

Clinton County
Plattsburgh International Airport
Plattsburgh, NY



Disadvantaged Business Enterprise (DBE) Program
49 CFR Part 26

Overall DBE Three-year Goal Methodology
FY2019 – FY2021

Section 26.45: Overall DBE Three-Year Goal Methodology

Name of Recipient: Clinton County – Plattsburgh International Airport

Goal Period: FY-2019-2020-2021 – October 1, 2018 through September 30, 2021

DOT-assisted contract amount:	FY-2019	<u>\$ 6,142,500</u>
	FY-2020	<u>\$ 6,102,000</u>
	FY-2021	<u>\$ 9,360,000</u>
	Total	<u>\$21,604,500</u>

Overall Three-Year Goal: 2.33%, to be accomplished through 2.33% RC and 0% RN

Total dollar amount to be expended on DBE's: \$503,385

Describe the Number and Type of Contracts that the airport anticipates awarding:

Contracts Fiscal Year #1

1. Rehabilitate Runway 17-35 Pavement Phase II (Const.) - \$5,017,500
2. Rehabilitate Runway 17-35 Pavement – Phase IV (Design) - \$450,000
3. Rehabilitate Taxiway B Pavement (Design) - \$270,000
4. Rehabilitate Taxiway A Pavement – Phase I (Design) \$405,000

Contracts Fiscal Year #2

1. Acquire ARFF Vehicle - \$810,000
2. Acquire PPE Equipment - \$180,000
3. Rehabilitate Runway 17-35 Pavement – Phase 3 (Construction) - \$4,905,000
4. De-Icing Pad & Fluid Collection System (Design) - \$207,000

Contracts Fiscal Year #3

1. Rehabilitate Runway 17-35 Pavement – Ph 4 (Construction) - \$7,650,000
2. Rehabilitate Taxiway A Pavement – Phase 2 (Design) - \$810,000
3. Acquire Snow Removal Equipment - \$900,000

Market Area: The Airport's normal market area is primarily based on two factors: 1) where the substantial majority of all firms (DBE contractors/subcontractors and non-DBE contractors/subcontractors) are located with whom the Airport does business and 2) where the substantial majority of contracting dollars are anticipated to be spent by the Airport on USDOT assisted projects. The Airport's market area includes Clinton County, St. Lawrence County, Essex County, Franklin County, Saratoga County and Warren County. These are the Counties where the majority of bidders are located and is based on the Airport's bidder's list. Approximately 82% of bidders in the last five years were located in these Counties, and 80% of the work was awarded to contractors from these Counties.

Step 1. 26.45(c) Actual relative availability of DBE's

The first step in establishing an overall goal for DBE participation is to establish a Base Figure for the relative availability of DBE vendors with the Airport's overall Normal Market Area from business categories associated with the Airport's projects in Fiscal Year (FY) 2019, 2020 and 2021.

Method: Use DBE Directories and Census Bureau Data

The base figure of actual relative availability of DBE's is based on the total number of contractors and subcontractors located in the Market Area identified above. The total number of contractors and subcontractors in the Market Area for the North American Industry Classification System (NAICS) listed below were obtained from the US Census Bureau using the County Business Patterns for 2013. The total number of DBE contractors and subcontractors were obtained from the New York Unified Certification Program (NYUCP) Disadvantaged Business Enterprise (DBE) Directory.

Fiscal Year #1

For FY-2019, we anticipate the award of the following:

Contract Name	Trade Description	NAICS Description	NAICS	Trade (\$)	Census	DBE Directory	DBE (%) (= G/F)	DBE (\$) (= E x H)
Contract #1 Rehabilitate Runway 17-35 Phase 2 (Construction)	Inspection Fees	Building Inspection Services	541350	\$ 509,400	14	1	7.14%	\$36,386
	Construction Testing	Testing Laboratories	541380	\$ 27,000	11	0	0.00%	\$0
	Survey	Surveying and Mapping	541370	\$ 103,500	22	2	9.09%	\$9,409
	Electrical	Electrical Contractors	238210	\$ 184,500	97	0	0.00%	\$0
	Pavement Markings/Paving	Highway, Street and Bridge Construction	237310	\$ 4,091,850	17	0	0.00%	\$0
	Seeding	Landscaping Services	561730	\$ 2,250	164	1	0.61%	\$14
	Excavation	Site Preparation	238910	\$ 99,000	91	1	1.10%	\$1,088
Total Contract				\$ 5,017,500	416	5	1.20%	\$60,306
Contract #2 Rehabilitate R/W 17-35 – Phase 4 (Design)	Engineering	Engineering Services	541330	\$ 432,000	77	5	6.49%	\$28,052
	Survey	Surveying and Mapping	541370	\$ 9,000	22	2	9.09%	\$818
	Soil Boring	Site Preparation	238910	\$ 9,000	91	1	1.10%	\$99
Total Contract				\$ 450,000	190	8	4.21%	\$18,947
Contract #3 Rehabilitate Taxiway B Pavement (Design)	Engineering	Engineering Services	541330	\$ 258,300	77	5	6.49%	\$16,773
	Survey	Surveying and Mapping	541370	\$ 6,300	22	2	9.09%	\$573
	Soil Boring	Site Preparation	238910	\$ 5,400	91	1	1.10%	\$59
Total Contract				\$ 270,000	190	8	4.21%	\$11,368

