASX 300 Index before taking into account fees, expenses and tax.   |
| Vanguard Ethclly Cons Glb Agg Bd IdxHNZDH                     | Buy Spread 0.13%,<br>Sell Spread 0.13% | 0.00%   | 0.68%   | <a href="https://www.vanguardinvestments.com.au/">https://www.vanguardinvestments.com.au/</a> | Vanguard Ethically Conscious Global Aggregate Bond Index Fund (Hedged) – NZD Hedged seeks to track the return of the Bloomberg Barclays MSCI Global Aggregate SRI Exclusions Float Adjusted Hedged into New Zealand dollars Index before taking into account fees, expenses and tax.       |
| Vanguard Ethically Cons Intl Shrs Idx                         | 0.00%                                  | 0.00%   | 0.58%   | <a href="https://www.vanguardinvestments.com.au/">https://www.vanguardinvestments.com.au/</a> | Vanguard Ethically Conscious International Shares Index ETF seeks to track the return of the FTSE Developed ex Australia ex Non-renewable Energy, Vice Products and Weapons (with net dividends reinvested) Index in Australian dollars before taking into account fees, expenses and tax. |
| Vanguard Extended Market ETF - USD (VXF)                      | 0.00%                                  | 0.00%   | 0.47%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                   | Seeks to track the performance of a benchmark index that measures the investment return of stocks from small and midsize companies.  |
| Vanguard FTSE 100 UCITS ETF - GBP (VUKE)                      | 0.00%                                  | 0.00%   | 0.49%   | <a href="https://www.vanguardinvestor.co.uk/">https://www.vanguardinvestor.co.uk/</a>         | The Fund seeks to track the performance of the index, a widely recognised UK benchmark of the UK market's most highly capitalised blue chip companies.   |
| Vanguard FTSE 250 ETF - GBP (VMID)                            | 0.00%                                  | 0.00%   | 0.50%   | <a href="https://www.vanguardinvestor.co.uk/">https://www.vanguardinvestor.co.uk/</a>         | The Fund seeks to track the performance of the FTSE 250 Index (the "Index").   |
| Vanguard FTSE Asia Pacific ex Japan UCITS ETF - GBP (VDPX)    | 0.00%                                  | 0.00%   | 0.55%   | <a href="https://www.vanguardinvestor.co.uk/">https://www.vanguardinvestor.co.uk/</a>         | The Fund seeks to track the performance of the index, a widely recognised benchmark of the large- and mid-cap sized companies of the developed markets of the Asia Pacific region, excluding Japan.  |
| Vanguard FTSE Emerging Markets ETF - USD (VWO)                | 0.00%                                  | 0.00%   | 0.50%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                   | Invests in stocks of companies located in emerging markets around the world, such as China, Brazil, Taiwan, and South Africa.  |

