



FOR IMMEDIATE RELEASE:

Forbes Names Mark J. Smith to Top Wealth Advisors for 2018

This marks the third consecutive year that Smith has been named to this list

GREENWOOD VILLAGE, Colo.—Nov. 06, 2018—[M.J. Smith and Associates](#), a nationally recognized independent financial advisory practice, today announced that Mark J. Smith, CFP®, CPA/PFS, CIMA®, and president of M.J. Smith & Associates, has been named to the Forbes Top Wealth Advisors of 2018 – marking this year as the third consecutive year that Smith has made the list.

With nearly 26,000 nominations received throughout the U.S., Smith ranked 126th on the list of only 250 advisors. The Forbes ranking is developed by SHOOK Research, who utilizes a ranking algorithm designed to fairly compare the business practices of the large group of advisors. This includes specific criteria including qualitative and quantitative information such as experience, assets under management, client retention rates, full service offerings, compliance records, community involvement and more.

Smith was also only one of five Colorado advisors named to the Forbes list, adding to his other recognitions and accolades he has received in 2018. Earlier this year, Smith was also named to the Barron's list of top advisors for the 11th consecutive year.

“It is truly a privilege and honor to be named to this Forbes list of top advisors once again this year, and it would not have been possible without the team of individuals who all work at M.J. Smith and Associates,” said Smith. “We have an ongoing commitment to put our clients first as we believe in true long-term partnerships to help achieve financial and life goals. We are grateful for our clients’ continued support and trust they place in us.”

With nearly 35 years of experience, M.J. Smith & Associates is a boutique-style independent advisory practice registered with the U.S. Securities and Exchange Commission. The practice offers fee-based, financial advice based on a fiduciary, client first standard of care with a holistic approach that includes tax planning, investment planning, wealth management, risk management, retirement planning, estate planning and financial management.

Smith, a native of Iowa who founded the practice in 1983, leads the philanthropic efforts and has served on the boards of both the Kempe Foundation for the Prevention and Treatment of Child Abuse and Neglect and the Salvation Army's Intermountain Division. In addition, Smith is a member of the Colorado Society of Certified Public Accountants (COCPA), where he participated as a board member in 2014-15. With a personal commitment to education, Smith has also funded scholarships at his alma mater, the University of Iowa, as well as at Iowa Central Community College, Metropolitan State University, Arapahoe Community College, and Universidad Del Sagrado Corazón in Puerto Rico.



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About M.J. Smith and Associates

With offices in Greenwood Village, Colo., M.J. Smith and Associates, a Registered Investment Advisor, offers an extensive array of financial services, including investment planning, retirement planning, estate planning and tax planning, as well as insurance and risk management. Founded in 1983 by Mark J. Smith, the practice is registered with the U.S. Securities and Exchange Commission. M.J. Smith and Associates offers securities through Raymond James Financial Services Inc., Member FINRA/SIPC. For more information, visit <http://www.mjsmith.com/>.

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*Source: Barron's "Top 1,200 Financial Advisors," September, 2018. Barron's is a registered trademark of Dow Jones & Company, L.P. All rights reserved. The rankings are based on data provided by over 4,000 individual advisors and their firms and include qualitative and quantitative criteria. Data points that relate to quality of practice include professionals with a minimum of 7 years financial services experience, acceptable compliance records (no criminal U4 issues), client retention reports, charitable and philanthropic work, quality of practice, designations held, offering services beyond investments offered including estates and trusts, and more. Financial Advisors are quantitatively rated based on varying types of revenues produced and assets under management by the financial professional, with weightings associated for each. Investment performance is not an explicit component because not all advisors have audited results and because performance figures often are influenced more by clients' risk tolerance than by an advisor's investment picking abilities. The ranking may not be representative of any one client's experience, is not an endorsement, and is not indicative of advisor's future performance. Neither Raymond James nor any of its Financial Advisors pay a fee in exchange for this award/rating. Barron's is not affiliated with Raymond James.

The Forbes ranking of America's Top Wealth Advisors, developed by SHOOK Research, is based on an algorithm of qualitative and quantitative data, rating thousands of wealth advisors with a minimum of seven years of experience. Ranking algorithm is based on quality of practice, including: telephone and in-person interviews, client retention, industry experience, review of compliance records, firm nominations; and quantitative criteria, including: assets under management and revenue generated for their firms. Investment performance is not a criteria because client objectives and risk tolerances vary, and advisors rarely have audited performance reports. Rankings are based on the opinions of SHOOK Research, LLC which does not receive compensation from the advisors or their firms in exchange for placement on the ranking. Research Summary (as of September 2017): 19,872 Advisor nominations were received, based on thresholds. 4,504 Advisors were invited to complete the online survey. 4,432 Advisors were interviewed by telephone. 923 Advisors were interviewed in-person at the Advisors' location. Final list of the top 250 Advisors was then compiled based upon the quantitative criteria. Raymond James is not affiliated with Forbes or Shook Research, LLC. This ranking is not indicative of advisor's future performance, is not an endorsement, and may not be representative of individual clients' experience. Neither Raymond James nor any of its Financial Advisors or RIA firms pay a fee in exchange for this award/rating.