Contract #4 Rehabilitate Taxiway A Pavement Phase 1 (Design)	Engineering	Engineering Services	541330	\$ 391,500	77	5	6.49%	\$25,422
	Survey	Surveying and Mapping	541370	\$ 7,200	22	2	9.09%	\$655
	Soil Boring	Site Preparation	238910	\$ 6,300	91	1	1.10%	\$69
Total Contract				\$ 405,000	190	8	4.21%	\$17,053
Total FY-2019				\$ 6,142,500	986	29	2.94%	\$180,662

Fiscal Year #2

For FY-2020, we anticipate the award of the following:

Contract Name	Trade Description	NAICS Description	NAICS	Trade (\$)	Census	DBE Directory	DBE (%) (= G/F)	DBE (\$) (= E x H)
Contract #1 Acquire ARFF Vehicle	Engineering	Engineering Services	541330	\$ 7,200	77	5	6.49%	\$468
	ARFF Vehicle	Service Equipment	423850	\$ 802,800	3	0	0.00%	\$0
Total Contract				\$ 810,000	80	5	6.25%	\$50,625
Contract #2 Acquire PPE Equipment	Engineering	Engineering Services	541330	\$ 2,700	77	5	6.49%	\$175
	PPE Equipment	Construction Equipment	333120	\$ 177,300	0	0	0.00%	\$0
Total Contract				\$ 180,000	77	5	6.49%	\$11,688
Contract #3 Rehabilitate Runway 17-35 Pavement – Phase 3 (Construction)	Inspection Fees	Building Inspection Services	541350	\$ 567,900	14	1	7.14%	\$40,564
	Construction Testing	Testing Laboratories	541380	\$ 27,000	11	0	0.00%	\$0
	Survey	Surveying and Mapping	541370	\$ 148,500	22	2	9.09%	\$13,500
	Electrical	Electrical Contractors	238210	\$ 117,000	97	0	0.00%	\$0
	Pavement Markings/Pa ving	Highway, Street and Bridge Construction	237310	\$ 3,929,850	17	0	0.00%	\$0
	Seeding	Landscaping Services	561730	\$ 2,250	164	1	0.61%	\$14
	Excavation	Site Preparation	238910	\$ 112,500	91	1	1.10%	\$1,236
Total Contract				\$ 4,905,000	416	5	1.20%	\$58,954
Contract #4 De-icing Pad & Fluid Collection System (Design)	Engineering	Engineering Services	541330	\$ 193,500	77	5	6.49%	\$12,565
	Survey	Surveying and Mapping	541370	\$ 7,200	22	2	9.09%	\$655
	Soil Boring	Site Preparation	238910	\$ 6,300	91	1	1.10%	\$69
Total Contract				\$ 207,000	190	8	4.21%	\$8,716
Total FY-2020				\$ 6,102,000	763	23	3.01%	\$183,940

Fiscal Year #3

For FY-2021, we anticipate the award of the following:

Contract Name	Trade Description	NAICS Description	NAICS	Trade (\$)	Census	DBE Directory	DBE (%) (= G/F)	DBE (\$) (= E x H)
Contract #1 Rehabilitate R/W 17-35 Phase 4 (Construction)	Inspection Fees	Building Inspection Services	541350	\$ 518,400	14	1	7.14%	\$37,029
	Construction Testing	Testing Laboratories	541380	\$ 27,000	11	0	0.00%	\$0
	Survey	Surveying and Mapping	541370	\$ 103,500	22	2	9.09%	\$9,409
	Electrical	Electrical Contractors	238210	\$ 282,150	97	0	0.00%	\$0
	Pavement Markings/Paving	Highway, Street and Bridge Construction	237310	\$ 6,569,100	17	0	0.00%	\$0
	Seeding	Landscaping Services	561730	\$ 2,250	164	1	0.61%	\$14
	Excavation	Site Preparation	238910	\$ 147,600	91	1	1.10%	\$1,622
Total Contract				\$ 7,650,000	416	5	1.20%	\$91,947
Contract #2 Rehabilitate Taxiway A Pavement – Ph 2 (Design)	Engineering	Engineering Services	541330	\$ 792,000	77	5	6.49%	\$51,429
	Survey	Surveying and Mapping	541370	\$ 9,000	22	2	9.09%	\$818
	Soil Boring	Site Preparation	238910	\$ 9,000	91	1	1.10%	\$99
Total Contract				\$ 900,000	77	5	6.49%	\$58,442
Contract #3 Acquire Snow Removal Equipment	Engineering	Engineering Services	541330	\$ 7,200	77	5	6.49%	\$468
	Snow Removal Equipment	Construction Machinery Manufacturing	333120	\$ 892,800	0	0	0.00%	\$0
Total Contract				\$ 900,000	77	5	6.49%	\$58,442
Total FY-2021				\$ 9,360,000	683	18	2.64%	\$246,676

Step 2. 26.45(d): Adjustments to Step 1 base figure.

After calculating a base figure of the relative availability of DBEs, evidence was examined to determine what adjustment (if any) was needed to the base figure in order to arrive at the overall goal.

Past DBE Participation

FY	Total Grant \$ Amount	DBE Goals			Accomplishments			Type of work
		RC	RN	Total	RC	RN	Total	
FY-2013	\$4,138,819	4.8%	0%	4.8%	1.8%	0	1.8%	Pavement, Engineering,
FY-2014	\$11,349,364	4.8%	0%	4.8%	14.17%	0%	14.17%	Building Construction, Electrical, Mechanical, Engineering

FY-2015	\$224,200	Goal not required; funding less than \$250,000						Tree Removal, Engineering
FY-2016	\$1,470,600	4.5%	0%	4.5%	2.35%	0%	2.35%	Runway Rehab, ARFF Building, Obstruction Removal
FY-2017	\$5,283,151	4.5%	0%	4.5%	1.8%	0%	1.8%	Pavement, Building Construction, Fence

Arranging this historical data from low to high, (1.8%, 1.8%, 2.35%), the median is 1.8%. The DBE achievements for FY-2014 were not included in the calculation because the Terminal Building project funded is unique and not similar in nature to those projects included in the goal calculation, and because the accomplishments were abnormally high compared to the goal and other years.

Further, there are no applicable disparity studies for the local market area or recent legal case information available to show any evidence of barriers to entry or competitiveness of DBE's.

To arrive at an overall goal, we added our Step 1 base figure with our Step 2 adjustment figure and then averaged the total arriving at an overall goal for each year. We feel this adjusted goal figure will accurately reflect DBE participation that can be achieved for the type of work being awarded during this three-year period.

The proposed Overall DBE Goals are as follows:

- Fiscal Year #1 – 2.37% $(2.94\% + 1.8\%)/2$
- Fiscal Year #2 – 2.40% $(3.01\% + 1.8\%)/2$
- Fiscal Year #3 – 2.22% $(2.64\% + 1.8\%)/2$

Our proposed overall three-year goal will be reflected as the three-year median, 2.33%.

The Airport's overall goal for FY 2019/2020/2021 is the following: 2.33% of the Federal financial assistance we will expend on DOT-assisted contracts.

Breakout of Estimated “Race and Gender Neutral” (RN) and “Race and Gender Conscious” (RC) Participation.

26.51(b) (1-9)

The Airport will meet the maximum feasible portion of its overall goal by using RN means of facilitating DBE participation:

1. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate participation by DBEs and other small businesses and by making contracts more accessible to small businesses, by means such as those provided under §26.39 of this part;
2. Consider unbundling large contracts to make them more accessible to small businesses when possible;
3. Carrying out information and communications programs on contracting procedures and specific contract opportunities (e.g., ensuring the inclusion of DBEs, and other small businesses, on the Airport's mailing lists for bidders; ensuring dissemination to bidders on prime contracts of lists of potential subcontractors);
4. Refer DBE's to local support service programs to develop and improve long-term business management, record keeping, and financial and accounting capability for DBE's and other small businesses;
5. Ensuring distribution of DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors;
6. Provide instructions and clarification on bid specifications, general bidding requirements, and procurement policies and procedures; and

7. Discuss the DBE goal and DBE requirements at Pre-bid meetings to encourage prime contractors to solicit DBE firms in subcontracting opportunities.