| Asset Name  | Individual Action Fees                 | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                                       | Description of Investment   |
|---|--|---|---|---|---|
| Vanguard FTSE Europe ETF - USD (VGK)  | 0.00%                                  | 0.00%   | 0.48%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                   | Seeks to track the performance of the FTSE Developed Europe All Cap Index, which measures the investment return of stocks issued by companies located in the major markets of Europe.   |
| Vanguard Funds Plc Developed Europe UCITS ETF - GBP (VEUR)                  | 0.00%                                  | 0.00%   | 0.50%   | <a href="https://www.vanguardinvestor.co.uk/">https://www.vanguardinvestor.co.uk/</a>         | The Fund seeks to track the performance of the FTSE Developed Europe Index. The Index is comprised of large and mid-sized company stocks in developed markets in Europe.  |
| Vanguard Global ex-US Real Est  | 0.00%                                  | 0.00%   | 0.52%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                   | Invests in stocks in the S&P Global ex-U.S. Property Index, representing real estate stocks in more than 30 countries.  |
| Vanguard Health Care ETF - USD (VHT)  | 0.00%                                  | 0.00%   | 0.50%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                   | Health Care Index Fund seeks to track the performance of a benchmark index that measures the investment return of health care stocks.   |
| Vanguard Health Care VIPERs   | 0.00%                                  | 0.00%   | 0.50%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                   | Health Care Index Fund seeks to track the performance of a benchmark index that measures the investment return of health care stocks.   |
| Vanguard International Shares Index   | Buy Spread 0.06%,<br>Sell Spread 0.06% | 0.00%   | 0.58%   | <a href="https://www.vanguardinvestments.com.au/">https://www.vanguardinvestments.com.au/</a> | Vanguard International Shares Index Fund seeks to track the return of the MSCI World ex-Australia Index (with net dividends reinvested) in Australian dollars before taking into account fees, expenses and tax.  |
| Vanguard International Shares Index Fund (W)                                | Buy Spread 0.06%,<br>Sell Spread 0.06% | 0.00%   | 0.58%   | <a href="https://www.vanguardinvestments.com.au/">https://www.vanguardinvestments.com.au/</a> | Vanguard International Shares Index Fund seeks to track the return of the MSCI World ex-Australia Index (with net dividends reinvested) in Australian dollars before taking into account fees, expenses and tax.  |
| Vanguard International Shares Select Exclusions Index Fund - NZD Hedged (W) | Buy Spread 0.12%,<br>Sell Spread 0.12% | 0.00%   | 0.66%   | <a href="https://www.vanguardinvestments.com.au/">https://www.vanguardinvestments.com.au/</a> | Vanguard International Shares Select Exclusions Index Fund - NZD Hedged seeks to track the return of the MSCI World ex Australia, ex Tobacco, ex Controversial Weapons, ex Nuclear Weapons (with net dividends reinvested) hedged into New Zealand dollars Index before taking into account fees, expenses and tax. |
| Vanguard Intl Shares Sel Exclusions Idx                                     | Buy Spread 0.12%,<br>Sell Spread 0.12% | 0.00%   | 0.66%   | <a href="https://www.vanguardinvestments.com.au/">https://www.vanguardinvestments.com.au/</a> | Vanguard International Shares Select Exclusions Index Fund - NZD Hedged seeks to track the return of the MSCI World ex Australia, ex Tobacco, ex Controversial Weapons, ex Nuclear Weapons (with net dividends reinvested) hedged into New Zealand dollars Index before taking into account fees, expenses and tax. |
| Vanguard Intl Shs Sel Exclusions IdxNZDH                                    | Buy Spread 0.12%,<br>Sell Spread 0.12% | 0.00%   | 0.66%   | <a href="https://www.vanguardinvestments.com.au/">https://www.vanguardinvestments.com.au/</a> | Vanguard International Shares Select Exclusions Index Fund - NZD Hedged seeks to track the return of the MSCI World ex Australia, ex Tobacco, ex Controversial Weapons, ex Nuclear Weapons (with net dividends reinvested) hedged into New Zealand dollars Index before taking into account fees, expenses and tax. |
| Vanguard REIT - ETF   | 0.00%                                  | 0.00%   | 0.52%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                   | Invests in stocks issued by real estate investment trusts (REITs), companies that purchase office buildings, hotels, and other real property.   |
| Vanguard REIT Index ETF - USD (VNQ)   | 0.00%                                  | 0.00%   | 0.52%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                   | Invests in stocks issued by real estate investment trusts (REITs), companies that purchase office buildings, hotels, and other real property.   |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment  |
|--|------------------------|---|---|---|--|
| Vanguard S&P 500 EFT - GBP (VUSA)                                      | 0.00%                  | 0.00%   | 0.47%   | <a href="https://www.vanguardinvestor.co.uk/">https://www.vanguardinvestor.co.uk/</a>           | The Fund seeks to track the performance of the index, a widely recognised benchmark of U.S. stock market performance that is comprised of the stocks of large U.S. companies.  |
| Vanguard S&P 500 ETF - USD (VOO)                                       | 0.00%                  | 0.00%   | 0.43%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                     | Invests in stocks in the S&P 500 Index, representing 500 of the largest U.S. companies.  |
| Vanguard Small-Cap ETF - USD (VB)                                      | 0.00%                  | 0.00%   | 0.45%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                     | Seeks to track the performance of the CRSP US Small Cap Index, which measures the investment return of small-capitalization stocks.  |
| Vanguard Total International Stock ETF - USD (VXUS)                    | 0.00%                  | 0.00%   | 0.48%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                     | Seeks to track the performance of the FTSE Global All Cap ex US Index, which measures the investment return of stocks issued by companies located outside the United States.   |
| Vanguard Total Stock Market Index Fund - USD (VTI)                     | 0.00%                  | 0.00%   | 0.43%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                     | Seeks to track the performance of the CRSP US Total Market Index.  |
| Vanguard Total World Stock Index ETF - USD (VT)                        | 0.00%                  | 0.00%   | 0.48%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                     | Seeks to track the performance of the FTSE Global All Cap Index, which covers both well-established and still-developing markets.  |
| Vanguard Total World Stock Index Fund                                  | 0.00%                  | 0.00%   | 0.48%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                     | Seeks to track the performance of the FTSE Global All Cap Index, which covers both well-established and still-developing markets.  |
| Vanguard UK Government Bond UCITS ETF - GBP (VGOV)                     | 0.00%                  | 0.00%   | 0.47%   | <a href="https://investor.vanguard.com/">https://investor.vanguard.com/</a>                     | The Fund seeks to provide returns consistent with the performance of the Bloomberg Barclays Sterling Gilt Float Adjusted Index (the "Index").  |
| Vector Limited Capital Notes @5.70% Maturity 15/06/2022 - NZD (VCT080) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.vector.co.nz/investors/bonds">https://www.vector.co.nz/investors/bonds</a> | Vector is leading the transformation of the energy sector to create a new energy future; identifying and developing options that will provide value, choice and service for our customers throughout New Zealand and deliver sustainable shareholder returns.  |
| Vector Limited Ordinary Shares - NZD (VCT)                             | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.vector.co.nz/investors/bonds">https://www.vector.co.nz/investors/bonds</a> | Vector is leading the transformation of the energy sector to create a new energy future; identifying and developing options that will provide value, choice and service for our customers throughout New Zealand and deliver sustainable shareholder returns.  |
| Veeva Systems Inc  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.veeva.com/">https://www.veeva.com/</a>                                     | Veeva Systems Inc. is an American cloud-computing company focused on pharmaceutical and life sciences industry applications.   |
| Verizon Communications Inc - USD (VZ)                                  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.verizon.com/about/">https://www.verizon.com/about/</a>                     | Verizon is one of the largest communication technology companies in the world. Every day, we connect millions of people, companies and communities with our powerful technology. We're using our award-winning network to make breakthroughs in interactive entertainment, digital media, the Internet of Things and broadband services for customers. Whatever the future looks like, whatever the digital world promises, we will deliver. |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment   | Description of Investment  |
|--|------------------------|---|---|---|--|
| Visa Incorporated - USD (V)                                      | 0.00%                  | 0.00%   | 0.40%   | <a href="https://usa.visa.com/">https://usa.visa.com/</a>   | We are a global payments technology company working to enable consumers, businesses, banks and governments to use digital currency.  |
| Vista Group International - NZD (VGL)                            | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.vistagroup.co.nz/">http://www.vistagroup.co.nz/</a>   | Vista Group is a world leading New Zealand company comprising nine businesses that provide software, digital or online solutions to the global film industry. Building on the leading position of Vista Entertainment Solutions in the cinema exhibition sector, Vista Group is expanding to offer solutions to other sectors of the industry. |
| Vista Group Ltd  | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.vistagroup.co.nz/">http://www.vistagroup.co.nz/</a>   | Vista Group is a world leading New Zealand company comprising nine businesses that provide software, digital or online solutions to the global film industry. Building on the leading position of Vista Entertainment Solutions in the cinema exhibition sector, Vista Group is expanding to offer solutions to other sectors of the industry. |
| Vital Healthcare Property Trust                                  | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.vitalhealthcareproperty.co.nz/">http://www.vitalhealthcareproperty.co.nz/</a>   | Vital Healthcare Property Trust is a property trust that invests in and manages healthcare facilities in New Zealand and Australia.  |
| Vital Healthcare Property Trust Units - NZD (VHP)                | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.vitalhealthcareproperty.co.nz/">http://www.vitalhealthcareproperty.co.nz/</a>   | Vital Healthcare Property Trust is a property trust that invests in and manages healthcare facilities in New Zealand and Australia.  |
| Vodafone Group PLC   | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.vodafone.com/">http://www.vodafone.com/</a>   | We are one of the world's largest telecommunications companies providing a wide range of services including voice, messaging and data across mobile and fixed networks.  |