The recipient estimates that in meeting its overall goal 2.33%, it will obtain 0% from RN participation and 2.33% through RC measures.

This breakout is based on:

- The Airport expects to obtain its DBE participation through the use of DBE contract goals or a conscious effort to obtain DBE participation. The Airport's median DBE participation for Fiscal Years 2013 through 2017 is 1.8%. The median DBE goal for the same time period is 4.8%. The median DBE goal was not met or exceeded, therefore, we are applying the entire goal of 2.33% to race-conscious participation.

The Airport will adjust the estimated breakout of RN and RC DBE participation as needed to reflect actual DBE participation (see Section 26.51(f)) and track and report RN and RC participation separately. For reporting purposes, RN DBE participation includes, but is not necessarily limited to, the following: DBE participation through a prime contract obtained through customary competitive procurement procedures; DBE participation through a subcontract on a prime contract that does not carry a DBE goal, DBE participation on a prime contract exceeding a contract goal and DBE participation through a subcontract from a prime contractor that did not consider a firm's DBE status in making the award.

PUBLIC PARTICIPATION

Consultation: Section 26.45(g)(1).

In establishing the overall goal, the Airport will provide for consultation and publication. This includes consultation with minority, women's and general contractor groups, community organizations, and other officials or organizations which could be expected to have information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and the Airport's efforts to establish a level playing field for the participation of DBEs. The consultation will include a scheduled, direct, interactive exchange (e.g., a face-to-face meeting, video conference, teleconference) with as many interested stakeholders as possible focused on obtaining information relevant to the Airport's goal setting process, and it will occur before we are required to submit our goal methodology to the operating administration for review pursuant to paragraph (f) of this section. We will document in our goal submission the consultation process that we engaged in. Notwithstanding paragraph (f)(4) of this section, we will not implement our proposed goal until we have complied with this requirement.

The Airport submits its overall DBE three-year goal to DOT on August 1 as required by the set schedule.

The Airport has invited the NYS Department of Transportation Office of Civil Rights, NYS Empire State Development Division of Minority and Women's Business Development, Airport Minority Advisory Council, The National Minority Business Council, Associated General Contractors of New York State LLC and the Clinton County Chamber of Commerce to consult on the goal setting process. This was in an effort to obtain information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and the Airport's efforts to establish a level playing field for the participation of DBEs. The consultation, a WebEx conference, was held on August 30, 2018. Invitations were sent to each of the above organizations four weeks prior, and included a call in number as well as the information needed to participate in the WebEx conference.

Following the consultation, we will publish a notice at flyplattsburgh.com of the proposed overall goal, informing the public that the proposed goal and its rationale are available for inspection during normal business hours at Plattsburgh International Airport for 30 days following the date of the notice, and

informing the public that the Airport and DOT will accept comments on the goals for 30 days from the date of the notice.

Our overall goal submission to DOT will include a summary of information and comments received during this public participation process and our responses, if any.

No comments have been received.

PUBLIC NOTICE

Plattsburgh International Airport hereby announces its fiscal years 2019 through 2021 goal of 2.33% for Disadvantaged Business Enterprise (DBE) airport construction contracts. The proposed goals and rationale is available for inspection between 8:00 a.m. and 5:00 p.m., Monday through Friday at Plattsburgh International Airport, 42 Airport Road, Suite 201, Plattsburgh, NY 12903 for 30 days from the date of this publication.

Comments on the DBE goal will be accepted for 30 days from the date of this publication and can be sent to the following:

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Contract Goals

The Airport will use contract goals to meet any portion of the overall goal that the recipient does not project being able to meet using RN means. Contract goals are established so that, over the period to which the overall goal applies, they will cumulatively result in meeting any portion of the recipient's overall goal that is not projected to be met through the use of RN means.

The Airport will establish contract goals only on those DOT-assisted contracts that have subcontracting possibilities. It need not establish a contract goal on every such contract, and the size of the contract goals will be adapted to the circumstances of each such contract (e.g., type and location of work and availability of DBE's to perform the particular type of work).

We will express our contract goals as a percentage of the Federal share of a DOT-assisted contract.