| Vodafone Group Plc - GBP (VOD)                                   | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.vodafone.com/">http://www.vodafone.com/</a>   | We are one of the world's largest telecommunications companies providing a wide range of services including voice, messaging and data across mobile and fixed networks.  |
| Volta Finance Ltd - GBP (VTA)                                    | 0.00%                  | 0.00%   | 2.33%   | <a href="https://www.hl.co.uk/shares/shares-search-results/v/volta-finance-ltd-npv">https://www.hl.co.uk/shares/shares-search-results/v/volta-finance-ltd-npv</a> | The company invest through vehicles and arrangements that essentially provide leveraged exposure to portfolios of such Underlying Assets.  |
| Wabtec Corp - USD (WAB)  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.wabtec.com/">https://www.wabtec.com/</a>   | Wabtec Corporation is an American company formed by the merger of the Westinghouse Air Brake Company and MotivePower Industries Corporation in 1999.   |
| Wal-Mart Stores Inc - USD (WMT)                                  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://corporate.walmart.com/">https://corporate.walmart.com/</a>   | Walmart Inc. is an American multinational retail corporation that operates a chain of hypermarkets, discount department stores, and grocery stores.  |
| WaveStone Australian Share I                                     | 0.00%                  | 0.00%   | 1.37%   | <a href="https://www.wavestonecapital.com.au/">https://www.wavestonecapital.com.au/</a>   | The WaveStone Australian Share Fund is an actively selected portfolio of Australian shares managed with the aim of outperforming the S&P/ASX 300 Accumulation Index over rolling three-year periods.   |
| WEL Networks Limited Bonds @ 4.90% Mat 02/08/2023 - NZD (WEL010) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.wel.co.nz/">https://www.wel.co.nz/</a>   | We move electricity from the grid to your home or business through a network of retailers.   |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                                 | Description of Investment   |
|---|------------------------|---|---|---|---|
| Wellington International Airport Ltd @ 4% Mat 01/04/2030 - NZD (WIA060)   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.wellingtonairport.co.nz/">https://www.wellingtonairport.co.nz/</a> | Wellington Airport is a vitally important piece of national infrastructure providing a significant ongoing contribution to the region's economy as well as delivering a return to the city and shareholders on the funds invested. Of New Zealand's major airports, Wellington Airport is the most cost efficient. It provides a highly enjoyable experience for travellers and its close proximity to the CBD saves travellers time and money. |
| Wellington International Airport Ltd @6.25% Mat 15/05/2021 - NZD (WIA020) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.wellingtonairport.co.nz/">https://www.wellingtonairport.co.nz/</a> | Wellington Airport is a vitally important piece of national infrastructure providing a significant ongoing contribution to the region's economy as well as delivering a return to the city and shareholders on the funds invested. Of New Zealand's major airports, Wellington Airport is the most cost efficient. It provides a highly enjoyable experience for travellers and its close proximity to the CBD saves travellers time and money. |
| Wells Fargo & Co  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.wellsfargo.com/">https://www.wellsfargo.com/</a>                   | Wells Fargo & Company is a diversified financial services company providing banking, insurance, investments, mortgage, leasing, credit cards, and consumer finance. The Company operates through physical stores, the internet, and other distribution channels worldwide.  |
| Wells Fargo & Company - USD (WFC)   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.wellsfargo.com/">https://www.wellsfargo.com/</a>                   | Wells Fargo & Company is a diversified financial services company providing banking, insurance, investments, mortgage, leasing, credit cards, and consumer finance. The Company operates through physical stores, the internet, and other distribution channels worldwide.  |
| Westpac Bank Subord Notes @ 4.695% Mat 01/09/2026 - (WBC010)              | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.westpac.co.nz/">https://www.westpac.co.nz/</a>                     | Westpac has 5 customer facing divisions serving around 13 million customers. The operating structure aligns Westpac's business with key customer segments. Under this structure, the Group retains a unique portfolio of brands including Westpac, St.George, Bank of Melbourne, BankSA and RAMS. These brands operate under the Consumer Bank and Business Bank divisions.   |
| Westpac Banking Corporation Limited - AUD (WBC)                           | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.westpac.co.nz/">https://www.westpac.co.nz/</a>                     | Westpac has 5 customer facing divisions serving around 13 million customers. The operating structure aligns Westpac's business with key customer segments. Under this structure, the Group retains a unique portfolio of brands including Westpac, St.George, Bank of Melbourne, BankSA and RAMS. These brands operate under the Consumer Bank and Business Bank divisions.   |
| Westpac Banking Corporation Ordinary Shares - NZD (WBC)                   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.westpac.co.nz/">https://www.westpac.co.nz/</a>                     | Westpac has 5 customer facing divisions serving around 13 million customers. The operating structure aligns Westpac's business with key customer segments. Under this structure, the Group retains a unique portfolio of brands including Westpac, St.George, Bank of Melbourne, BankSA and RAMS. These brands operate under the Consumer Bank and Business Bank divisions.   |

| Asset Name  | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                       | Description of Investment  |
|---|------------------------|---|---|---|--|
| Westpac NZ Limited FRN @ 3.795% Mat 28/04/2021 - NZD (WP0421) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.westpac.co.nz/">https://www.westpac.co.nz/</a>           | Westpac has 5 customer facing divisions serving around 13 million customers. The operating structure aligns Westpac's business with key customer segments. Under this structure, the Group retains a unique portfolio of brands including Westpac, St.George, Bank of Melbourne, BankSA and RAMS. These brands operate under the Consumer Bank and Business Bank divisions.            |
| Wheaton Precious Metals Corp - USD (WPM)                      | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.wheatonpm.com/Home/">https://www.wheatonpm.com/Home/</a> | Wheaton Precious Metals Corp. operates as a precious metals streaming company. The Company purchases silver and gold production from mines that it does not own and operates. Wheaton Precious Metals serves customers worldwide.  |
| Wisdom Tree Japan Hedged Equity - USD (DXJ)                   | 0.00%                  | 0.00%   | 0.88%   | <a href="https://www.wisdomtree.com/">https://www.wisdomtree.com/</a>         | WisdomTree Japan Hedged Equity Fund is an exchange-traded fund incorporated in the USA. The Fund seeks investment results that correspond to the WisdomTree Japan Hedged Equity Index. The Fund invests in dividend paying companies incorporated in Japan and listed on the Tokyo Stock Exchange, while neutralizing the risk of the Japanese yen's fluctuations relative to the USD. |
| WisdomTree Bloomberg US Dollar Bullish Fund - USD (USDU)      | 0.00%                  | 0.00%   | 0.90%   | <a href="https://www.wisdomtree.com/">https://www.wisdomtree.com/</a>         | WisdomTree Bloomberg U.S. Dollar Bullish Fund is an exchange-traded fund incorporated in the USA. The Fund is actively managed and seeks to provide total returns, before expenses, that exceed the performance of the Bloomberg Dollar Total Return Index. The Index is structured to potentially benefit as the U.S. dollar appreciates relative to a basket of global currencies.   |
| WisdomTree India Earnings Fund - USD (EPI)                    | 0.00%                  | 0.00%   | 1.25%   | <a href="https://www.wisdomtree.com/">https://www.wisdomtree.com/</a>         | WisdomTree India Earnings Fund seeks to track the investment results of profitable companies in the Indian equity market.  |
| Woodford Patient Capital Trust PLC Ord- GBP (WPCT)            | 0.00%                  | 0.00%   | 0.57%   | <a href="https://woodfordfunds.com/">https://woodfordfunds.com/</a>           | The investment objective is to achieve long-term capital growth through investing in a diversified portfolio with a focus on UK Companies, both quoted and unquoted. The Company will aim to deliver a return in excess of 10% p.a. over the longer term.  |
| Woodside Petroleum Limited - AUD (WPL)                        | 0.00%                  | 0.00%   | 0.40%   | <a href="http://www.woodside.com.au">http://www.woodside.com.au</a>           | We are Australia's most experienced LNG operator and largest independent oil and gas company. Our proven track record and distinctive capabilities are underpinned by 60 years of experience, making us a partner of choice.   |
| Woolworths Group Limited - AUD (WOW)                          | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.woolworths.com.au">https://www.woolworths.com.au</a>     | Woolworths Limited operates supermarkets, specialty and discount department stores, liquor and electronics stores throughout Australia. Woolworths also manufactures processed foods, exports and wholesales food and offers petrol retailing. The Company also operates hotels which includes pubs, food, accommodation, and gaming operations.                                       |
| Workday Inc   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.workday.com/">https://www.workday.com/</a>               | Workday, Inc. is an American on-demand financial management and human capital management software vendor.  |
| Works Finance (NZ) Limited @ 4.32% - NZD (WKSHA)              | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.workday.com/">https://www.workday.com/</a>               | Workday, Inc. is an American on-demand financial management and human capital management software vendor.  |

| Asset Name   | Individual Action Fees | Performance Fees charged by Underlying Investment | Total Annual Estimated Fund Charges as % of NAV | Link to publicly available information about investment                     | Description of Investment   |
|--|------------------------|---|---|---|---|
| Worldwide Healthcare Trust                             | 0.00%                  | 0.00%   | 1.30%   | <a href="https://www.worldwidewh.com/">https://www.worldwidewh.com/</a>     | In order to achieve a high level of capital growth, the Company invests in the global healthcare sector and in a diversified portfolio of shares in pharmaceutical and biotechnology companies and related securities in the healthcare sector.   |
| Worldwide Healthcare Trust PLC - GBP (WWH)             | 0.00%                  | 0.00%   | 1.30%   | <a href="https://www.worldwidewh.com/">https://www.worldwidewh.com/</a>     | In order to achieve a high level of capital growth, the Company invests in the global healthcare sector and in a diversified portfolio of shares in pharmaceutical and biotechnology companies and related securities in the healthcare sector.   |
| Worley Parsons Limited - AUD (WOR)                     | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.worleyparsons.com/">https://www.worleyparsons.com/</a> | Worley Limited provides consulting and advisory services. The Company offers project and asset services in the energy, chemicals, and resources sectors. Worley serves customers worldwide.   |
| Xero Limited - AUD (XRO)                               | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.xero.com">https://www.xero.com</a>                     | Founded in 2006 in New Zealand, Xero is one of the fastest growing Software as a Service companies globally. We lead the New Zealand, Australian, and United Kingdom cloud accounting markets, employing a world-class team of more than 1,400 people in 20 offices across the planet. Forbes identified Xero as the World's Most Innovative Growth Company in 2014 and 2015. |
| Xero Ltd   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.xero.com">https://www.xero.com</a>                     | Founded in 2006 in New Zealand, Xero is one of the fastest growing Software as a Service companies globally. We lead the New Zealand, Australian, and United Kingdom cloud accounting markets, employing a world-class team of more than 1,400 people in 20 offices across the planet. Forbes identified Xero as the World's Most Innovative Growth Company in 2014 and 2015. |
| Z Energy Limited - NZD (ZEL)                           | 0.00%                  | 0.00%   | 0.40%   | <a href="https://z.co.nz/">https://z.co.nz/</a>                             | Z supplies fuel to retail customers and large commercial customers like airlines, trucking companies, mines, shipping companies and vehicle fleet operators, and we also provide bitumen to roading contractors.  |
| Z Energy Limited @ 4.01% Mat 01/11/2021 - NZD (ZEL040) | 0.00%                  | 0.00%   | 0.40%   | <a href="https://z.co.nz/">https://z.co.nz/</a>                             | Z supplies fuel to retail customers and large commercial customers like airlines, trucking companies, mines, shipping companies and vehicle fleet operators, and we also provide bitumen to roading contractors.  |
| Z Energy Ltd   | 0.00%                  | 0.00%   | 0.40%   | <a href="https://z.co.nz/">https://z.co.nz/</a>                             | Z supplies fuel to retail customers and large commercial customers like airlines, trucking companies, mines, shipping companies and vehicle fleet operators, and we also provide bitumen to roading contractors.  |
| Zendesk Inc  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.zendesk.com">https://www.zendesk.com</a>               | Zendesk Inc. is a customer service software company headquartered in San Francisco, California, USA.  |
| Zillow Group Inc - Class A                             | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.zillowgroup.com/">https://www.zillowgroup.com/</a>     | Zillow, the top real estate website in the U.S., is building an on-demand real estate experience. Whether selling, buying, renting or financing, customers can turn to Zillow's businesses to find and get into their next home with speed, certainty and ease.   |
| Zynga Inc  | 0.00%                  | 0.00%   | 0.40%   | <a href="https://www.zynga.com/">https://www.zynga.com/</a>                 | Zynga Inc. is an American social game developer running social video game services and founded in April 2007 with headquarters in San Francisco, California, United States.   |

i-Select Limited (i-Select) is the issuer of membership of the i-Select Superannuation Scheme. None of i-Select, Public Trust, or any director, board member or nominee of any of those entities, or any other person guarantees the Scheme's performance, returns or repayment of capital.  
A copy of the Scheme's Product Disclosure Statement is available at

[www.i-select.co.nz](http://www.i-select.co.nz)

---

To find out more about i-Select Superannuation Scheme, talk to your financial adviser, visit our website or call us on 03 308 0144.

**i-Select Limited**

**PO Box 274  
Ashburton 7740  
New Zealand**

✉ **info@i-select.co.nz**  
☎ **+64 3 308 0144**

The Scheme Product Disclosure Statement is available from your financial adviser, on request and free of charge.

